## Recommendations for Evaluating Large, Interdisciplinary Research Initiatives

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Trans-NCI Evaluation Special Interest Group March 12th, 2008





### Desirable Features of Large, Interdisciplinary Research Initiatives/Centers

'Large/major' funding should result in **high quantity and high quality scientific products** such as experts, papers, patents, databases, software tools, Cyberinfrastructures and associated

- intellectual capital
- social capital
- infrastructure capital

that is important to a research community.

They should have an interdisciplinary 'footprint', i.e., **consume and produce** scientific products from many scientific domains.

Education and Outreach are important.

Initiatives/Centers provide critical mass, physical facilities, longevity, stability, visibility that can have a major impact on the growth of a research community.

### Evaluating Large, Interdisciplinary Research Initiatives/Centers

### **Data Acquisition**

Acquire all (interdisciplinary) scientific products **consumed and produced** *(experts, papers, patents, databases, software tools, cyberinfrastructures, funding)* by the initiative(s)/center(s) in question as well from 'comparison' units.

### **Data Analysis**

Number of consumed/produced products over time. Geo and topic location of consumed/produced products. Dynamic features, e.g., burst of activity, (social) network evolution, secondary effects. Initiatives/Centers should be involved in positive (not negative) feedback cycles.

### **Result Communication**

Top-n lists. Success stories. Tables of major produced/consumed entities. **Profiles.** Major produced/consumed entities in their (geo/network) context, e.g., paper citation graphs, funding-papers-PI graphs, evolving scholarly networks., impact on education/public policy.

### Computational Scientometrics: Studying Science by Scientific Means



- Börner, Katy, Chen, Chaomei, and Boyack, Kevin. (2003). Visualizing Knowledge Domains. In Blaise Cronin (Ed.), Annual Review of Information Science & Technology, Medford, NJ: Information Today, Inc./American Society for Information Science and Technology, Volume 37, Chapter 5, pp. 179-255. <u>http://ivl.slis.indiana.edu/km/pub/2003-borner-arist.pdf</u>
- Shiffrin, Richard M. and Börner, Katy (Eds.) (2004). Mapping Knowledge Domains. Proceedings of the National Academy of Sciences of the United States of America, 101 (Suppl\_1). http://www.pnas.org/content/vol101/suppl\_1/
- Börner, Katy, Sanyal, Soma and Vespignani, Alessandro (2007). Network Science. In Blaise Cronin (Ed.), Annual Review of Information Science & Technology, Information Today, Inc./American Society for Information Science and Technology, Medford, NJ, Volume 41, Chapter 12, pp. 537-607. http://ivl.slis.indiana.edu/km/pub/2007-borner-arist.pdf

Places & Spaces: Mapping Science exhibit, see also <u>http://scimaps.org</u>.

### General Process of Data Acquisition, Analysis and Visualization

DATA EXTRACTION	UNIT OF ANALYSIS	MEASURES	LAYOUT (often one code does both similarit	γ and ordination steps)	DISPLAY
			SIMILARITY	ORDINATION	
SEAR CHES ISI INSPEC Eng Index Medline ResearchIndex Patents etc. BROADENING By citation By terms	COMMON CHOICES Journal Document Author Term	COUNTS/FREQUENCIES Attributes (e.g. terms) Author citations Co-citations By year THRESHOLDS By counts	SCALAR (unit by unit matrix) Direct citation Co-ditation Corrbined linkage Co-word / co-term Co-dassification VECTOR (unit by attribute matrix) Vector space model (words/terms) Latent Semantic Analysis (words/terms) ind. Singular Value Decomp (SVD) CORRELATION (if desired) Pearson's R on any of above	DIMENSIONALITY REDUCTION Eigenvector/Eigenvalue solutions Factor Analysis (FA) and Principal Components Analysis (PCA) Multi-dimensional scaling (MDS) LSA Pathfinder networks (PFNet) Self-organizing maps (SOM) includes SOM, ET-maps, etc. CLUSTER ANALYSIS SCALAR Triangulation Force-directed placement (FDP)	INTERACTION Browse Pan Zoom Filter Query Detail on demand

Börner, Katy, Chen, Chaomei, and Boyack, Kevin. (2003). Visualizing Knowledge Domains. In Blaise Cronin (Ed.), Annual Review of Information Science & Technology, Volume 37, Medford, NJ: Information Today, Inc./ American Society for Information Science and Technology, chapter 5, pp. 179-255.

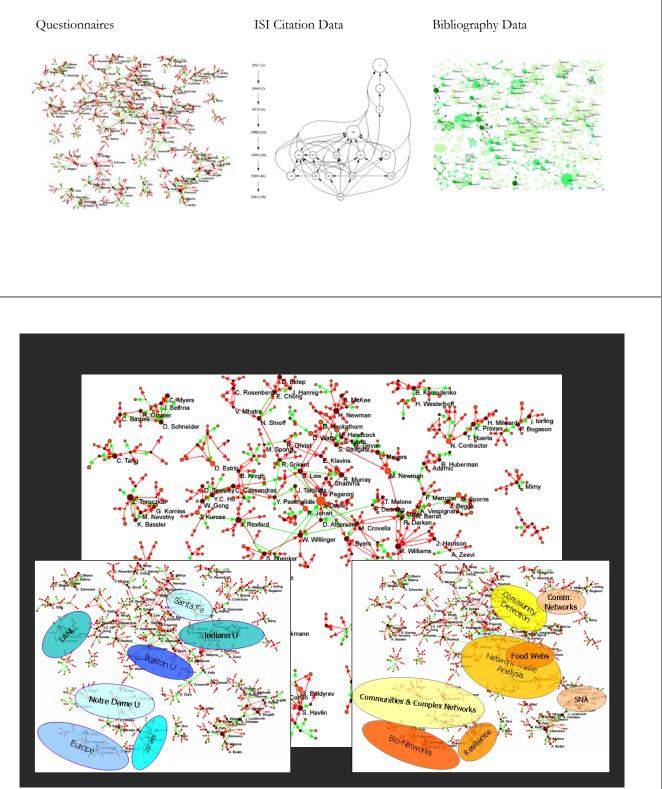
# Analysis of Emergent Research Areas

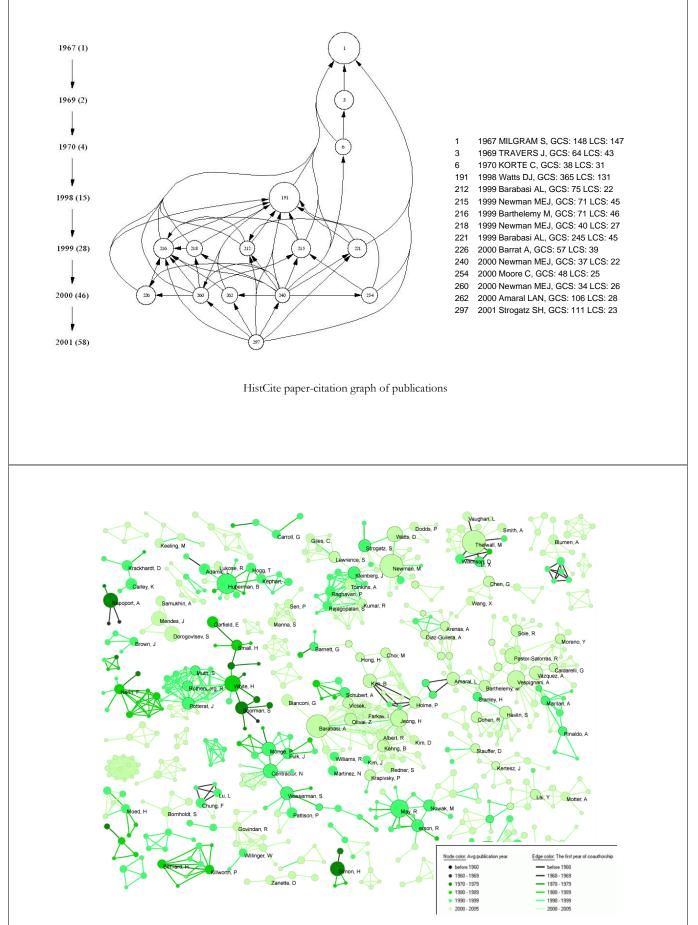
### Mapping Network Science

Ke, Weimao & Börner, Katy. (2005). Mapping the Social Network and Expertise of "Network Science" Researchers. Report to the U.S. National Research Council study on Network Science, 88-92, The National Academies Press.

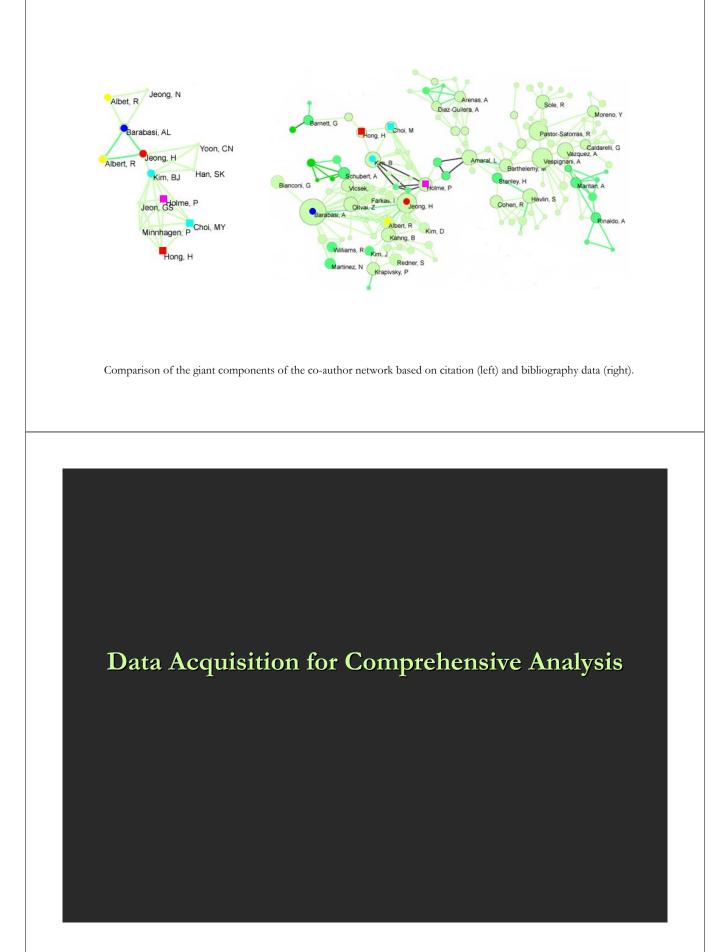
Börner, Katy. (2007). Making Sense of Mankind's Scholarly Knowledge and Expertise: Collecting, Interlinking, and Organizing What We Know and Different Approaches to Mapping (Network) Science. Environment and Planning B: Planning and Design. Vol. 34(5), 808-825, Pion.

### Data Acquisition via



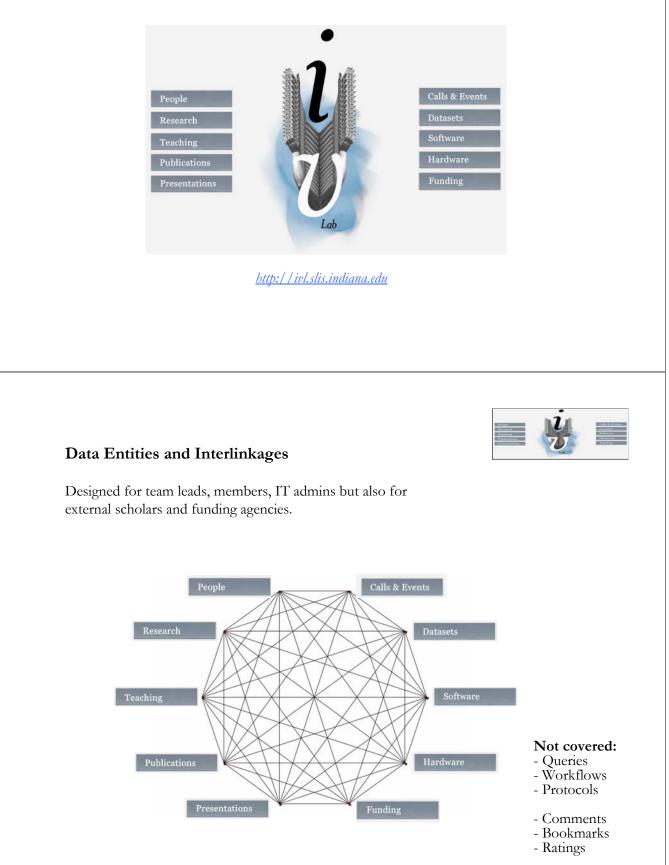


Components with size larger than three of the co-author network based on bibliography data.



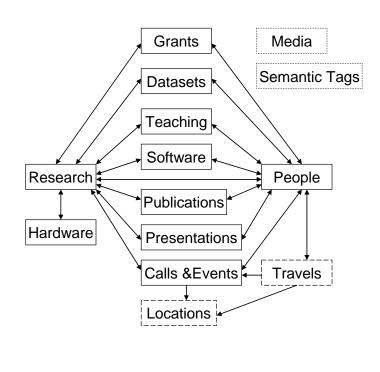
### Lab/Center Management System vs. Spacebook and MS Famulus

Designed to track, manage, and make use of data relevant for the daily operation of a medium size research team.





### Simplified representation of the IVL database schema

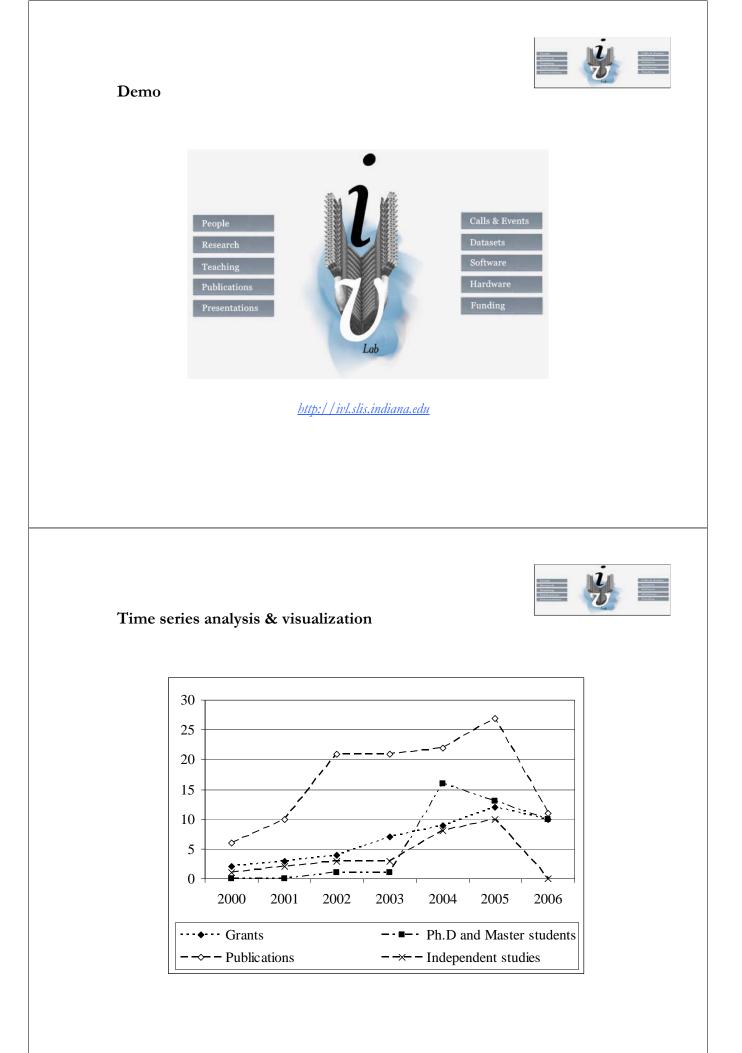




### Data Entry

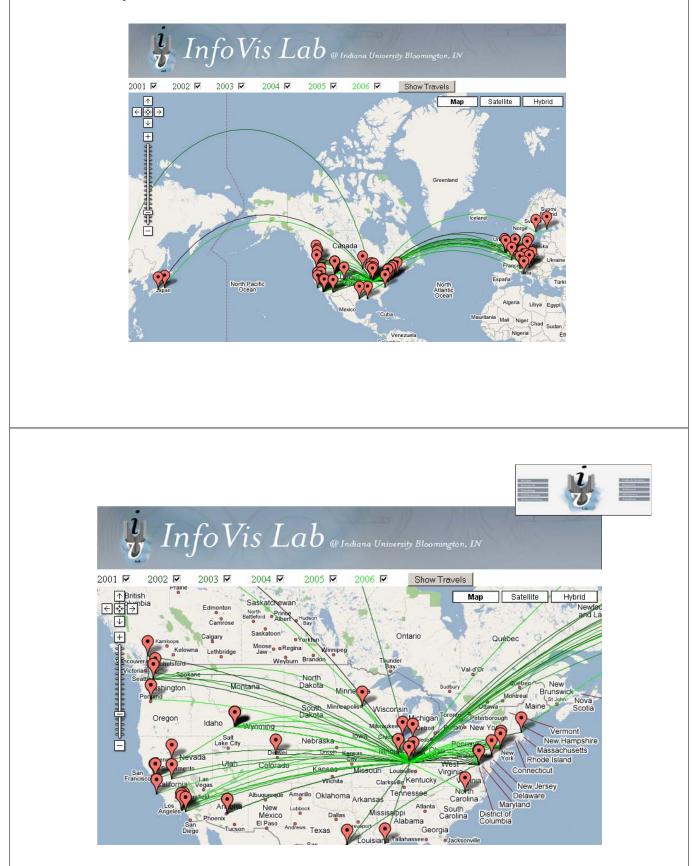
Tutorials	- <u>Back</u>
Title	Analyzing and Visualizing Knowledge Domains
Link	http://
People	RESET TO ZERO Aigner, Wolfgang Aliman, Ian Althoff, K.D. Ambre, Sumeet Anderson, Christina Andersson, Per-Olov Andrienko, Gennady Ansari, Summaya
Start Date	1 • 23 • 2003 •
End Date	1 • 23 • 2003 •
Location	Santa Clara, CA
Venue	Electronic Imaging
Time (e.g., 1-2PM)	12:33:00
	Submit Clear

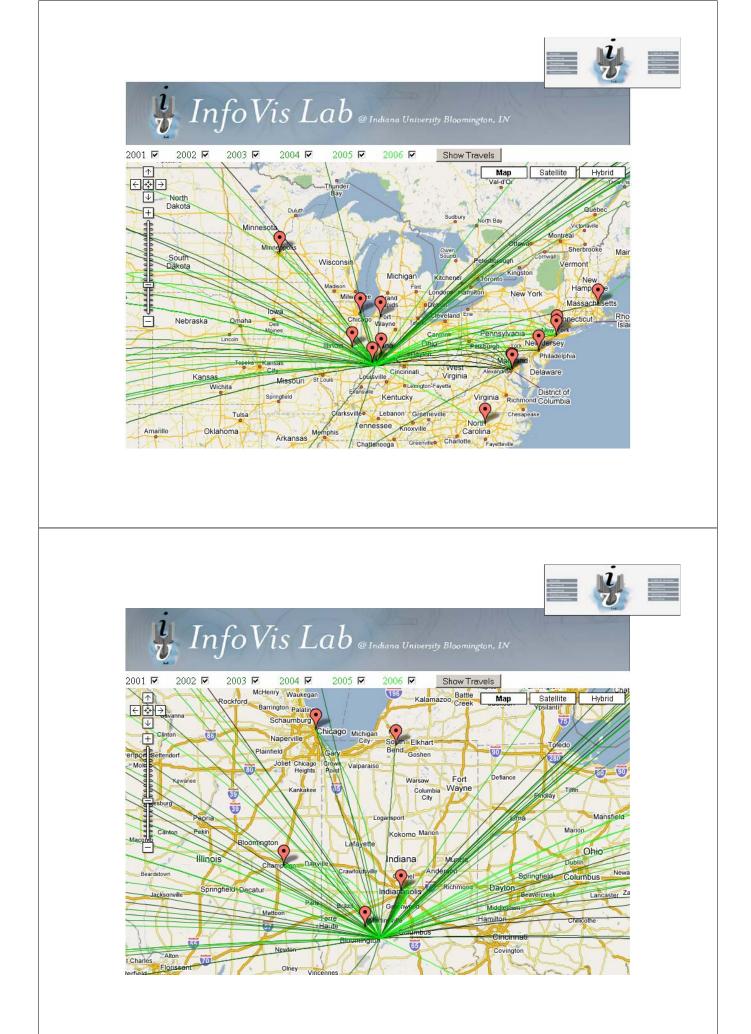
Lab member Dates	Start Date (mm-dd-yyyy) End Date (mm-dd-yyyy)
	4/1/2004 Present
Image	ketan-mane.jpg
Homepage	http://ella.slis.indiana.edu/~kmane/
Work Log	http://
	Submit Clear

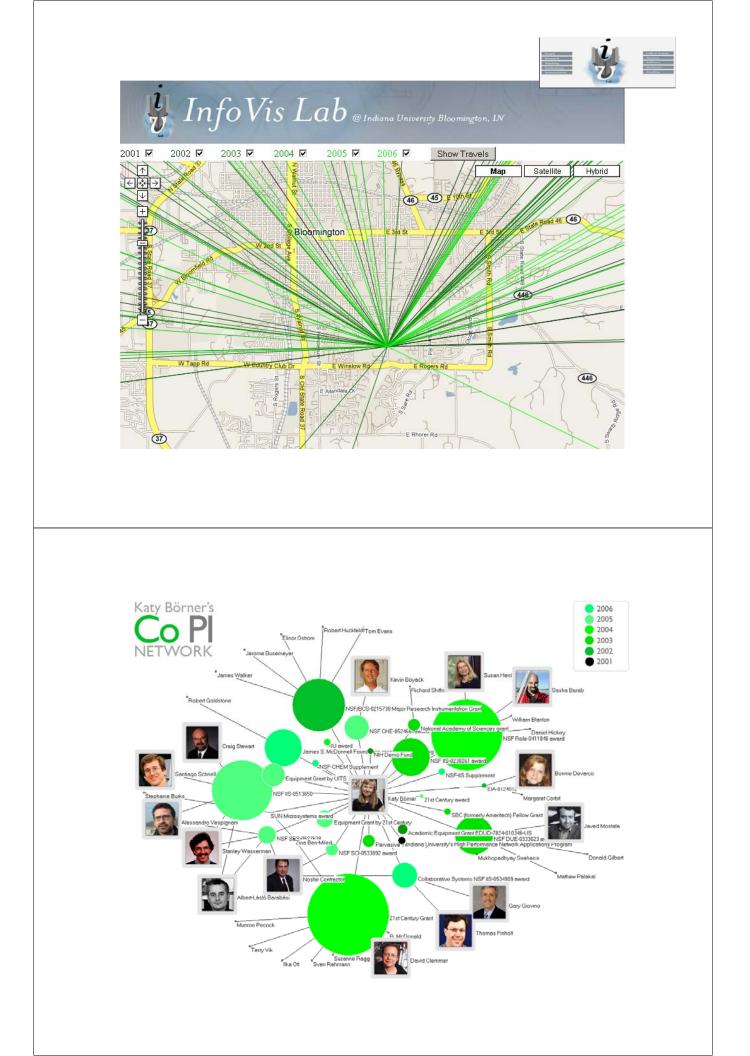




### Katy's Travels in 2000-2006

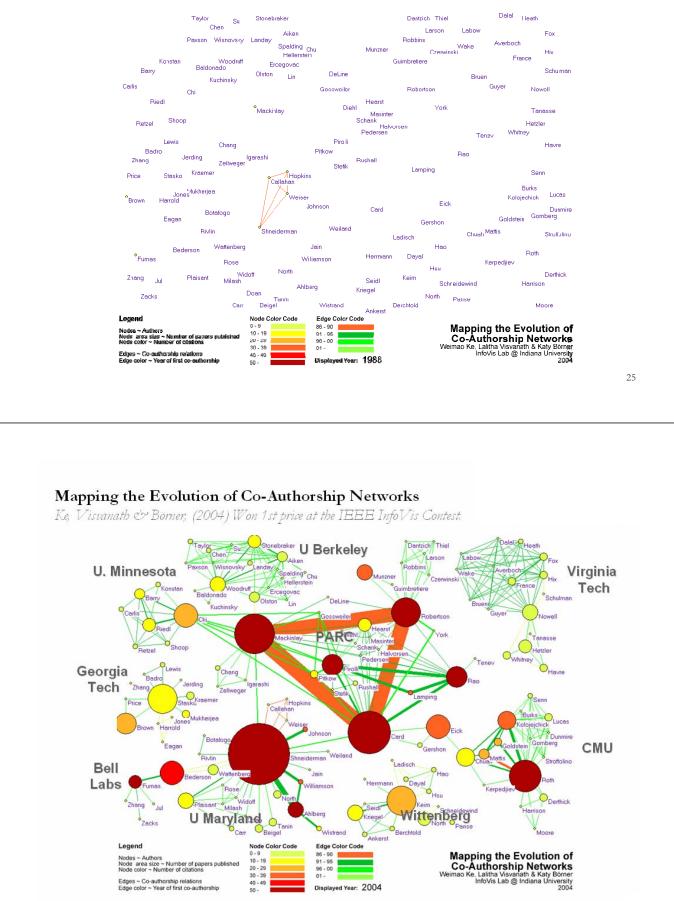


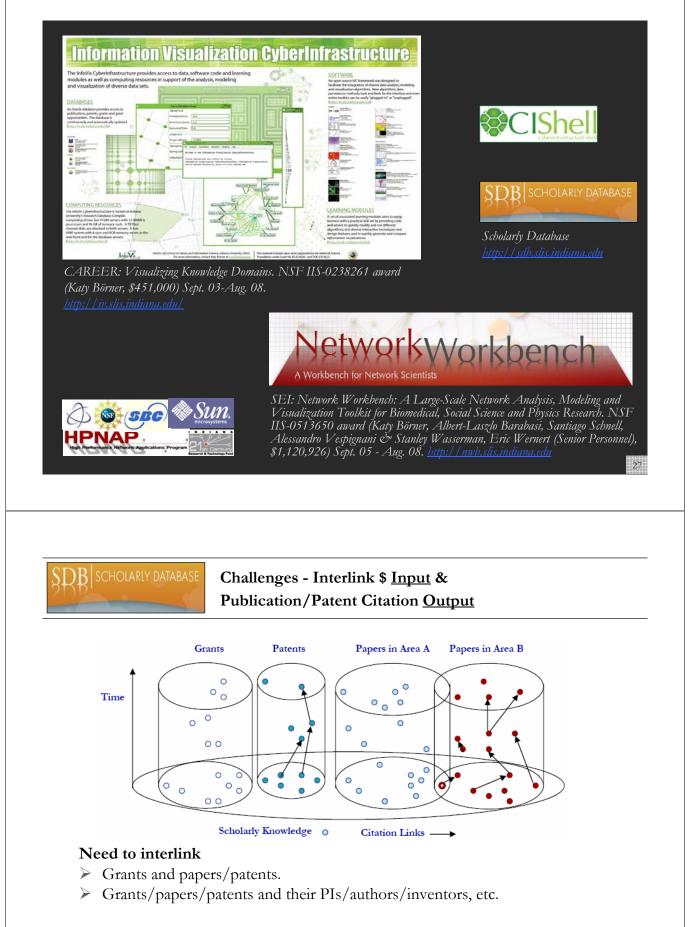




### Mapping the Evolution of Co-Authorship Networks

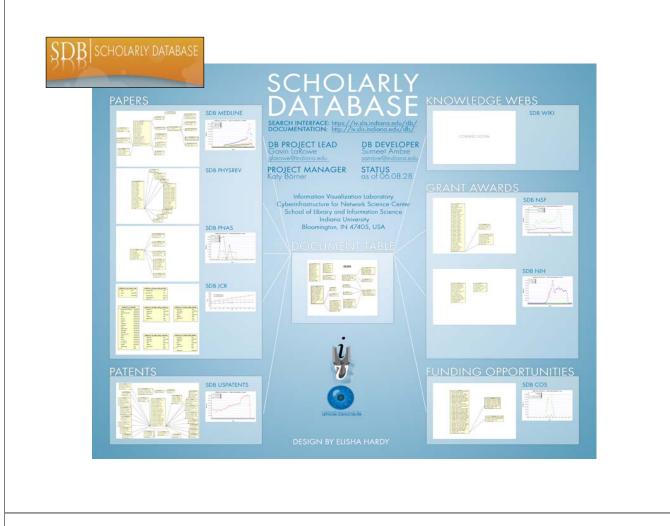
Ke, Visvanath & Börner, (2004) Won 1st price at the IEEE InfoVis Contest.





### Use resulting networks to

- Count #papers, #citations, etc.
- > Determine strength of co-PI/author/inventor relations, etc.





### Scholarly Database: Web Interface

Search across publications, patents, grants.

Download records and/or (evolving) co-author, paper-citation networks.

SDB SCHOLARLY DATABASE	SDB scholarly database
Home South Admin Logout	Home Reach Admin Logont
Journal: e.g.Jo Science Publication Range	NIH (336 Matching Records)  I. JAMES, ERIC (2001) OLUCOCOCTICUID RECEPTOR-MEDIATED CATARACT. DESCRIPTION(Applexel's Abstract) Cataracter as a rations risk it have undergoing a parent Memory. Frequently escale the certain trans application. DESCRIPTION(Applexel's Abstract) Cataracter as a ration risk it have undergoing a parent Memory. Frequently escale the certain trans application of the source of cataracter as parent as solved and trans. DESCRIPTION(Applexel's Abstract) Cataracter as a ration risk iteration and the source of
From 1995 <u>to 2005</u> (default Year range is 1945-2005) <u>Submit</u> - <u>Reset</u>	<< Prev 1 2 3 4 5 5 2 8 9 10 <u>Nmt1&gt;&gt;</u> New Search   Refine Search   Download Records

Register for free access at <u>https://sdb.slis.indiana.edu</u>.

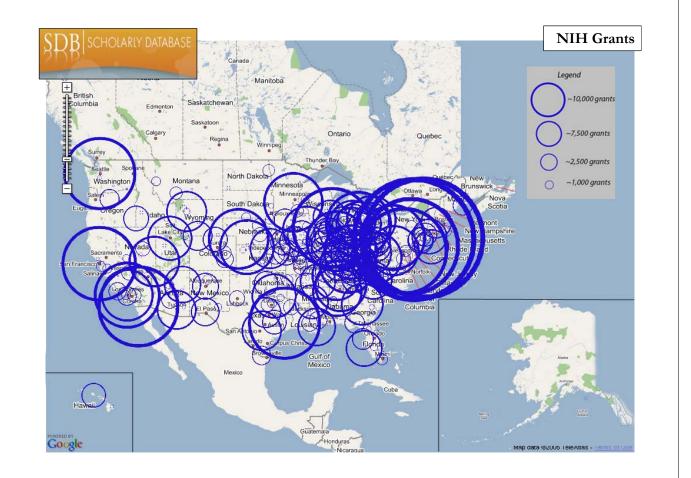


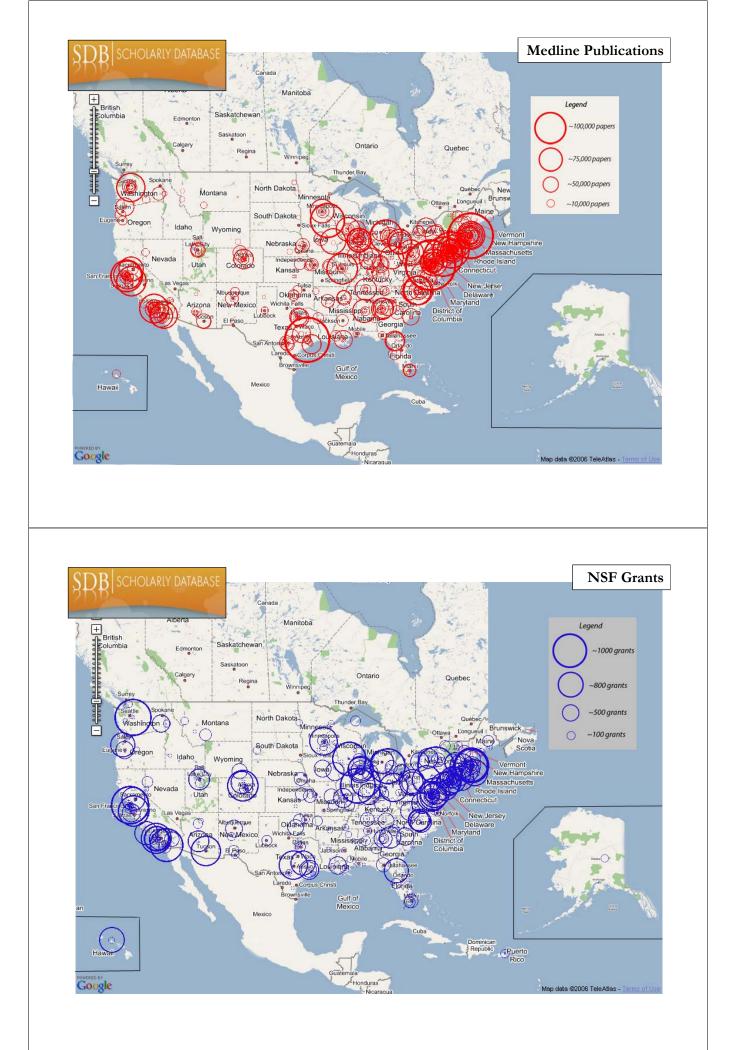
### Scholarly Database: # Records & Years Covered

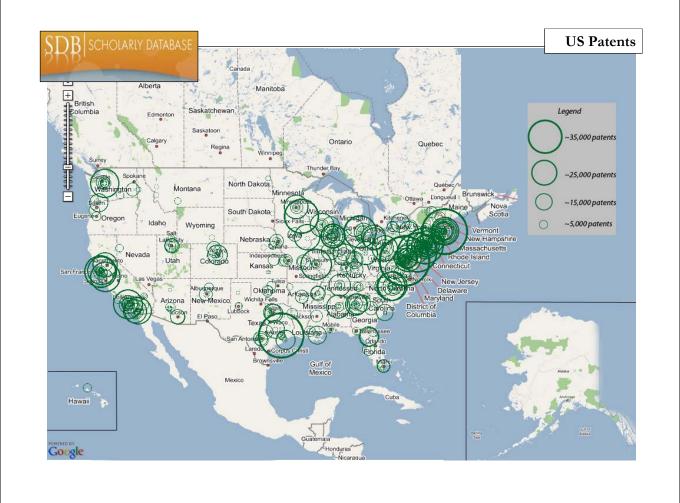
Dataset	# Records	Years Covered	Updated	Restricted Access
Medline	13,149,741	1965-2005	Yes	
PhysRev	398,005	1893-2006		Yes
PNAS	16,167	1997-2002		Yes
JCR	59,078	1974, 1979, 1984, 1989 1994-2004		Yes
USPTO	3,179,930	1976-2004	Yes*	
NSF	174,835	1985-2003	Yes*	
NIH	1,043,804	1972-2002	Yes*	
Total	18,021,560	1893-2006	4	3

Datasets available via the Scholarly Database (\* future feature)

Aim for comprehensive time, geospatial, and topic coverage.



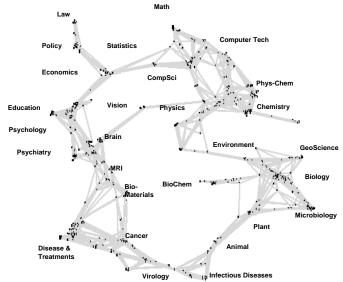




### Latest 'Base Map' of Science

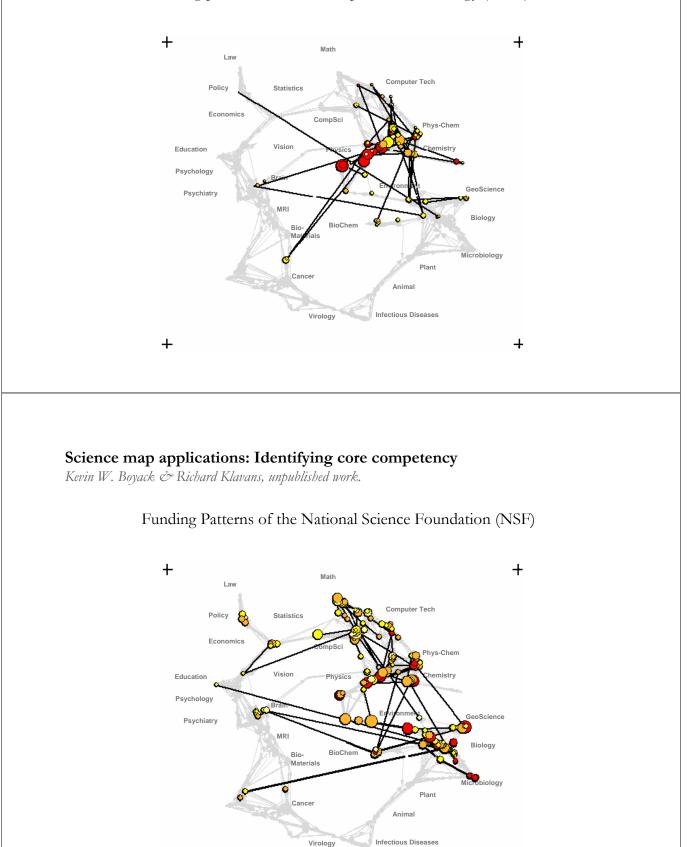
Kevin W. Boyack & Richard Klavans, unpublished work.

- Uses combined SCI/SSCI from 2002
  - 1.07M papers, 24.5M references, 7,300 journals
  - Bibliographic coupling of papers, aggregated to journals
- Initial ordination and clustering of journals gave 671 clusters
- Coupling counts were reaggregated at the journal cluster level to calculate the
  - (x,y) positions for each journal cluster
  - by association, (x,y) positions for each journal



### Science map applications: Identifying core competency

Kevin W. Boyack & Richard Klavans, unpublished work.

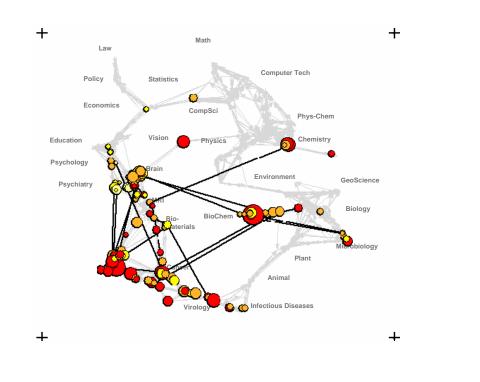


Virology

Funding patterns of the US Department of Energy (DOE)

### Science map applications: Identifying core competency

Kevin W. Boyack & Richard Klavans, unpublished work.



### Funding Patterns of the National Institutes of Health (NIH)



### **Building Market Places not Cathedrals**

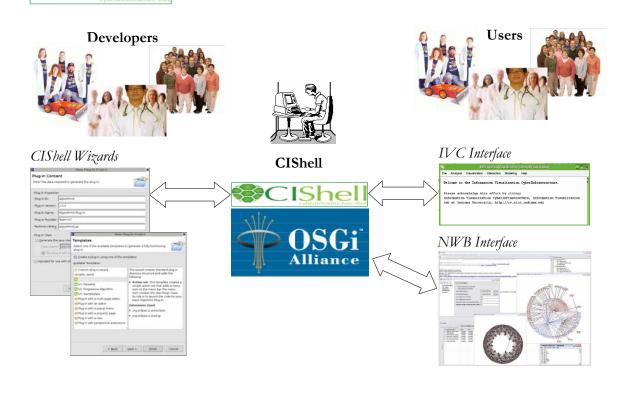




- Software glue' has to interlink datasets and algorithms written in different languages using different data formats.
- > The smaller the glue or 'CI Shell', the more likely it can be maintained.



### CIShell – Serving Non-CS Algorithm Developers & Users





IShel

### CIShell - Build on OSGi Industry Standard

CIShell is built upon the Open Services Gateway Initiative (OSGi) Framework.

### OSGi (http://www.osgi.org) is

- > A standardized, component oriented, computing environment for networked services.
- > Successfully used in the industry from high-end servers to embedded mobile devices since 7 years.
- > Alliance members include IBM (Eclipse), Sun, Intel, Oracle, Motorola, NEC and many others.
- Widely adopted in open source realm, especially since Eclipse 3.0 that uses OSGi R4 for its plugin model.

### Advantages of Using OSGi

- > Any CIShell algorithm is a service that can be used in any OSGi-framework based system.
- Using OSGi, running CIShells/tools can connected via RPC/RMI supporting peer-to-peer sharing of data, algorithms, and computing power.

Ideally, CIShell becomes a standard for creating OSGi Services for algorithms.



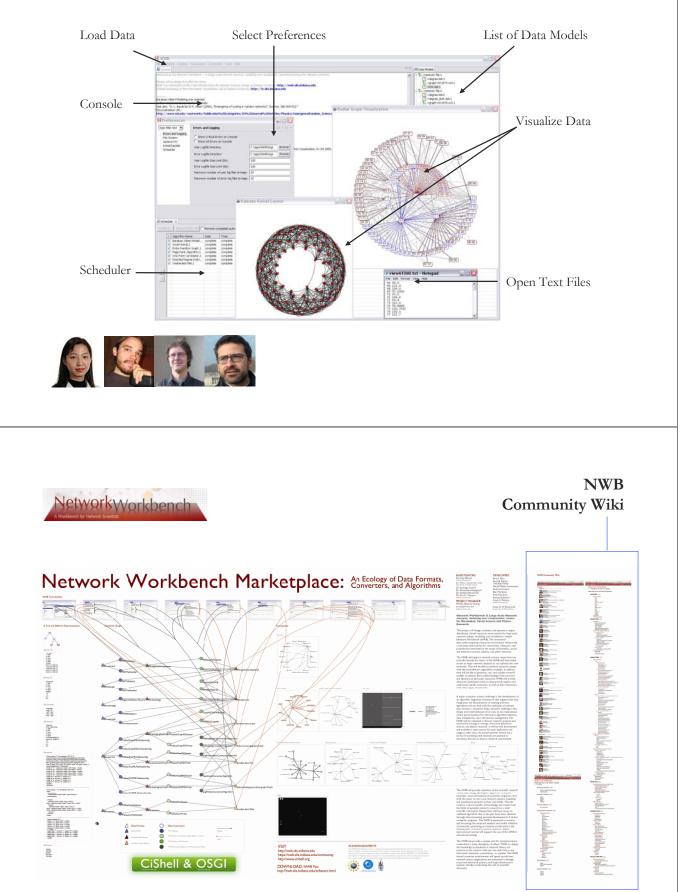


CIShell applications can be deployed as distributed data and algorithm repositories, stand alone applications, peer-to-peer architectures, and server-client architectures.



### **NWB** Tool: Interface Elements

<u>http://nwb.slis.indiana.edu</u>



https://nwb.slis.indiana.edu/community/

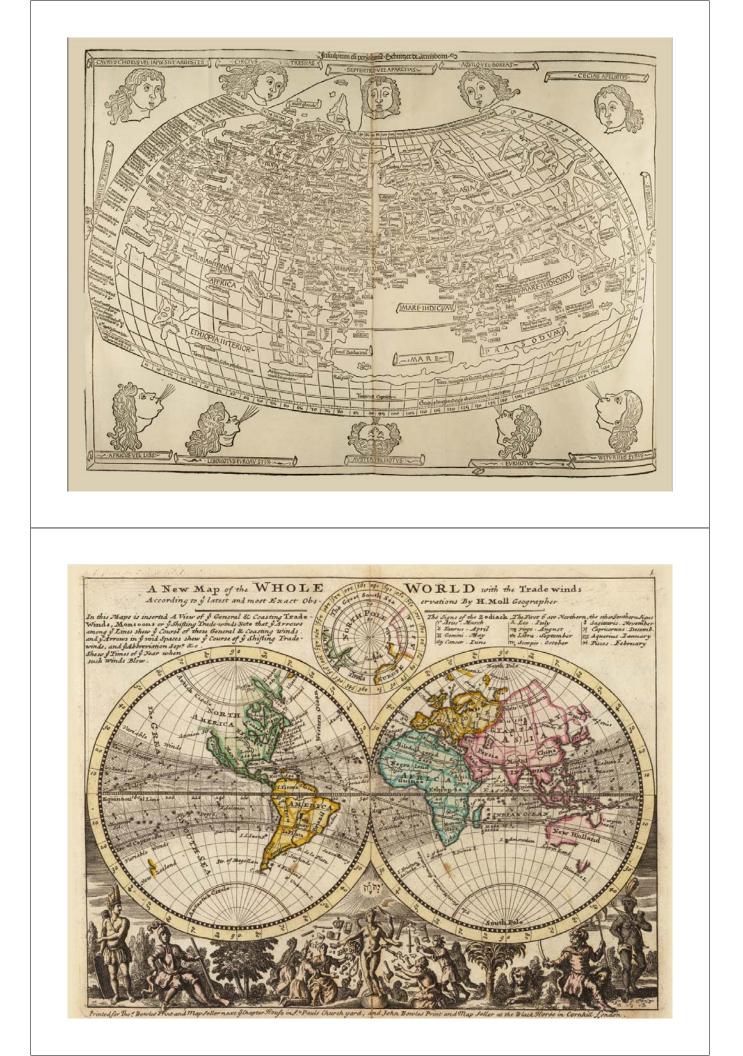


# The Power of Maps

Four Early Maps of Our World VERSUS Six Early Maps of Science

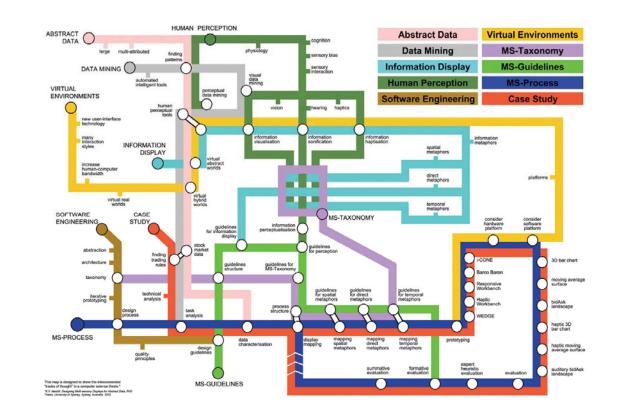


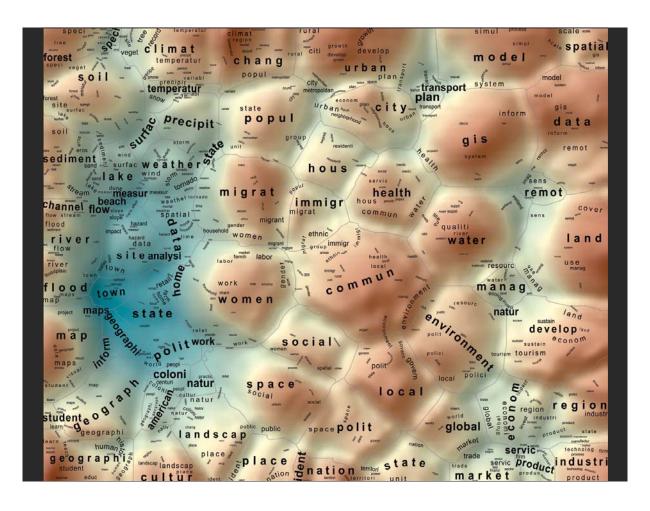
(1st Iteration of Places & Spaces Exhibit - 2005)

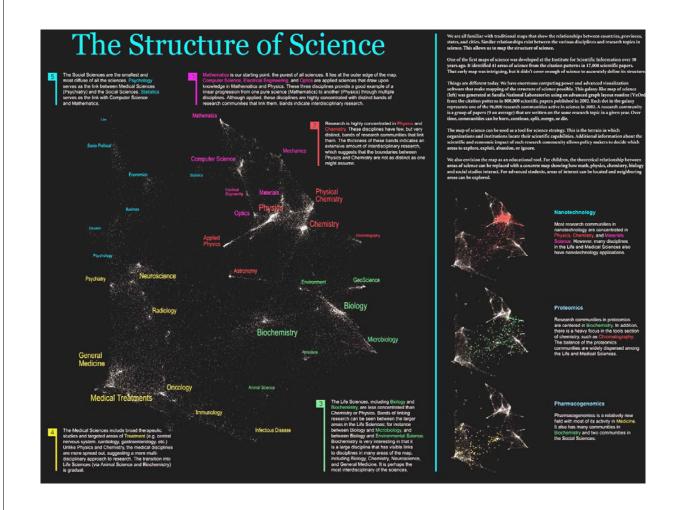


# How would a map of science look?

# What metaphors would work best?

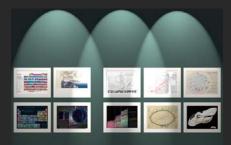




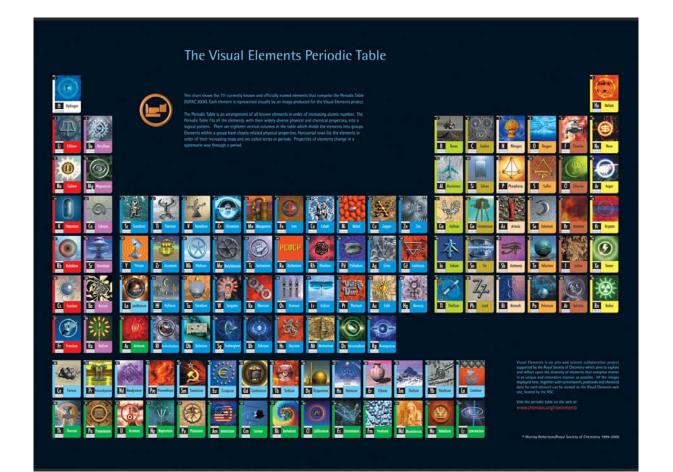


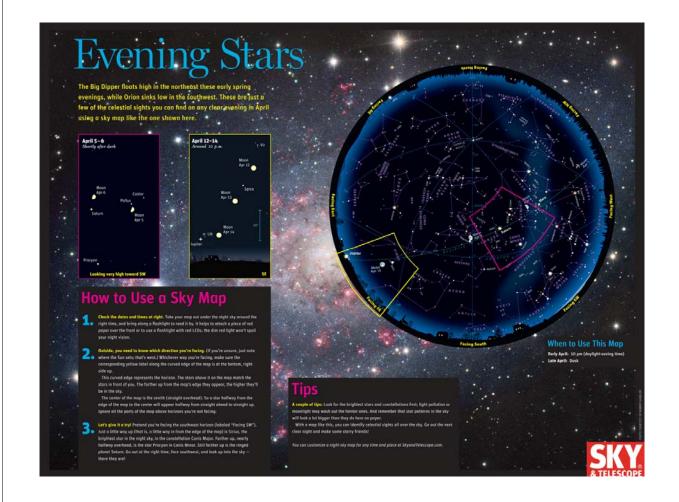
# The Power of Reference Systems

## Four Existing Reference Systems VERSUS Six Potential Reference Systems of Science



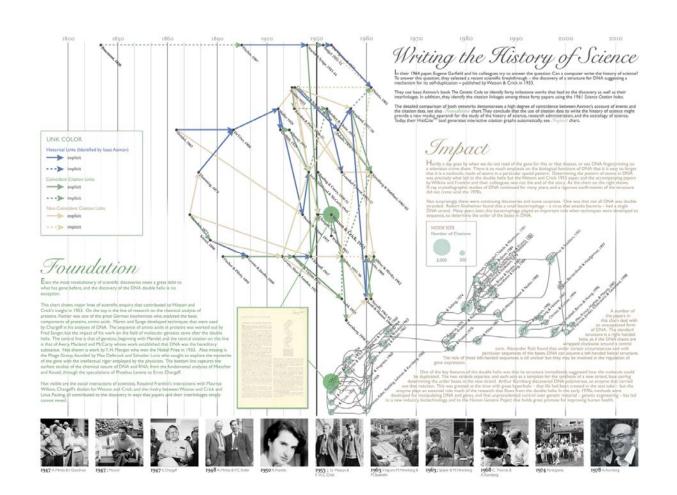
(2<sup>nd</sup> Iteration of Places & Spaces Exhibit - 2006)

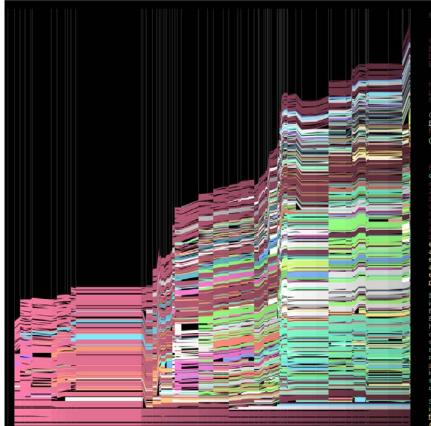




# How would a reference system for all of science look?

What dimensions would it have?





### Evolution - Wikipedia

anbsp; Main Page |Recent changes |Edit this page |Page history Suistable surging | Consect surgers

Log in | Help

Other languages: Dautsch | Españs#241;al | Espacanto | Nederlands | Frank#231;ais | Polski Evolution (Revision as of 07:17, 16 Jul 2003)

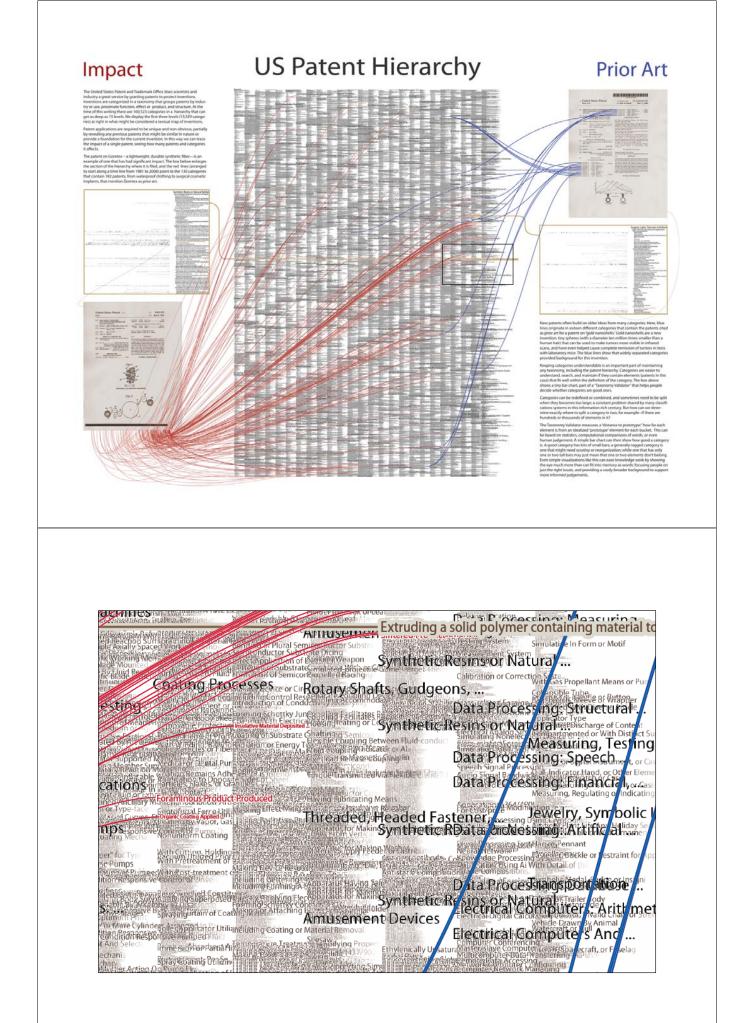
### Evolution is any process of growth, change or development. The word stems from the Latin

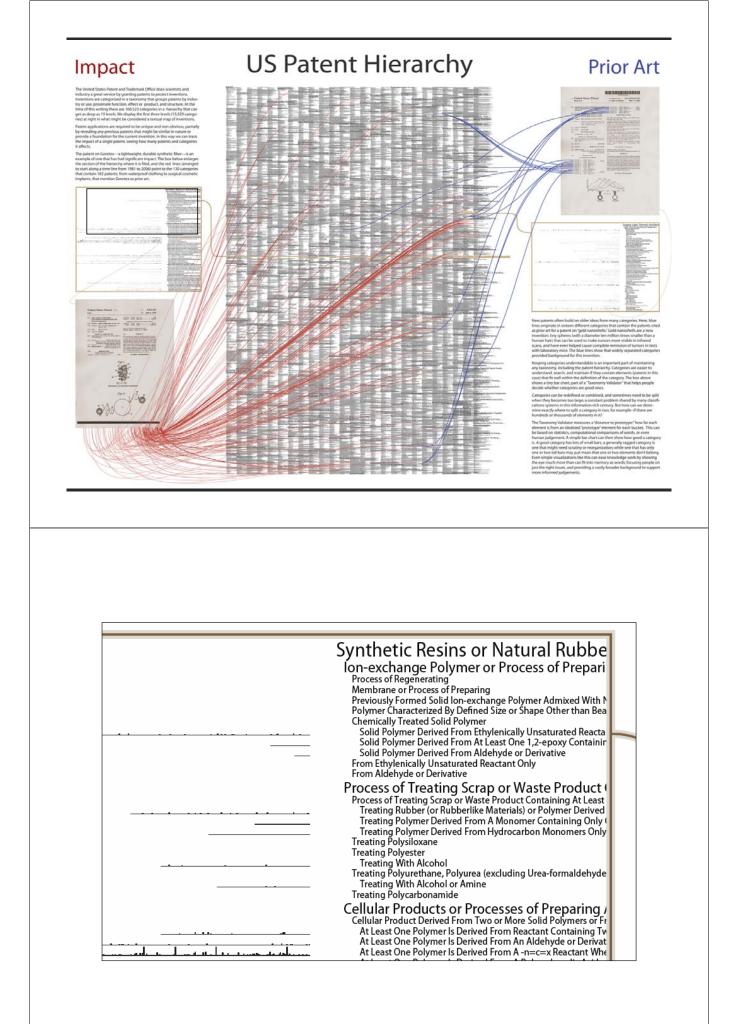
evolutio meaning "unfolding" and prior to the late <u>1800</u>s was confined to referring to goal-directed, pre-programmed processes such as embryological development. A pre-programmed task, as in a military maneuver, using this definition, may be termed an "evolution." One can also speak of stellar evolution, chemical evolution, cultural.

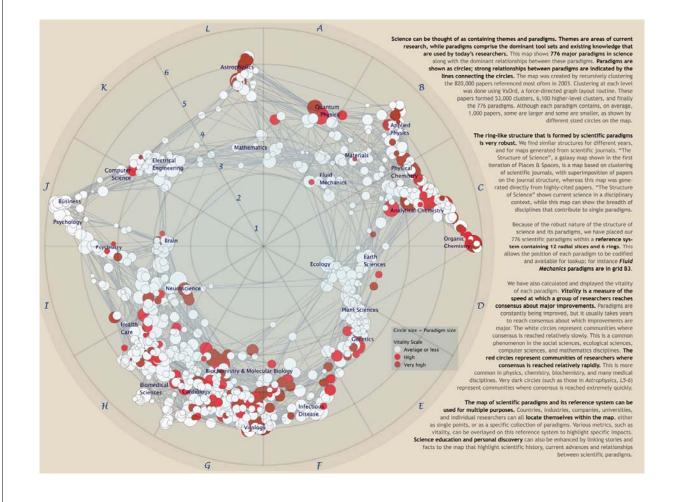
evolution or the evolution of an idea. Other kinds of evolution include evolution of an idea. Other kinds of attempt to mimic processes similar to biological evolution in a computer program, most frequently as an <u>optimization</u> technique and as an experimental framework for the computational modelling of evolution. In the 19th centure the

In the 19th century the word "evolution" was identified with improvement. It was clear to European thinkers at that time -- in the wake of the Enlightenment and the French Revolution -- that human societies evolved; many people have claimed the same about the evolution of biological species. In the 20th century, most social scientists came to reject the strict identification of social and cultural change with improvement (see also <u>social</u> avalution and cultural avalution); Most interpretations of <u>Darwin's</u> account of evolution similarly argue against identifying biological changes with improvement.

Since the 15th century "evolution" is generally used in reference to *biological evolution*, changes in allele frequencies in a population from one generation to another. Often it is shorthand for the modern







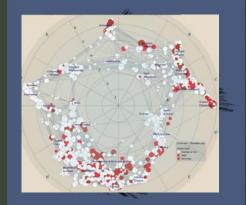


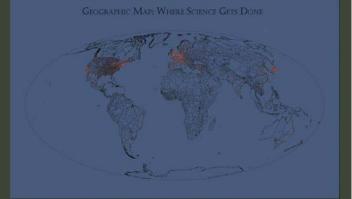
### Nanotechnology

This overlay shows the distribution of nanotechnology within the paradigms of science. The majority of current work in nanotechnology takes places in physics, chemistry, and materials science, at the upper right portion of the map. However, an increasing amount of nanotechnology is being applied in the biological and medical sciences, at the lower right.

All Topics Sweep through all 776 scientific paradigms	Nanotechnology Science on the tiny scale of molecules	Francis H. C. CRICK Co-discovered DNAs double helix	Albert EINSTEIN Revitalized physics with Relativity theories	Michael E. FISHER Models critical phase transitions of matter	Susan T. FISKE Connects perception and stereotypes
Sustainability	Biology & Chemistry	Joshua LEDERBERG	Derek J. de Solla PRICE	Richard N. ZARE	About this display
The science behind our long-term hopes	The interface between these two vital fields	Pioneer in bacterial genetic mechanisms	Known as the "Father of Scientometrics"	Uses laser chemistry in molecular dynamics	People & organizations that helped create it

TOPIC MAP: HOW SCIENTIFIC PARADIGMS RELATE



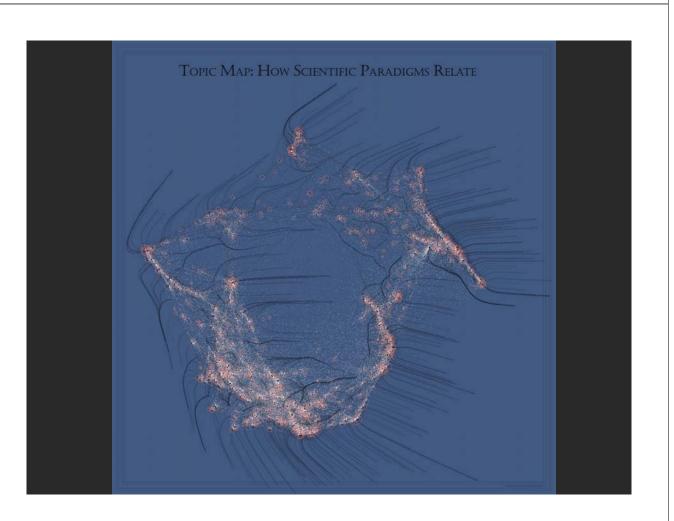


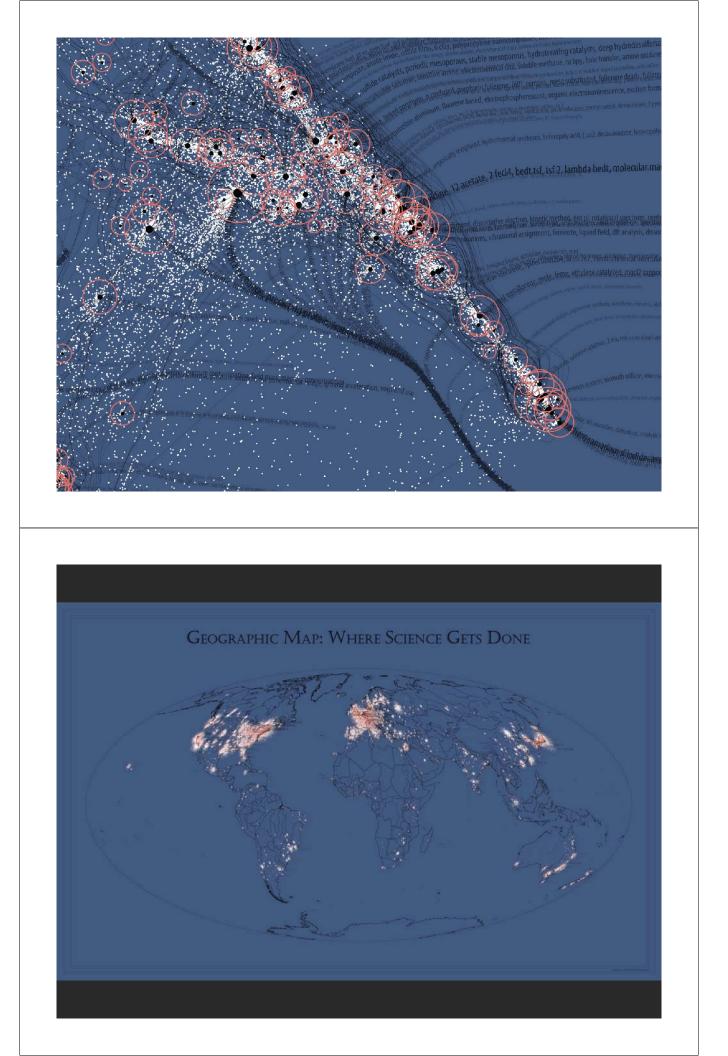
You may run your finger over each of these maps to control the lighting on the other: touching a place on the world map will light up topics studied in that place; touching a paradigm on the topic map will light up the places that study that topic.

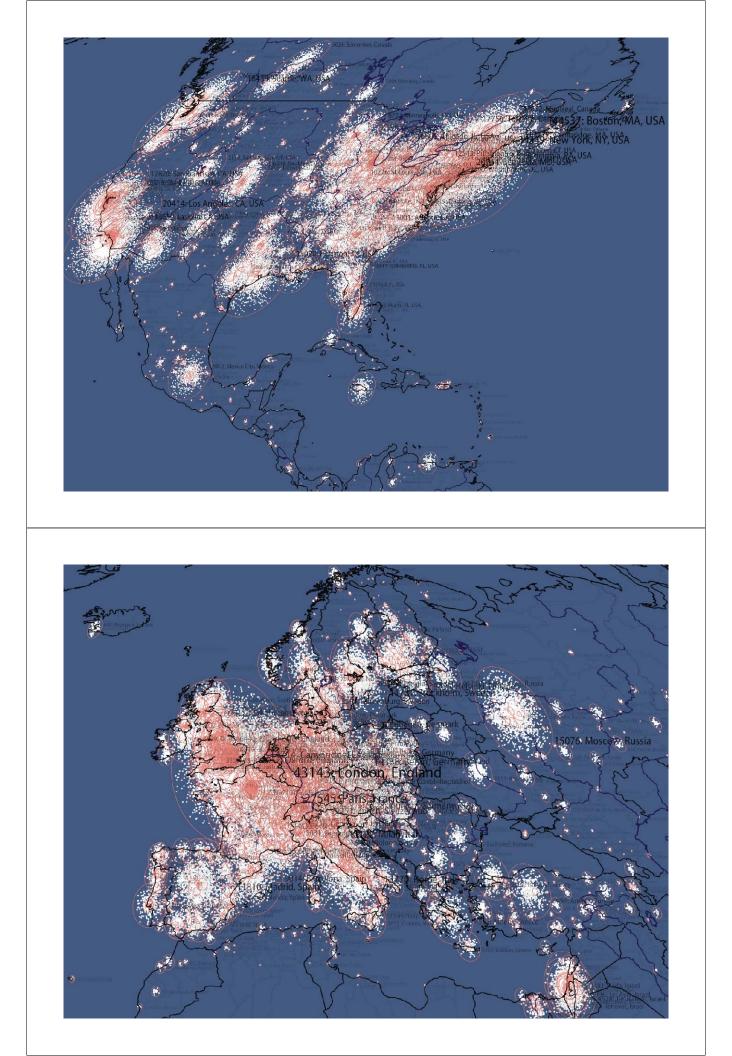
### Nanotechnology

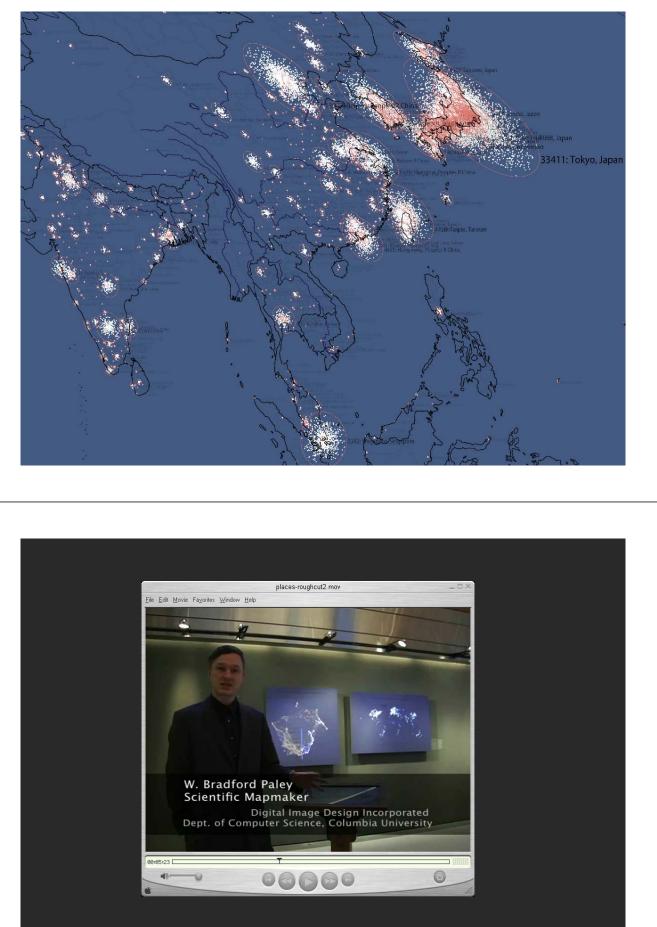
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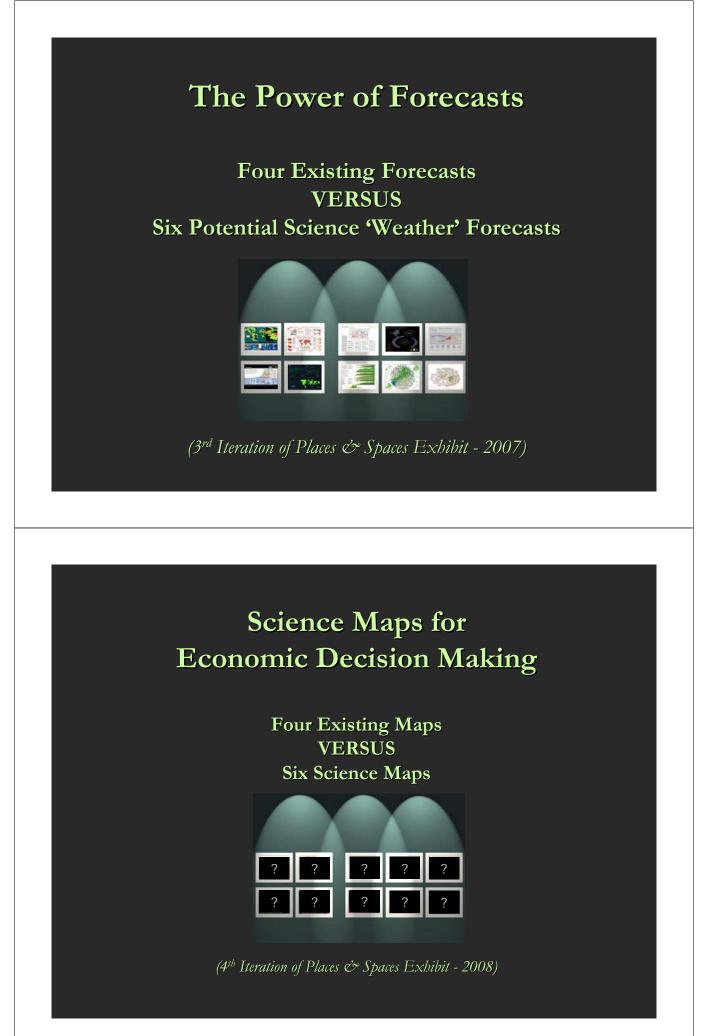








Illuminated Diagram Display <u>http://www.youtube.com/watch?v=bXABcOABG4E</u>



# Science Maps in Action

### Spatio-Temporal Information Production and Consumption of Major U.S. Research Institutions

log of number of institutions citing each other

10

10

100 10

1,505 - 1,771
 1,772 - 2,097
 2,098 - 2,529

2,530 - 3,039
3,040 - 4,172

10

log of geographic distance

Börner, Katy, Penumarthy, Shashikant, Meiss, Mark and Ke, Weimao. (2006) Mapping the Diffusion of Scholarly Knowledge Among Major U.S. Research Institutions. Scientometrics. 68(3), pp. 415-426.

Stanford U

### Research questions:

- 1. Does space still matter ucants in the Internet age?
- 2. Does one still have to

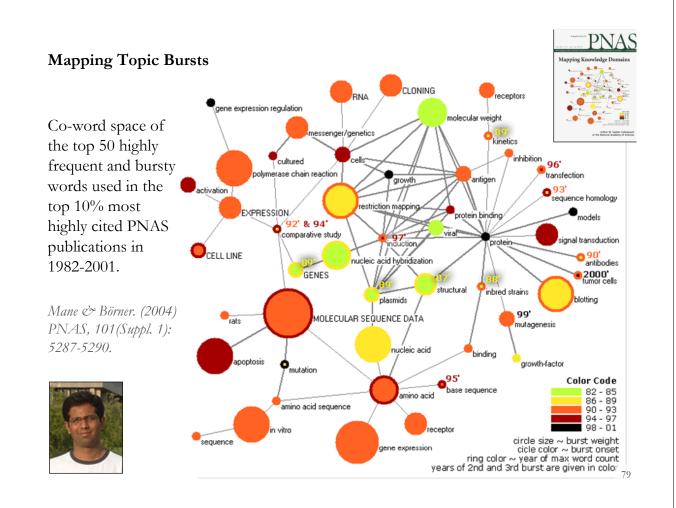
study and work at major research institutions in order to have access to

high quality data and expertise and to produce high

quality research?3. Does the Internet lead to more global citation patterns, i.e., more citation links between papers produced at geographically distant research instructions?

### **Contributions:**

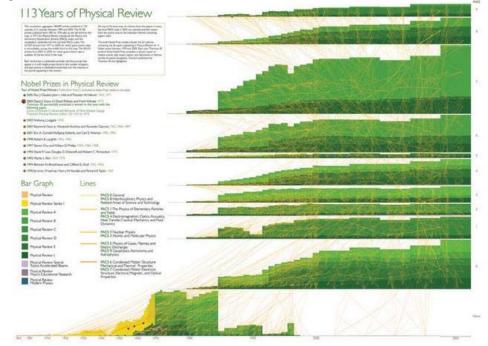
- Answer to Qs 1 + 2 is YES.
- Answer to Qs 3 is NO.
- Novel approach to analyzing the dual role of institutions as information producers and consumers and to study and visualize the diffusion of information among them.



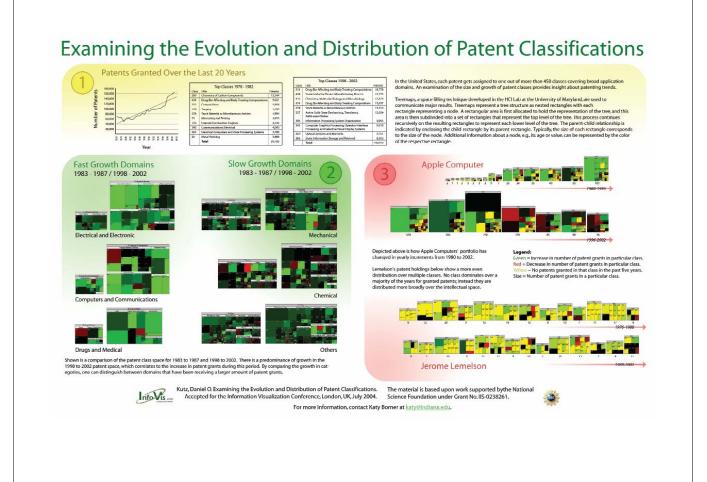
### 113 Years of Physical Review

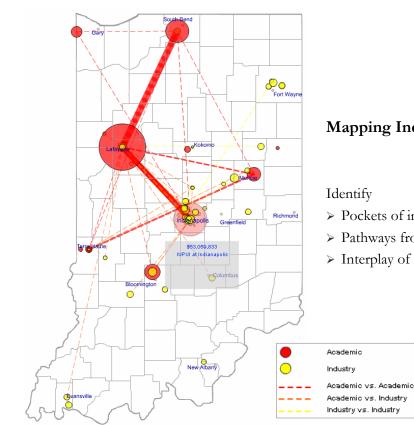
<u> http://scimaps.org/dev/map\_detail.php?map\_id=171</u>

Bruce W. Herr II and Russell Duhon (Data Mining & Visualization), Elisha F. Hardy (Graphic Design), Shashikant Penumarthy (Data Preparation) and Katy Börner (Concept)









### Mapping Indiana's Intellectual Space

- > Pockets of innovation
- > Pathways from ideas to products
- > Interplay of industry and academia

### Wikipedian Activity

Studying large scale social networks such as Wikipedia

### Vizzards 2007 Entry

Second Sight: An Emergent Mosaic of Wikipedian Activity, The NewScientist, May 19, 2007



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### Science Related Wikipedian Activity

http://scimaps.org/dev/map\_detail.php?map\_id=165

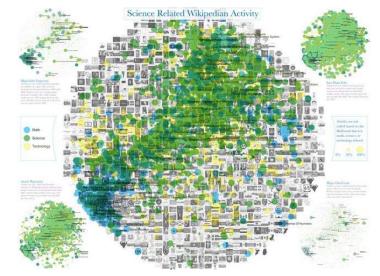
Same base map.

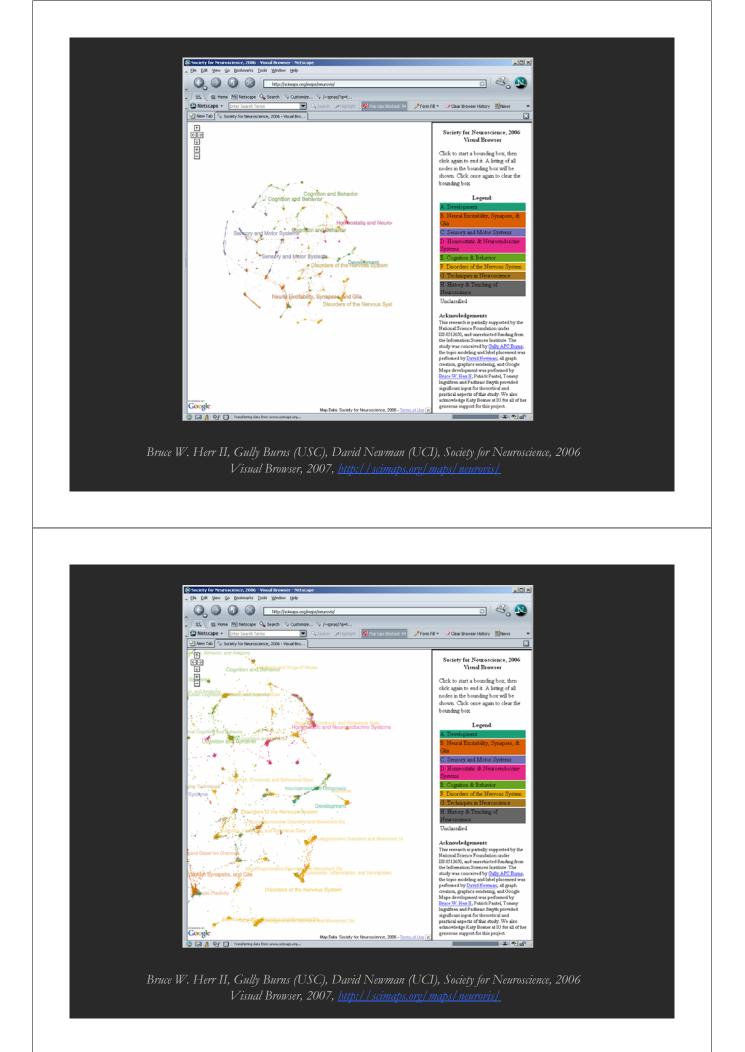
Overlaid are 3,599 math (blue), 6,474 science (green), and 3,164 technology relevant articles (yellow). All other articles are given in grey.

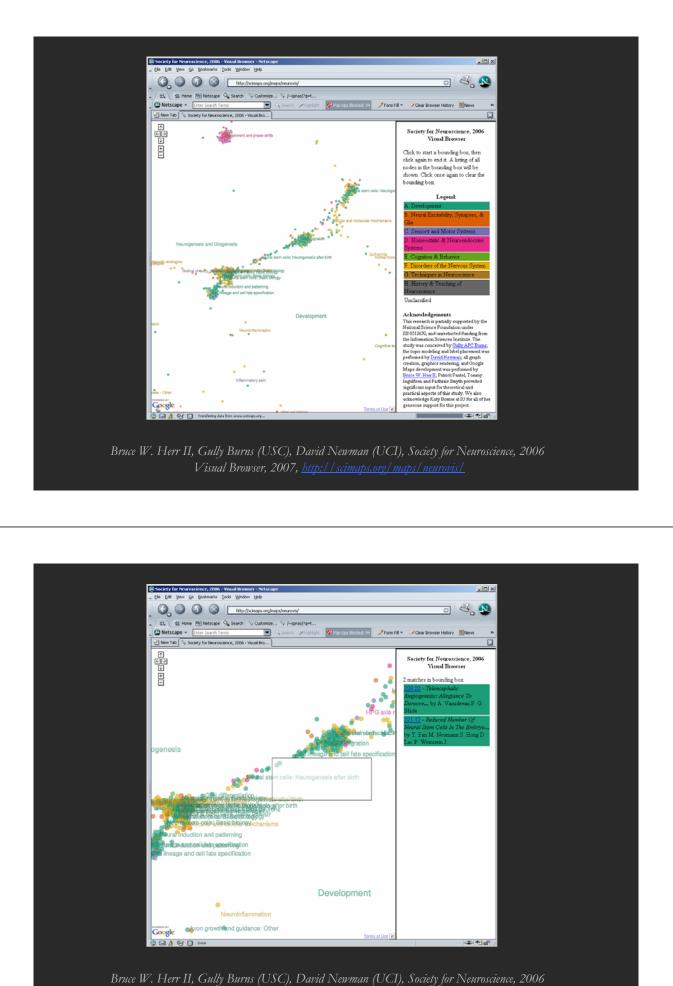
Corners show articles size coded according to

- -article edit activity (top left),
- number of major edits (top right),
- number of bursts in edit activity (bottom, right)
- indegree (bottom left).









Visual Browser, 2007. http://scimats.org/mats/neurovis/

