

Models of Science

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With special thanks to the members at the Cyberinfrastructure
for Network Science Center.
Other collaborators are mentioned in slides.

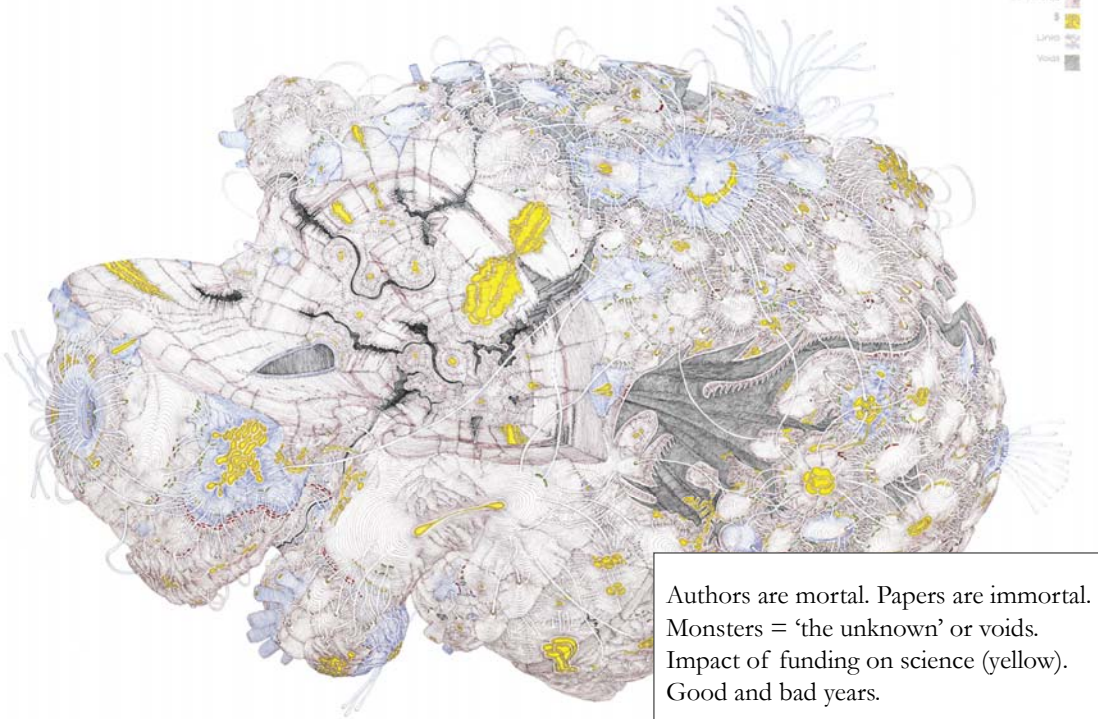
*NEH Summer Institute - Distinguished Lecture Series
UNC Charlotte, Charlotte, NC
June 2, 2011*



Four Parts:

1. Conceptualizing Science
2. Model Inspirations from Other Sciences
3. Models of Science
4. Tools to Model and Map Science

- Emerging
- Established
- Peak
- Decline
- Void



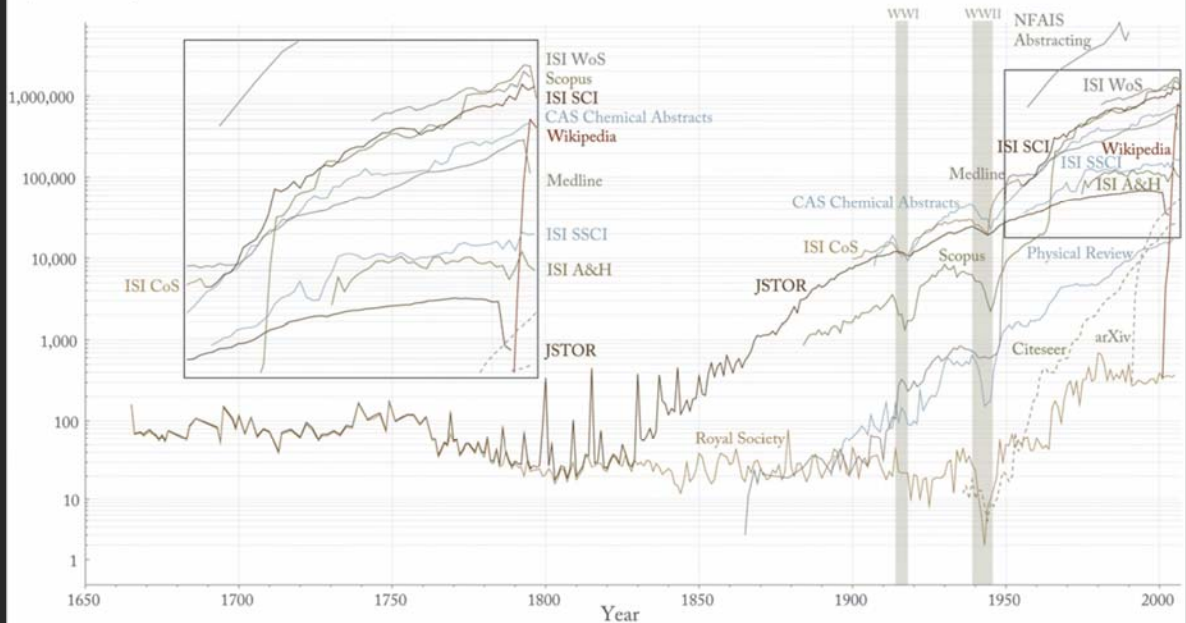
Authors are mortal. Papers are immortal.
 Monsters = 'the unknown' or voids.
 Impact of funding on science (yellow).
 Good and bad years.

One of Many Possible Interpretations

Daniel Zeller 2007

Hypothetical Model of the Evolution of Science - Daniel Zeller - 2007

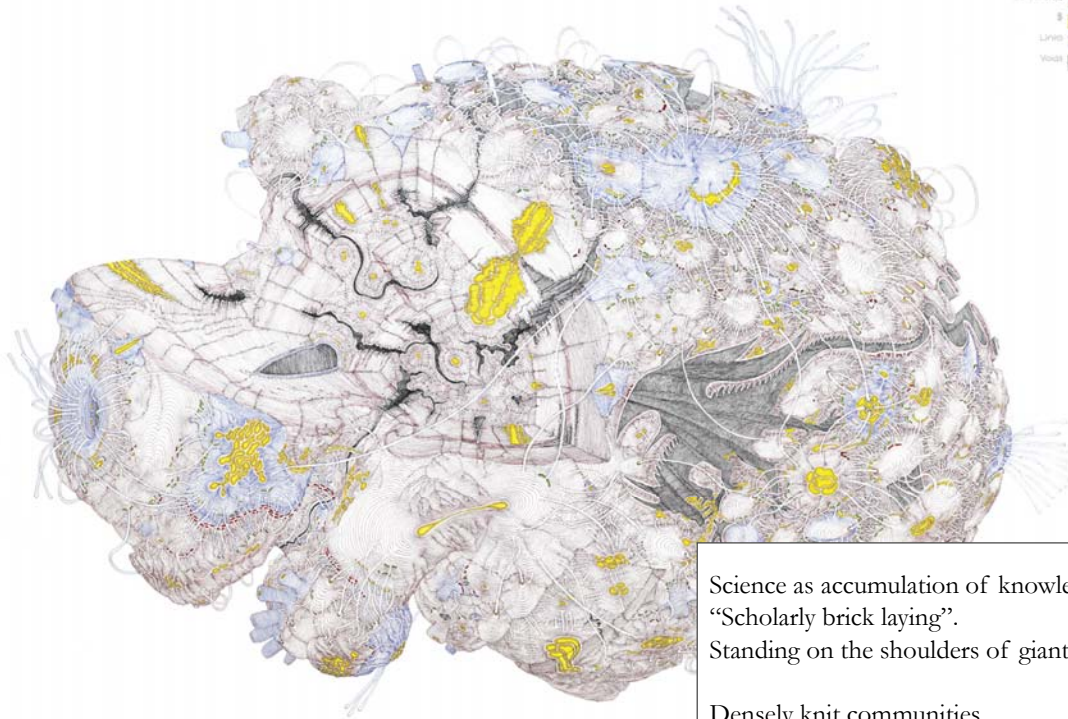
Papers & Wikipedia Entries



Atlas of Science: Guiding the Navigation and Management of Scholarly Knowledge, Part I: The Rise of Science and Technology. Chart showing the number of papers/wikipedia entries for different databases and publication years. Contact Katy Borner <katy@indiana.edu> or Elisha Hardy <efhardy@indiana.edu> for details.

Atlas of Science - Katy Borner - 2010

Emerging
Established
Lapses
Weak



One of Many Possible Interpretations

Science as accumulation of knowledge.
“Scholarly brick laying”.
Standing on the shoulders of giants.
Densely knit communities.
The importance of weak links.

Hypothetical Model of the Evolution of Science - Daniel Zeller - 2007

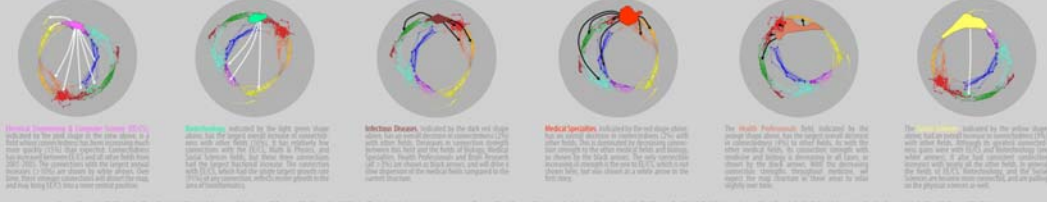
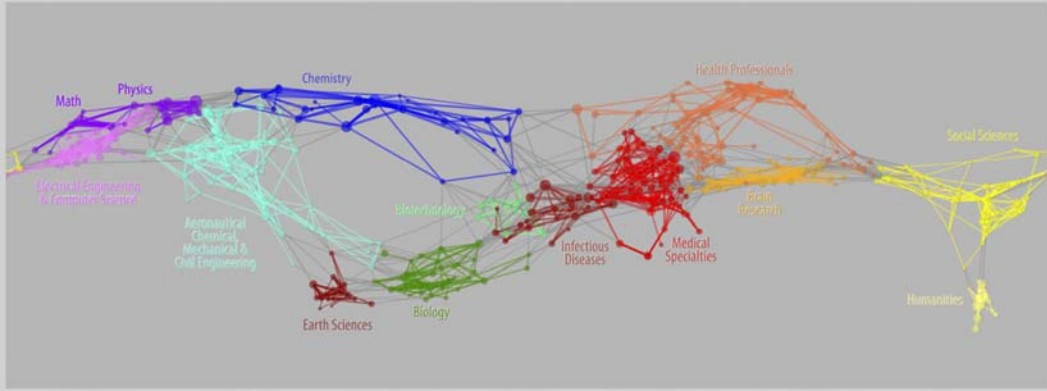
This map of science was constructed by sorting more than 70,000 journal title digraphs (two-letter combinations) into pairs of digraphs that share a common letter. A map of science was then constructed by plotting the position of each digraph on the surface of a sphere based on the two letters of the digraph. The model then links the digraphs based on their common letter. The model then links the digraphs based on their common letter. The model then links the digraphs based on their common letter. The model then links the digraphs based on their common letter.

MAPS OF SCIENCE

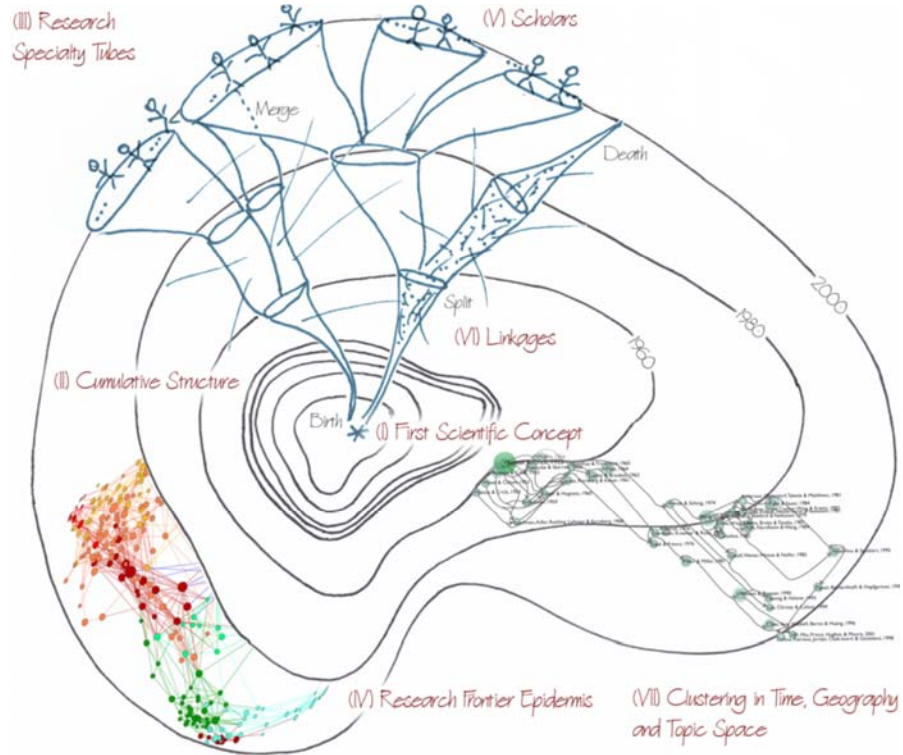
A visualization of 7.2 million scholarly documents appearing in over 16,000 journals, proceedings or symposia between Jan, 2001 and Dec, 2005

Forecasting Large Trends in Science

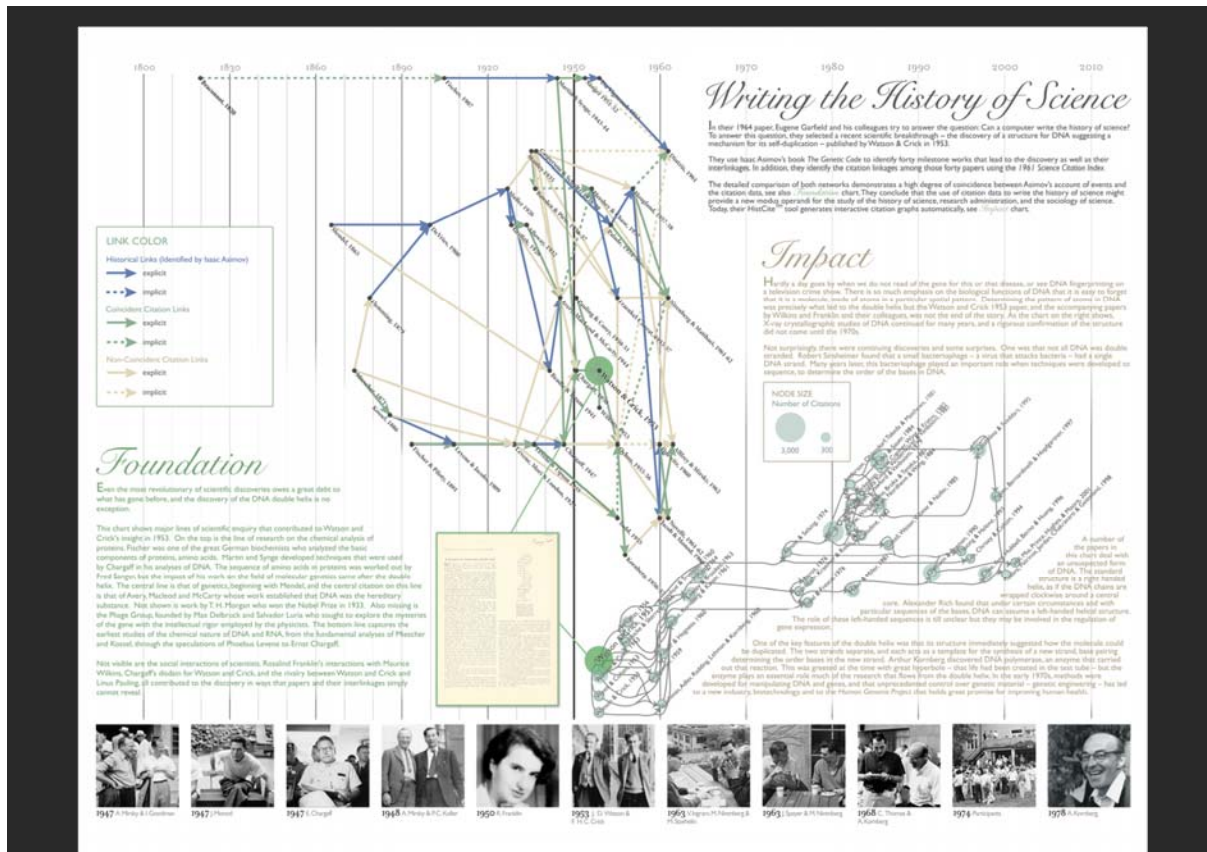
Calculations were performed using the large network graphing of digraphs (links) to determine if any of them were likely to speed large scale change in the structure of science over time. Correlation coefficients between fields were calculated for each individual link. A model then was constructed to see if there were significant correlations between fields. The model then links the digraphs based on their common letter. The model then links the digraphs based on their common letter. The model then links the digraphs based on their common letter.



Maps of Science: Forecasting Large Trends in Science - Richard Klavans, Kevin Boyack - 2007



Atlas of Science - Katy Borner - 2010



HistCite™ Visualization of DNA Development - Eugene Garfield, Elzsha Hardy, Katy Borner, Ludmila Pollock, Jan Witkowski- 2006

113 Years of Physical Review

The visualization aggregates 38,000 articles published in 726 volumes of *Physical Review* between 1893 and 2005. The 7,162 articles published from 1893 to 1976 are on the left and the 20,838 articles published from 1977 to 2005 are on the right. The 20,838 articles from 1977 to 2005 are color-coded by the Physical Review Section (PACS) codes and the visualization is color-coded by the journal's PACS codes. The 20,838 articles from 1977 to 2005 are color-coded by the Physical Review Section (PACS) codes and the visualization is color-coded by the journal's PACS codes. The 20,838 articles from 1977 to 2005 are color-coded by the Physical Review Section (PACS) codes and the visualization is color-coded by the journal's PACS codes.

On top of the bar map, all citations from the papers in every top-level PACS code in 2005 are sorted and then drawn from the source side to the citation side using connecting lines (see).

Nobel Prizes in Physical Review

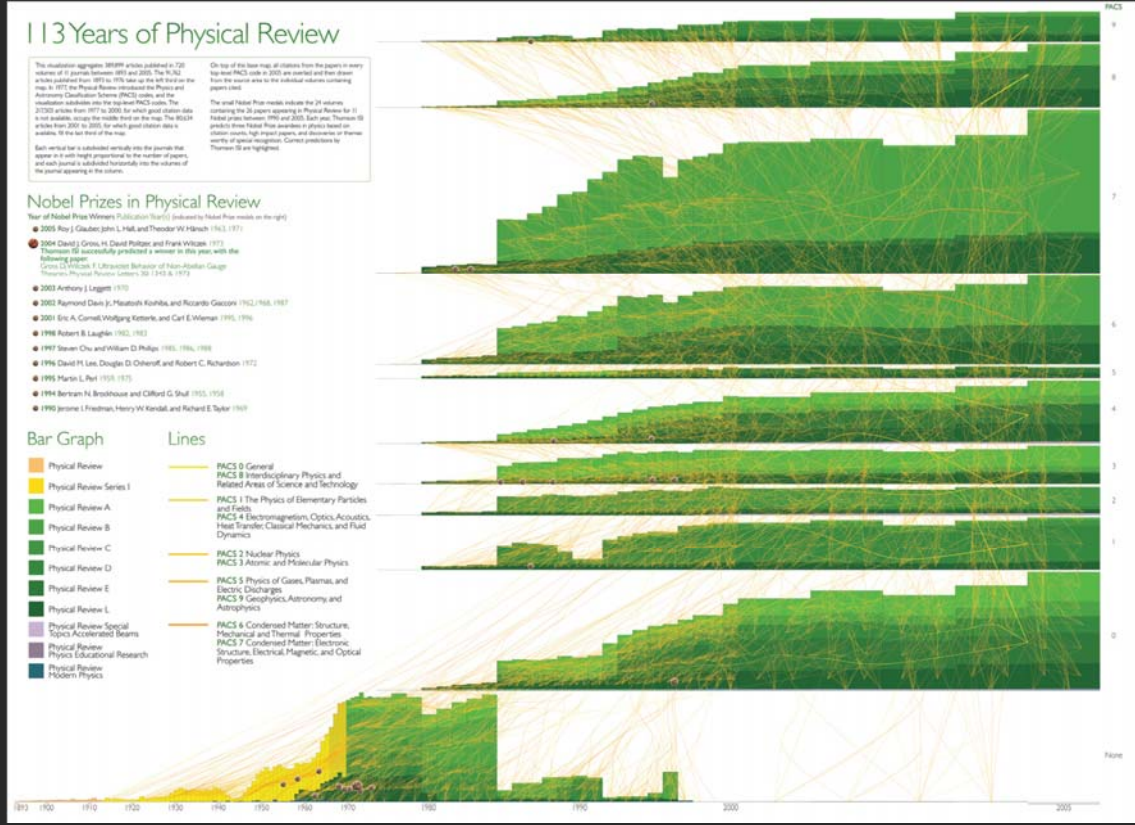
- Year of Nobel Prize Winner Publication Year(s) (indicated by Nobel Prize medals on the right)
- 2005 Roy J. Glauber, John L. Hall, and Theodor W. Hänsch (1963, 1971)
 - 1994 David J. Gross, H. David Politzer, and Frank Wilczek (1971)
 - 1982 John Bardeen, Leon N. Cooper, and Robert Schrieffer (1957)
 - 1982 Arthur J. Leggett (1970)
 - 2002 Raymond Davis Jr., Masatoshi Koshiba, and Riccardo Giacconi (1962, 1968, 1987)
 - 2001 Eric A. Cornell, Wolfgang Ketterle, and Carl E. Wieman (1995, 1996)
 - 1998 Robert B. Laughlin (1982, 1983)
 - 1997 Steven Chu and William D. Phillips (1982, 1986, 1988)
 - 1996 David H. Lee, Douglas D. Osheroff, and Robert C. Richardson (1972)
 - 1995 Martin L. Perl (1959, 1975)
 - 1994 Bertram N. Brockhouse and Clifford G. Shull (1955, 1958)
 - 1990 Jerome I. Friedman, Henry W. Kendall, and Richard E. Taylor (1969)

Bar Graph

- Physical Review
- Physical Review Series I
- Physical Review A
- Physical Review B
- Physical Review C
- Physical Review D
- Physical Review E
- Physical Review L
- Physical Review Special Topics Accelerated Beams
- Physical Review Physics Educational Research
- Physical Review Modern Physics

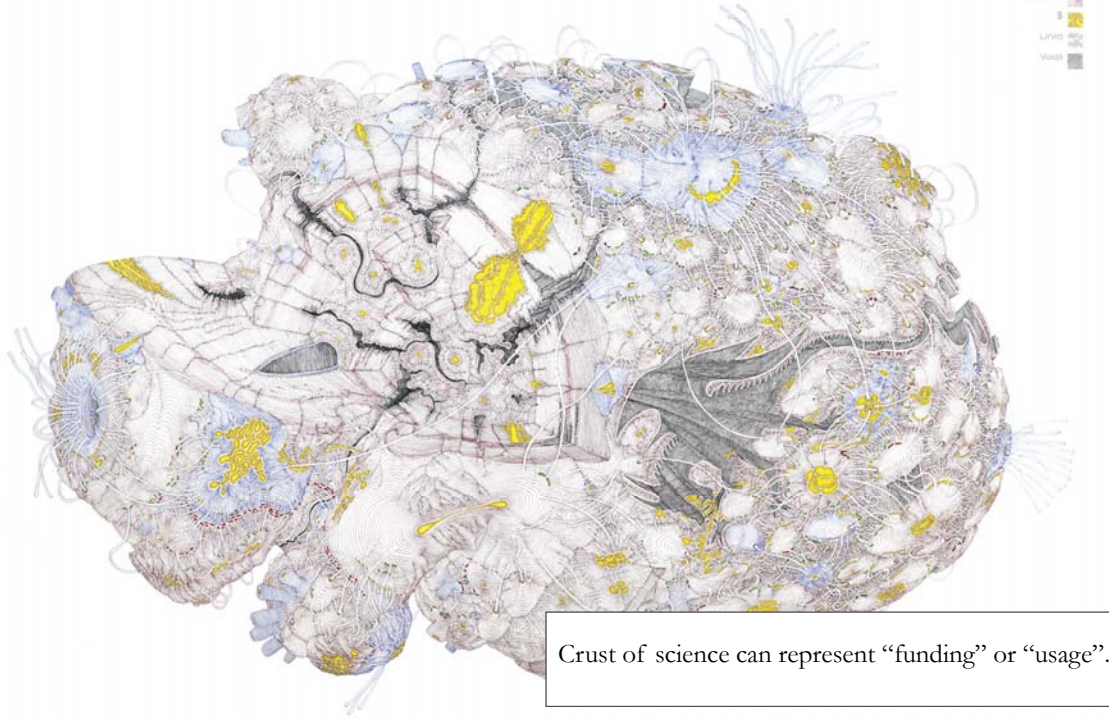
Lines

- PACS 0 General
- PACS 8 Interdisciplinary Physics and Related Areas of Science and Technology
- PACS 1 The Physics of Elementary Particles and Fields
- PACS 4 Electromagnetism, Optics, Acoustics, Heat Transfer, Classical Mechanics, and Fluid Dynamics
- PACS 2 Nuclear Physics
- PACS 3 Atomic and Molecular Physics
- PACS 5 Physics of Gases, Plasmas, and Electrics, Discharges
- PACS 9 Geophysics, Astronomy and Astrophysics
- PACS 6 Condensed Matter: Structure, Mechanical and Thermal Properties
- PACS 7 Condensed Matter: Electronic Structure, Electrical, Magnetic, and Optical Properties



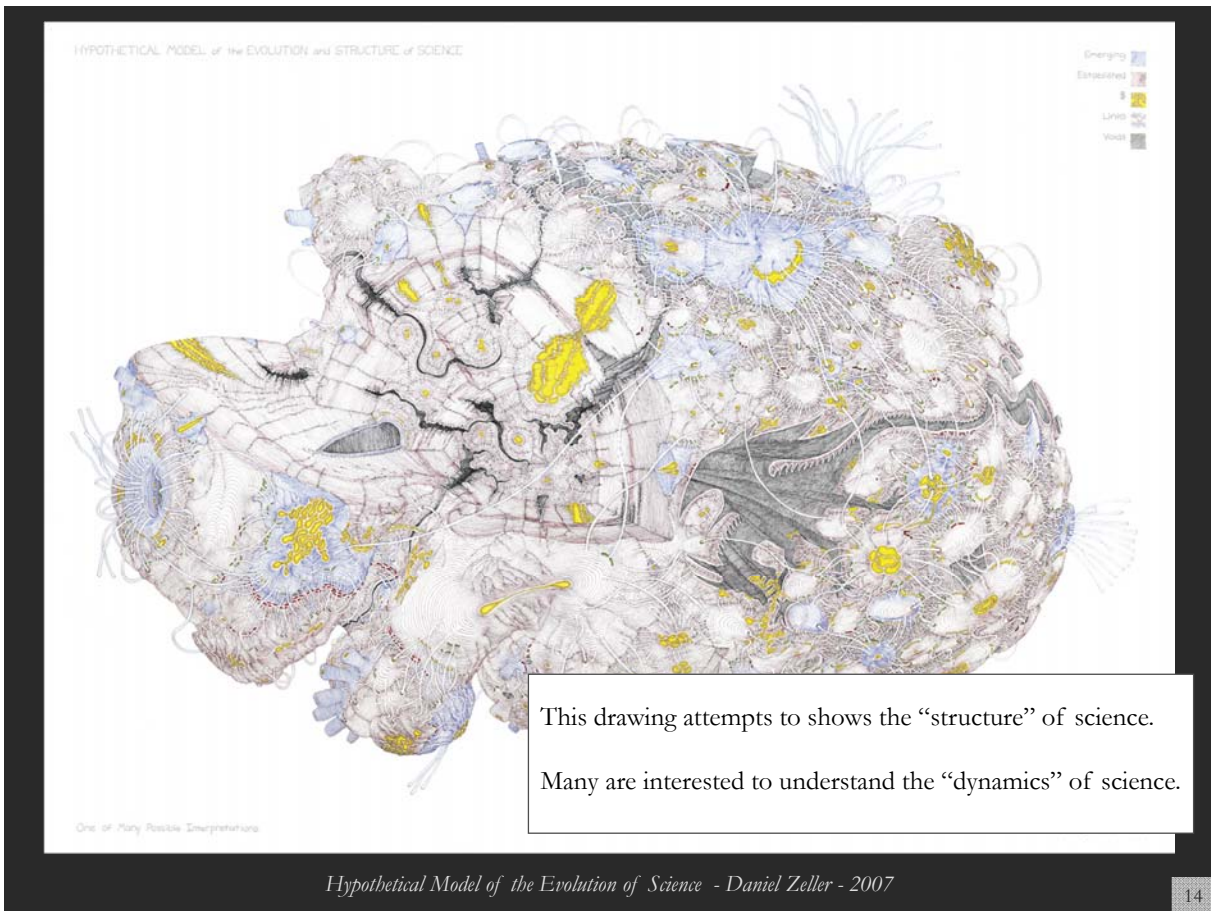
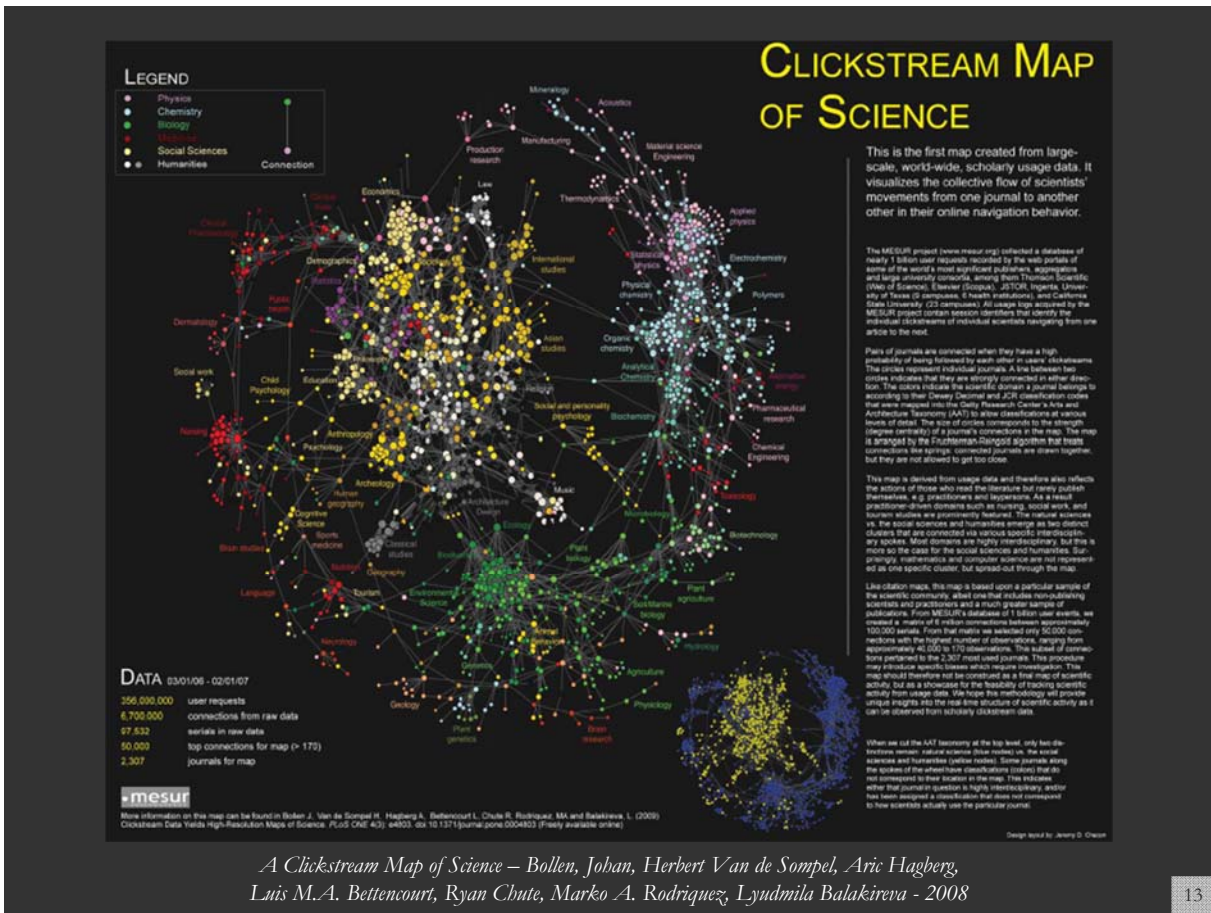
114 Years of Physical Review - Bruce W. Herr II, Russell Dubon, Katy Borner, Elisha Hardy, Shashikant Penumarthy - 2007

HYPOTHETICAL MODEL of the EVOLUTION and STRUCTURE of SCIENCE



Crust of science can represent "funding" or "usage".

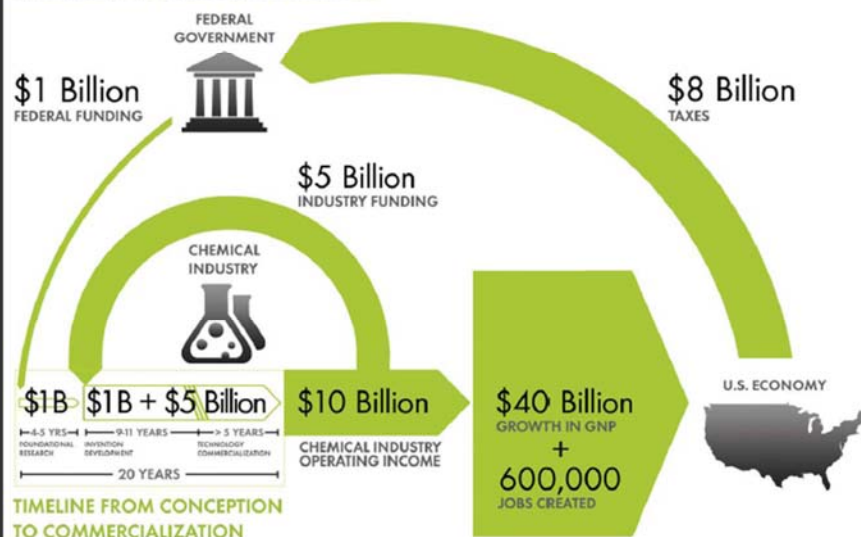
Hypothetical Model of the Evolution of Science - Daniel Zeller - 2007



Chemical Research & Development Powers the U.S. Innovation Engine

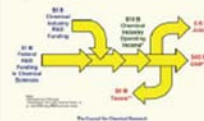
Macroeconomic Implications of Public and Private R&D Investments in Chemical Sciences

INVESTMENT IN CHEMICAL SCIENCE R&D



The Council for Chemical Research (CCR)

has provided the U.S. Congress and government policy makers with important results regarding the impact of Federal Research & Development (R&D) investments on U.S. innovation and global competitiveness through its commissioned 5-year two phase study. To take full advantage of typically brief access to policy makers, CCR developed the graphic below as a communication tool that distills the complex data produced by these studies in direct, concise and clear terms.



The design shows that an input of \$1B in federal investment, leveraged by \$5B industry investment, brings new technologies to market and results in \$10B of operating income for the chemical industry, \$40B growth in the Gross National Product (GNP) and further impacts the US economy by generating approximately 600,000 jobs, along with a return of \$8B in taxes. Additional details, also reported in the CCR studies, are depicted in the map to the left. This map clearly shows the two R&D investment cycles; the shorter industry investment at the innovation stage to commercialization cycle; and the longer federal investment cycle which begins in basic research and culminates in national economic and job growth along with the increase tax base that in turn is available for investment in basic research.

Council for Chemical Research - Chemical R&D Powers the U.S. Innovation Engine. Washington, DC. Courtesy of the Council for Chemical Research - 2009

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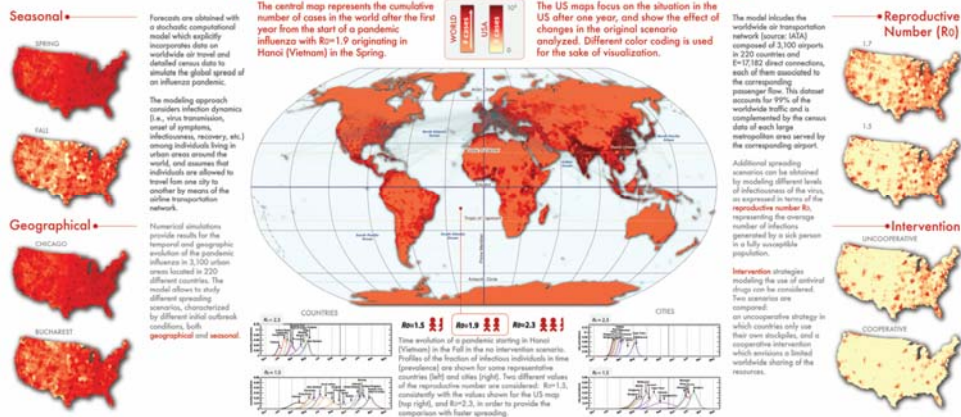
Modeling of Science

Learning from Epidemiology

Impact of Air Travel on Global Spread of Infectious Diseases



Forecasts of the Next Pandemic Influenza



Impact of Air Travel on Global Spread of Infectious Diseases - Vittoria Colizza, Alessandro Vespignani - 2007

Modeling Science

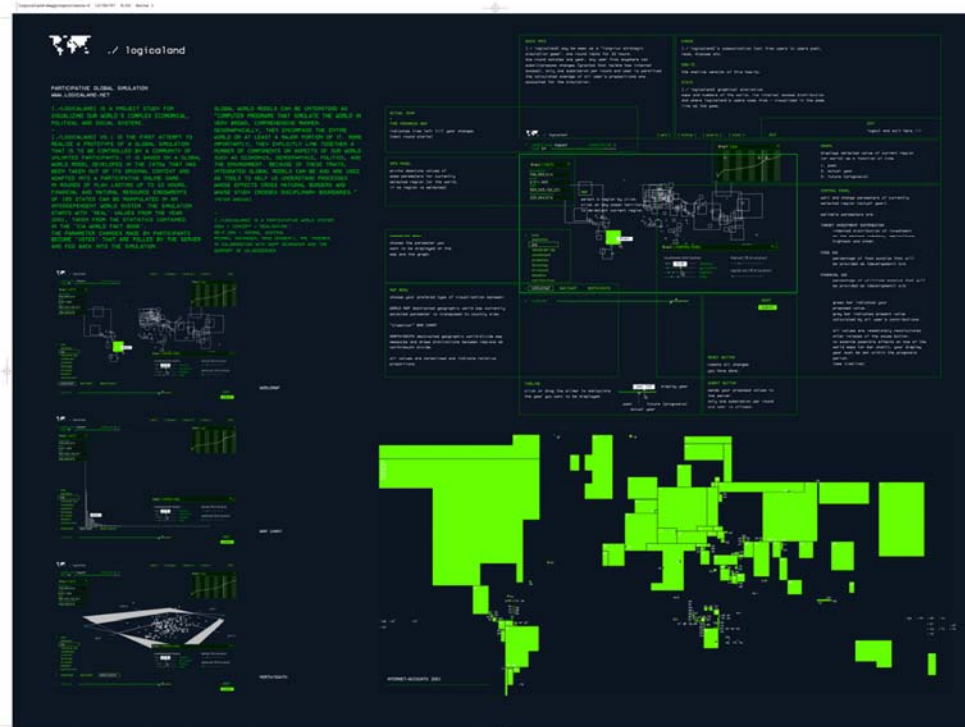
Learning from Economics



Self amplifying downward spiral | 'systemic' meltdown with intertwined breakdowns | 'war room' analyses | market wind tunnel | power market test bed | Regulators feel duty-bound to adhere to generally accepted and well-vetted techniques

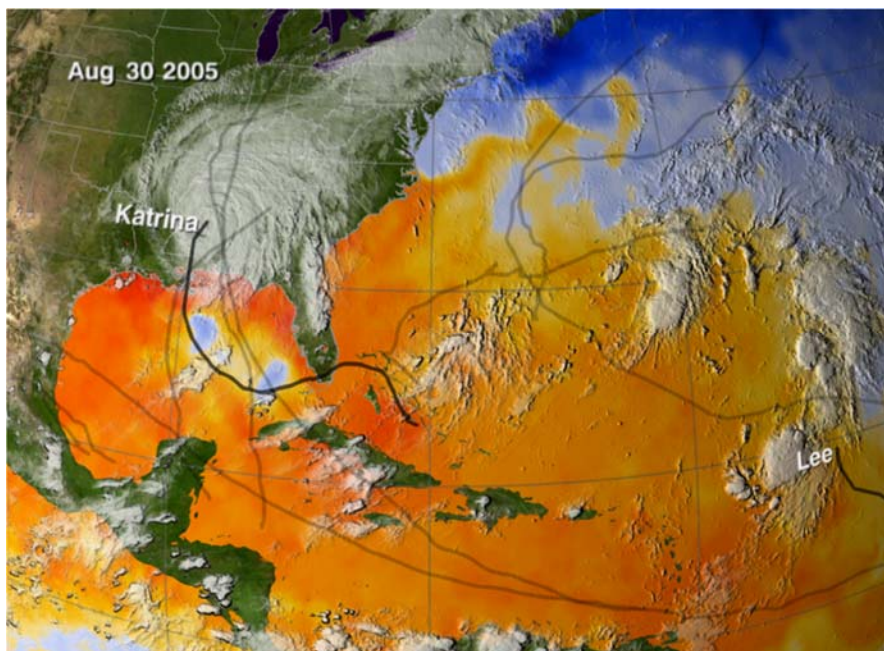
"... while any new technical device or medical drug has extensive testing for efficiency, reliability and safety before it ever hits the market, we still implement new economic measures without any prior testing." Dirk Helbing

Modeling Science *Learning from Economics*



Logicland Participative Global Simulation - Michael Ashauer, Maia Gusberti, Nik Thoenen - 2002

Mapping Science *Learning from Meteorology*



Named Storms, available online at <http://svs.gsfc.nasa.gov/vis/a000000/a003200/a003279>

Patch-working Models/Studies/Maps of Science *Learning from Astronomy*

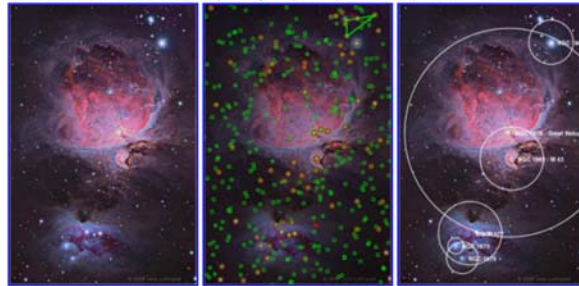


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Gallery of Solved Images

In the images below, the red circles are stars our algorithm automatically detects in the image, and the green circles are stars from our master index which appear in the query image. Nebulae, constellations and other objects can be automatically overlaid on the image after it has been solved.

A shot of the Great Nebula, by Jerry Lodriguss (c.2006), from [astropix.com](#)

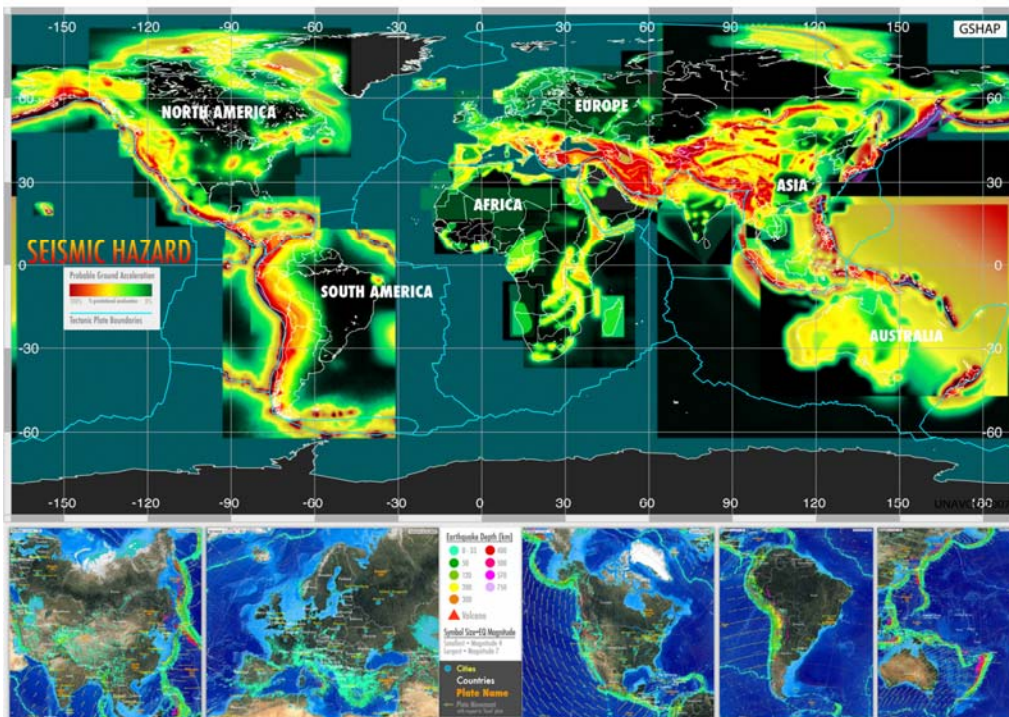


<http://www.astrometry.net/gallery.html>

http://cosmo.nyu.edu/hogg/research/2006/09/28/astrometry_google.pdf

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Patch-working Models/Studies/Maps of Science *Learning from Seismology*



Tectonic Movements and Earthquake Hazard Predictions - Martin W. Hamburger, Lou Estey, Chuck Meertens, Elisha Hardy - 2005

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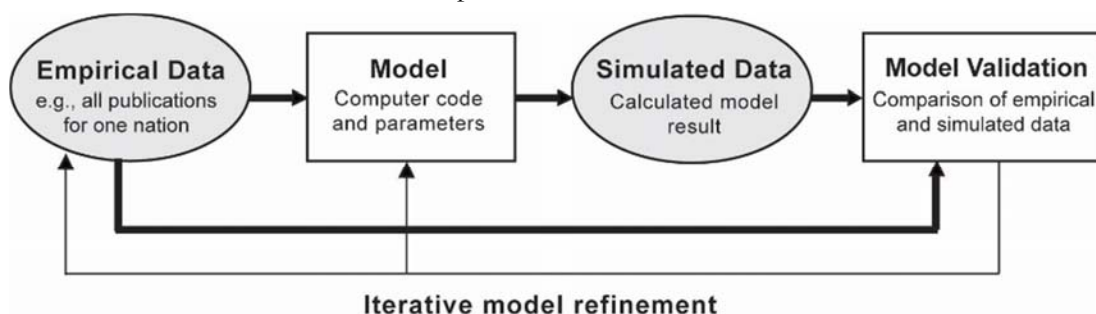
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An introduction to modeling science: Basic model types, key definitions, and a general framework for the comparison of process models

Katy Börner, Kevin W. Boyack, Staša Milojević, Steven Morris. (2011) In Scharnhorst, Andrea, Börner, van den Besselaar (Eds) Models of Science Dynamics. Springer Verlag.

Modeling Process

1. Formulation of a scientific hypothesis about the identification of a specific structure or dynamics. Often, this hypothesis is based on analysis of patterns found in empirical data.
2. Algorithm design and implementation using either tools (e.g., NetLogo, RePast) or custom codes that attempt to mathematically describe the structure or dynamics of interest.
3. Simulated data are calculated by running the algorithm and validated by comparison with empirical data.
4. Resulting insights frequently inspire new scientific hypotheses, and the model is iteratively refined or new models are developed.



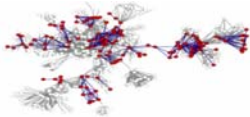
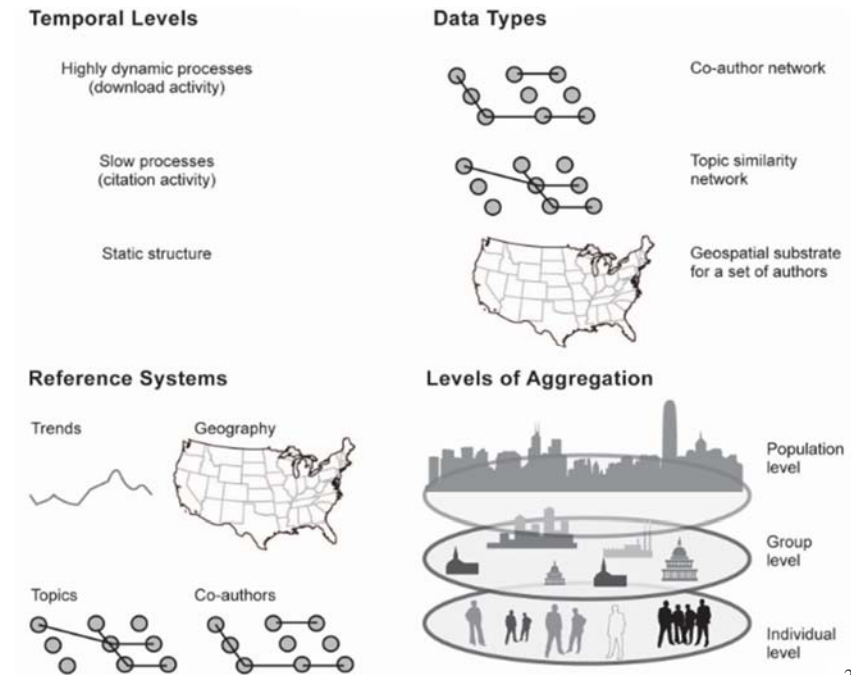
24

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Multi-level and multi-perspective models

It is often desirable to model a system at multiple levels using different vantage points.



Descriptive Models vs. Process Models

Descriptive Models

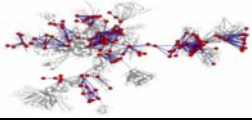
Aim to describe the major features of a (typically static) data set, e.g., statistical patterns of article citation counts, networks of citations, individual differences in citation practice, the composition of knowledge domains, and the identification of research fronts as indicated by new but highly cited papers.

Bibliometrics, Scientometrics, or KDVIs

Process Models

Aim to simulate, statistically describe, or formally reproduce the statistical and dynamic characteristics of interest. Of particular interest are models that “conform to the measured data not only on the level where the discovery was originally made but also at the level where the more elementary mechanisms are observable and verifiable” (Willinger, Govindan, Jamin, Paxson, & Shenker, 2002), p.2575.

Statistical Physics and Sociology

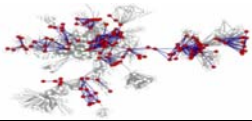


Descriptive Models

Examples:

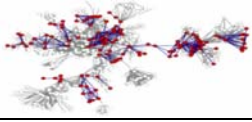
- Detect advances of scientific knowledge via "longitudinal mapping" (Garfield, 1994).
- Synthesis of specialty narratives from co-citation clusters (Small, 1986).
- Identify cross-disciplinary fertilization via "passages through science" (Small, 1999, 2000).
- Understand scholarly information foraging (Sandstrom, 2001).
- Knowledge discovery in un-connected terms (Swanson & Smalheiser, 1997).
- Determine areas of expertise for specific researcher, research group via "invisible colleges" (note that researchers self definition might differ from how field defines him/her) (Crane, 1972).
- Identify profiles of authors, also called CAMEOS, to be used to for document retrieval or to map an author's subject matter and studying his/her publishing career, or to map the social and intellectual networks evident in citations to and from authors and in co-authorships (White, 2001).

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-
- Identification of scientific frontiers <http://www.science-frontiers.com/>.
 - *ISI's Essential Science Indicators* <http://essentialscience.com/>
 - Import-export studies (Stigler, 1994).
 - Evaluation of 'big science' facilities using 'converging partial indicators' (Martin, 1996; Martin & Irvine, 1983).
 - Input (levels of funding, expertise of scientists, facilities used) - output (publications, patents, Nobel prizes, improved health, reduced environment insults, etc. - influenced by political, economic, financial, and legal factors studies (Kostroff & DelRio, 2001).
 - Determine influence of funding on research output (Boyack & Borner, 2002).
 - How to write highly influential paper (van Dalen & Henkens, 2001).

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Process Models

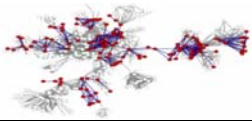
Can be used to predict the effects of

- Large collaborations vs. single author research on information diffusion.
- Different publishing mechanisms, e.g., E-journals vs. books on co-authorship, speed of publication, etc.
- Supporting interdisciplinary collaborations (shallow science? or decrease in duplication?).
- Many small vs. one large grant on # publications, Ph.D. students, etc.
- Resource distribution on research output.
- ...

In general, process model provide a means to analyze the structure and dynamics of science -- to study science using the scientific methods of science as suggested by Derek J. deSolla Price about 40 years ago.

We now do have the data, code and compute power to do this!

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Process Models

In *Sociology*, several mathematical models of network evolution have been developed (Banks & Carley, 95). Most assume a fixed number of edges.

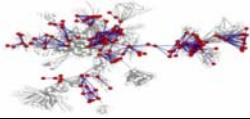
Snijders' Simulation Investigation for Empirical Network Analysis (SIENA) (<http://stat.gamma.rug.nl/snijders/siena.html>) is a probabilistic model for the evolution of social networks. It assumes a directed graph with a fixed set of actors.

Recent work in *Statistical Physics* aims to design models and analytical tools to analyze the statistical mechanics of topology and dynamics of real world networks. Of particular interest is the identification of elementary mechanisms that lead to the emergence of *small-world* (Albert & Barabási, 2002; Watts, 1999) and *scale free network structures* (Barabási, Albert, & Jeong, 2000). The models assume nodes of one type (e.g., web page, paper, author).

Examples:

- **Watts-Strogatz Model** for Small World Networks
- **Albert-Barabasi Model** for Scale Free Networks

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The Watts-Strogatz Model for Small World Networks

First model that generates graphs with small average path length and high clustering coefficients.

- Starting configuration is a regular lattice.
- Each edge is examined and is redirected with a probability p to another target node (chosen randomly).

Regular network (left) drastically changes from a set of tiny isolated clusters of nodes to a giant cluster joined by almost everybody.

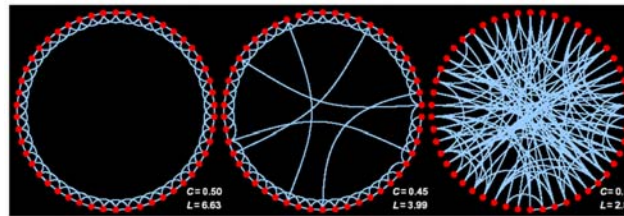


Figure 1. Watts-Strogatz model interpolates between a regular lattice (left) and a random graph (right). Randomly rewiring just a few edges (center) reduces the average distance between nodes, L , but has little effect on the clustering coefficient, C . The result is a "small-world" graph.

(Source: D.J. Watts and S. Strogatz, *Collective Dynamics of 'Small-World' Networks*. *Nature*, Vol. 393(6):pp. 440-442, June 1998.)

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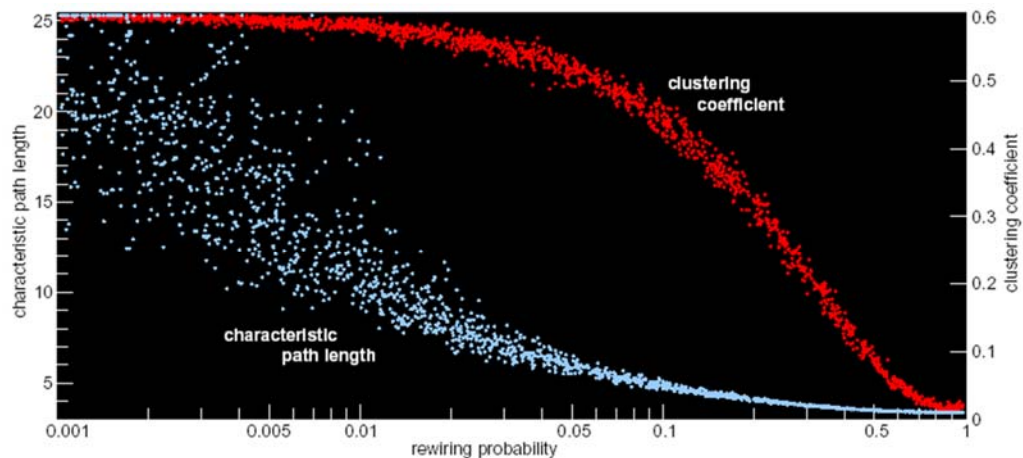
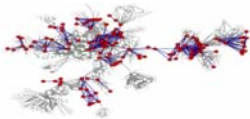
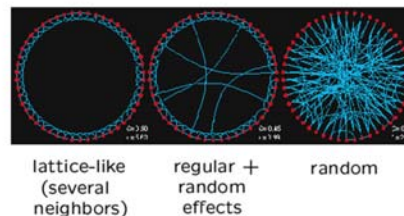
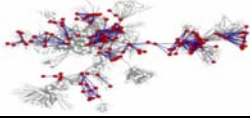


Figure 2. As the probability of rewiring increases in the Watts-Strogatz model, the characteristic path length falls off long before the clustering coefficient drops. Results are from 2,000 random graphs, each with 300 vertices and 900 edges.

$C(p)$ and $l(p)$ as a function of rewiring probability p .



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Variations of the WS Model

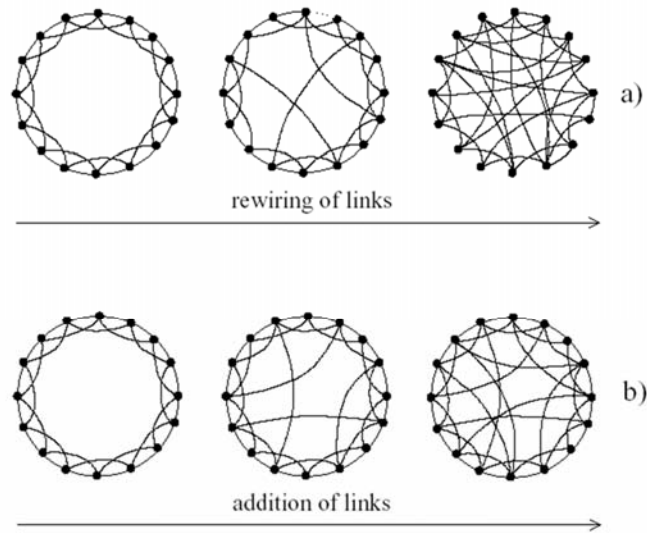
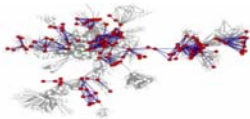


FIG. 10. Small-world networks in which the crossover from a regular lattice to a random network is realized. (a) The original Watts-Strogatz model with the rewiring of links [11]. (b) The network with the addition of shortcuts [133,134].

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The Barabasi–Albert (BA) Model for Scale Free Networks

Many large networks are scale free, their degree distribution follows a power law for large k . Random graph theory and the small world model cannot reproduce this feature.

- (1) Growth: Starting with a small number (m_0) of nodes, at every time step, we add a new node with $m(\leq m_0)$ edges that link the new node to m nodes already present in the system.
- (2) Preferential attachment: The probability p that a new node will be connected to node i depends on the degree k_i of node i , such that

$$p(k_i) = \frac{k_i}{\sum_j k_j}.$$

After t time-steps the network has $N = t + m_0$ nodes and mt edges.

This network evolves into a stationary scale-free state with the probability that a node has k edges following a power law with an exponent $\gamma_{BA} = 3$.

(Source: A.-L. Barabasi, R. Albert, *Emergence of scaling in random networks*, *Science* 286 (1999) 509512.)

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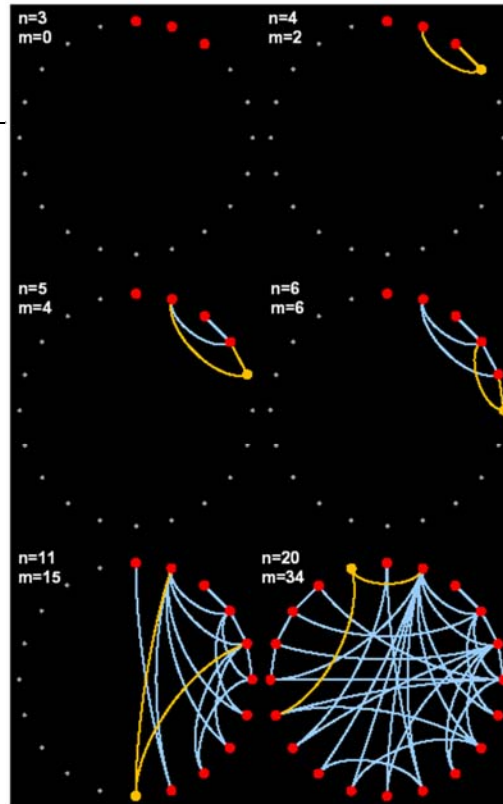
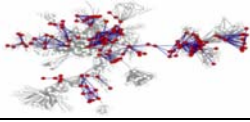
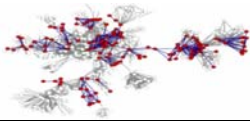


Figure 3. Model of Barabási, Albert and Jeong grows a graph by adding both vertices and edges. In the example shown here, each stage adds one new vertex and two new edges (yellow).

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Reka Albert, Albert-Laszlo Barabasi (2002). [Statistical mechanics of complex networks](#). cond-mat/0106096: Evolving Networks

Alternative models that simulate preferential attachment

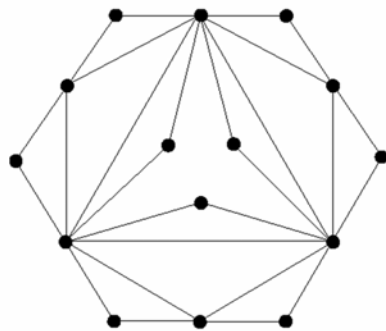


FIG. 23. A simple deterministic growing graph. At time $t = 0$, the graph is a triangle. At each time step every edge of the graph generates a new vertex which connects to both ends of the edge.

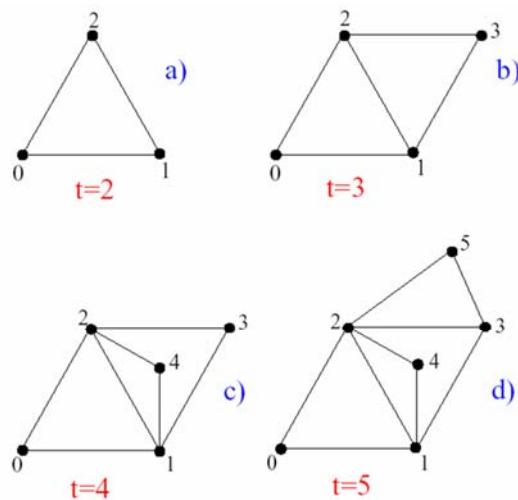


FIG. 21. Illustration of a simple model of a scale-free growing network [166]. In the initial configuration, $t = 2$, three vertices are present, $s = 0, 1, 2$ (a). At each increment of time, a new vertex with two edges is added. These edges are attached to the ends of a randomly chosen edge of the network.

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Information Diffusion Among Major U.S. Research Institutions

Börner, Katy, Penumathy, Shashikant, Meiss, Mark & Ke, Weimao. (2006). Mapping the Diffusion of Information among Major U.S. Research Institutions. *Scientometrics*. Vol. 68(3), 415 - 426.

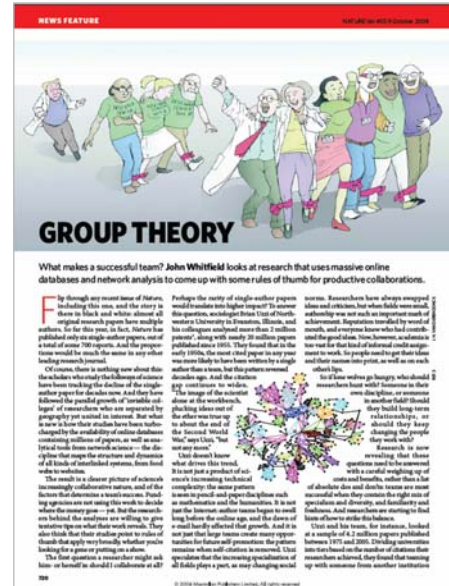


Questions:

1. Does space still matter in the Internet age, i.e., does one still have to study and work at major research institutions in order to have access to high quality data and expertise and to produce high quality research?
2. Does the Internet lead to more global citation patterns, i.e., more citation links between papers produced at geographically distant research institutions?

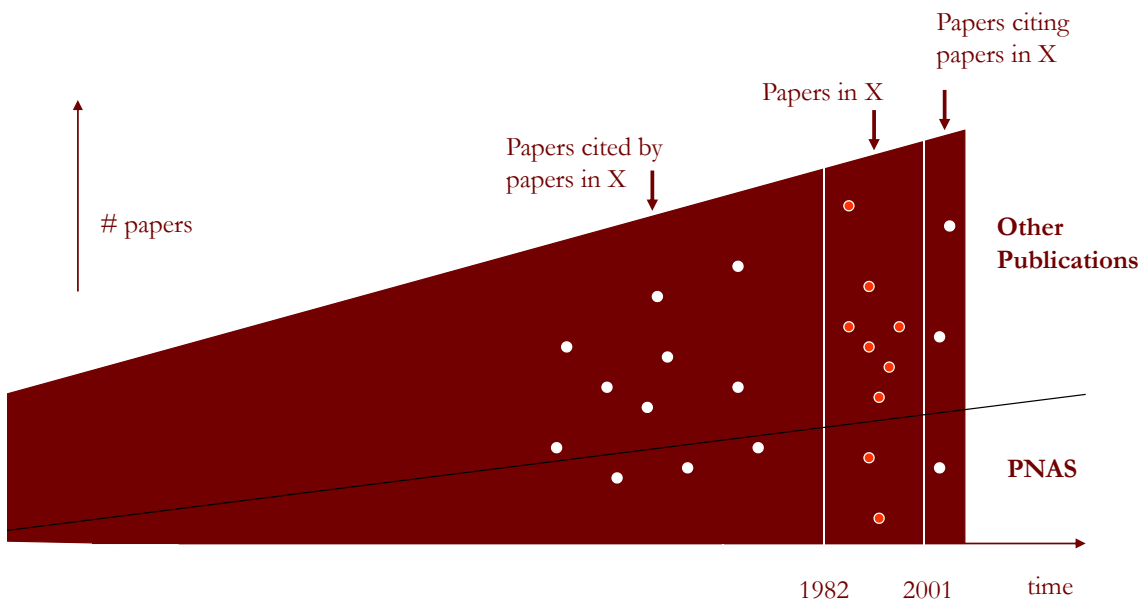
Contributions:

- Answer to Q1 is YES.
- Answer to Q2 is NO.
- Novel approach to analyzing the dual role of institutions as information producers and consumers and to study and visualize the diffusion of information among them.



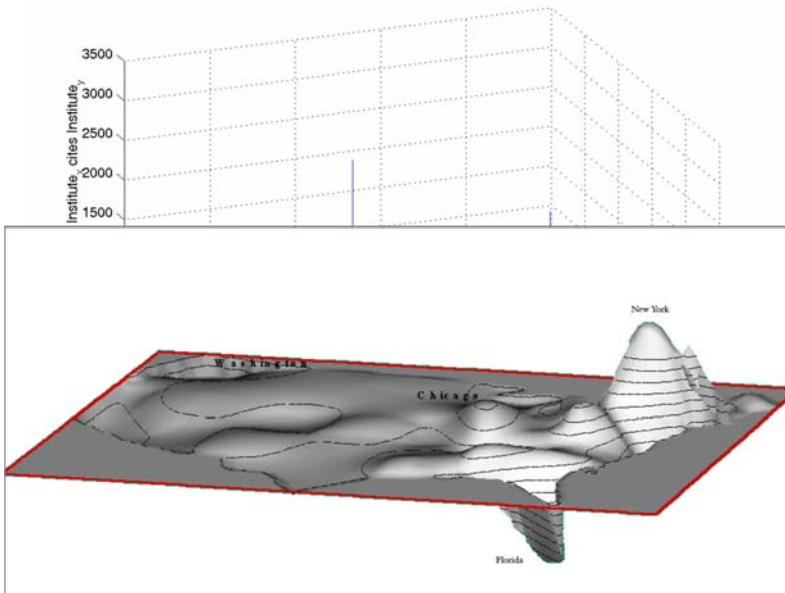
20-Year PNAS Dataset (1982-2001)

Coverage in terms of time span, total number of papers, and complete author's work



Citation Matrix

Unsymmetrical direct citation linkage patterns among the top 500 institutions. High peak values in the diagonal reflect the high amount of self-citations for all institutions. Medium peak horizontal and vertical lines denote references from and citations to papers written at Harvard University.



Information Sources (Export) and Sinks (Import)

Calculate ratio of the number of citations received by an institution divided by the sum of received citations and references made, multiplied by 100.

131 have a value between 0-40% acting mostly as information producers = information sources.

71 have a value between 60-100% and act mostly as information consumers – they reference a large number of papers but the number of citations they receive is comparably low = information sinks.

(Tobler, 1995)

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Geographic Location of Received Citations

Unsymmetrical direct citation linkage patterns among the top 500 institutions. High peak values in the diagonal reflect the high amount of self-citations for all institutions. Medium peak horizontal and vertical lines denote references from and citations to papers written at Harvard University.



40

Information Flow Among the Top-5 Consumers and Their Top-10 Producers

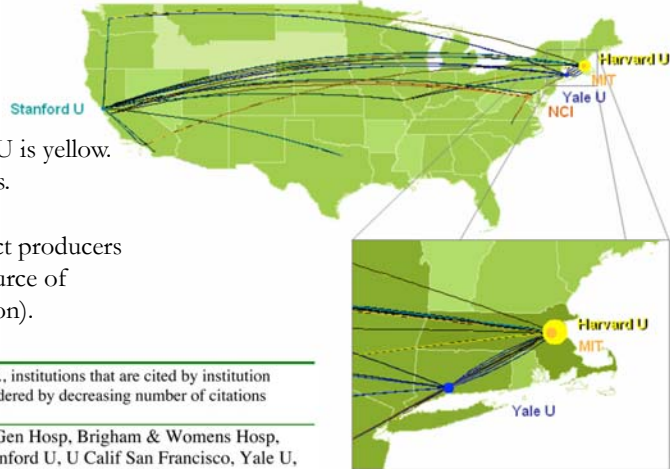
U.S. states are color coded based on the total number of citations received by their institutions (excluding self citations).

Dots indicate the five producers.

Each has a different color, e.g., Harvard U is yellow.

Dot area size depicts number of citations.

Lines represent citations that interconnect producers and consumers shaded from colored (source of information) to white (sink of information).



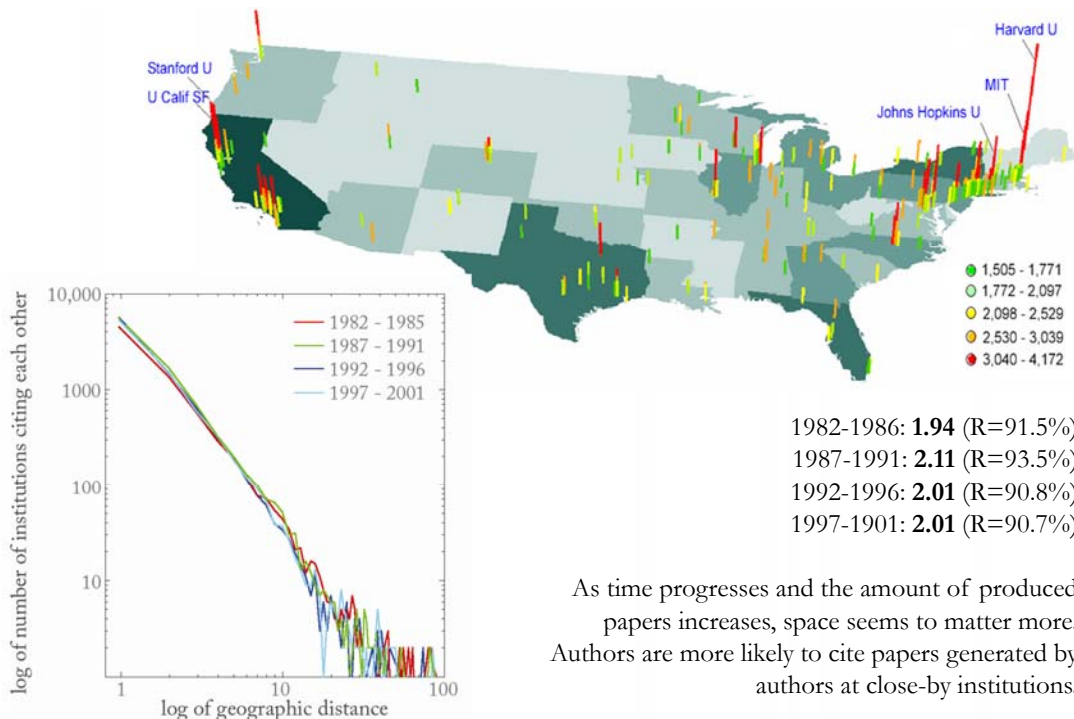
Consumers, i.e., citing institutions	# citations made	Top ten producers, i.e., institutions that are cited by institution listed in first column ordered by decreasing number of citations received.
Harvard U	13,552	MIT, Massachusetts Gen Hosp, Brigham & Womens Hosp, Johns Hopkins U, Stanford U, U Calif San Francisco, Yale U, Rockefeller U, U Washington, Washington U
U Calif SF	4,682	Harvard U, MIT, Stanford U, Johns Hopkins U, U Washington, Washington U, U Calif Berkeley, U Texas, U Calif SD, U Calif LA
MIT	4,655	Harvard U, Whitehead Inst Biomed Res, Johns Hopkins U, Stanford U, U Calif SF, Yale U, Rockefeller U, U Calif LA, Massachusetts Gen Hosp, U Calif Berkeley
NCI (zip: 20814)	4,519	Harvard U, NCI (zip: 20205), NCI (zip: 21701), MIT, Duke U, Johns Hopkins U, NIAID NICHHD, Stanford U, U Calif SF
Yale U	4,464	Harvard U, MIT, Stanford U, Rockefeller U, Johns Hopkins U, Washington U, U Calif SF, U Washington, NCI, Massachusetts Gen Hosp

Paper also shows top-5 producers and their top-10 consumers.

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Changes in Citation Behavior Over Time

Unsymmetrical direct citation linkage patterns among the top 500 institutions. High peak values in the diagonal reflect the high amount of self-citations for all institutions. Medium peak horizontal and vertical lines denote references from and citations to papers written at Harvard University.



As time progresses and the amount of produced papers increases, space seems to matter more. Authors are more likely to cite papers generated by authors at close-by institutions.

42

Modeling the Co-Evolving Author-Paper Networks

Börner, Katy, Maru, Jeegar & Goldstone, Robert. (2004). *The Simultaneous Evolution of Author and Paper Networks*. PNAS. Vol. 101(Suppl. 1), 5266-5273.



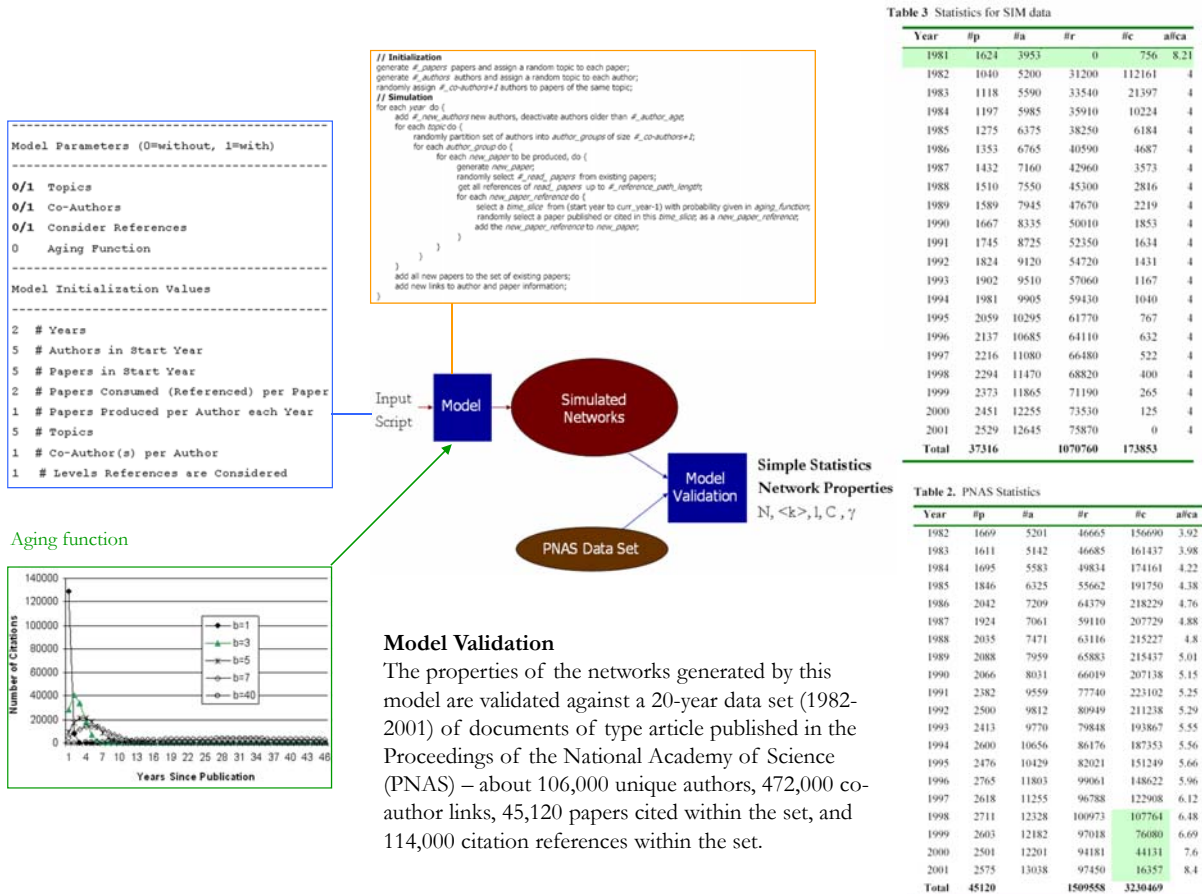
The TARD Model (Topics, Aging, and Recursive Linking) incorporates

- A partitioning of authors and papers into topics,
- Aging, i.e., a bias for authors to cite recent papers, and
- A tendency for authors to cite papers cited by papers that they have read resulting in a rich get richer effect.

The model attempts to capture the roles of authors and papers in the production, storage, and dissemination of knowledge.

Model Assumptions

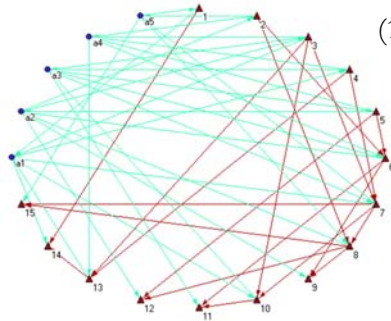
- Co-author and paper-citation networks co-evolve.
- Authors come and go.
- Papers are forever.
- Only authors that are 'alive' are able to co-author.
- All existing (but no future) papers can be cited.
- Information diffusion occurs directly via co-authorships and indirectly via the consumption of other authors' papers.
- Preferential attachment is modeled as an *emergent property* of the elementary, local networking activity of authors reading and citing papers, but also the references listed in papers.



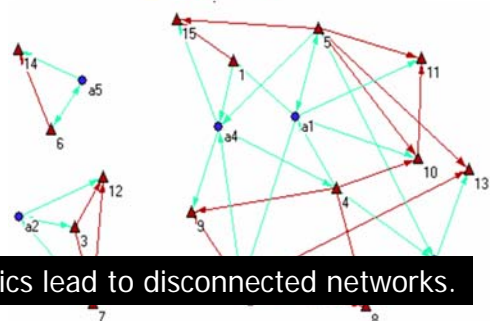
Aging function

The TARL Model: The Effect of Parameters

(0000)

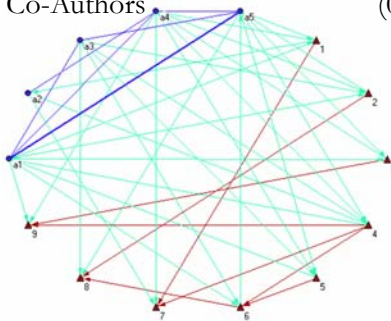


(1000) Topics

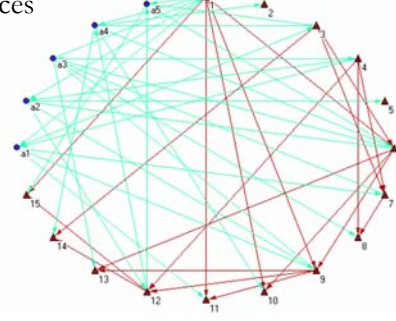


Topics lead to disconnected networks.

(0100) Co-Authors



(0010) References



Co-authoring leads to fewer papers.

Model Parameters (0=without, 1=with)

- 0/1 Topics
- 0/1 Co-Authors
- 0/1 Consider References
- 0 Aging Function

Model Initialization Values

- 2 # Years
- 5 # Authors in Start Year
- 5 # Papers in Start Year
- 2 # Papers Consumed (Referenced) per Paper
- 1 # Papers Produced per Author each Year
- 5 # Topics
- 1 # Co-Author(s) per Author
- 1 # Levels References are Considered

```

// Initialization
generate #_papers papers and assign a random topic to each paper;
generate #_authors authors and assign a random topic to each author;
randomly assign #_co-authors+J authors to papers of the same topic;
// Simulation
for each year do {
  add #_new_authors new authors, deactivate authors older than #_author_age;
  for each topic do {
    randomly partition set of authors into author_group of size #_author_age;
    for each author_group do {
      for each new_paper to be produced, do {
        generate new_papers;
        randomly select #_read_papers from existing papers;
        get all references of read_papers up to #_reference_path_length;
        for each new_paper, reference do {
          select a time_slice from (start year to curr_year-1) with probability given in aging_function;
          randomly select a paper published or cited in this time_slice as a new_paper_reference;
          add the new_paper_reference to new_paper;
        }
      }
    }
  }
  add all new papers to the set of existing papers;
  add new links to author and paper information;
}
        
```

Counts for Papers and Authors

Aging function

Counts for Citations

Input Script → **Model** → Simulated Networks → Model Validation → Simple Statistics Network Properties (N, <k>, l, C, γ)

PNAS Data Set → Model Validation

Table 2. Properties of co-author & paper citation networks comprising number of nodes n , average node degree $\langle k \rangle$, path length l , cluster coefficient C , and power law exponent γ . Source references are given in the left column.

Network	n	$\langle k \rangle$	l	C	γ	Reference
Co-authorship networks						
LANL	52,909	9.7	5.9	0.43	--	Newman, (2001a;
MEDLINE	1,520,251	18.1	4.6	0.066	--	2001b; 2001c)
SPIRES	56,627	1.73	4.0	0.726	1.2	
NCSTRL	11,994	3.59	9.7	0.496	--	
Math.	70,975	3.9	9.5	0.59	2.5	Barabasi et al., (2002)
Neurosci.	209,293	11.5	6	0.76	2.1	
PNAS	105,915	8.97	5.89	0.399	2.54	
Paper-citation networks						
ISI	783,339	8.57	--	--	3	Redner, (1998)
PhysRev	24,296	14.5	--	--	3	
PNAS	45,120	3.53	--	0.081	2.29	
SIM	37,114	2.13	--	0.074	2.05	

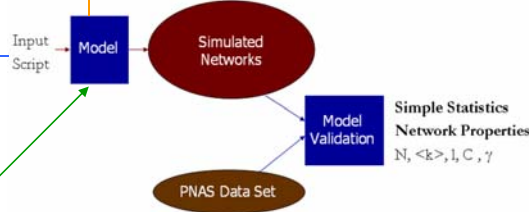
```

Model Parameters (0=without, 1=with)
-----
0/1 Topics
0/1 Co-Authors
0/1 Consider References
0 Aging Function
-----
Model Initialization Values
-----
2 # Years
5 # Authors in Start Year
5 # Papers in Start Year
2 # Papers Consumed (Referenced) per Paper
1 # Papers Produced per Author each Year
5 # Topics
1 # Co-Author(s) per Author
1 # Levels References are Considered
    
```

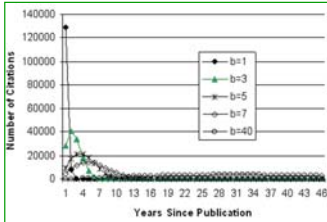
```

// Initialization
generate #_papers papers and assign a random topic to each paper;
generate #_authors authors and assign a random topic to each author;
randomly assign #_coauthors+J authors to papers of the same topic;
// Simulation
for each year do {
  add #_new_authors new authors, deactivate authors older than #_author_age;
  for each topic do {
    randomly partition set of authors into author_group of size #_coauthors+J;
    for each author_group do {
      for each new_paper to be produced, do {
        generate new_papers;
        randomly select #_read_papers from existing papers;
        get all references of read_papers up to #_reference_path_length;
        for each new_paper, reference do {
          select a time_slice from (start year to curr_year-1) with probability given in aging_function;
          randomly select a paper published or cited in this time_slice as a new_paper_reference;
          add the new_paper_reference to new_paper;
        }
      }
    }
  }
}
add all new papers to the set of existing papers;
add new links to author and paper information;
}
    
```

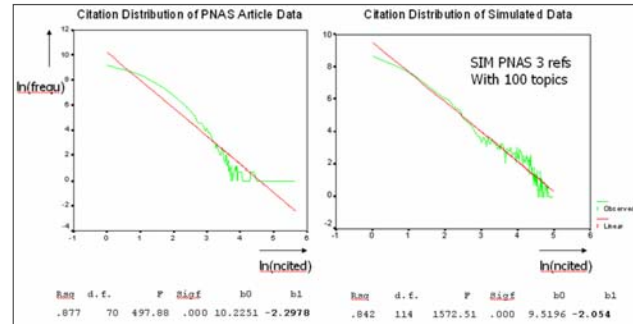
Co-Author and Paper-Citation Network Properties



Aging function



Power Law Distributions



```

Model Parameters (0=without, 1=with)
-----
0/1 Topics
0/1 Co-Authors
0/1 Consider References
0 Aging Function
-----
Model Initialization Values
-----
2 # Years
5 # Authors in Start Year
5 # Papers in Start Year
2 # Papers Consumed (Referenced) per Paper
1 # Papers Produced per Author each Year
5 # Topics
1 # Co-Author(s) per Author
1 # Levels References are Considered
    
```

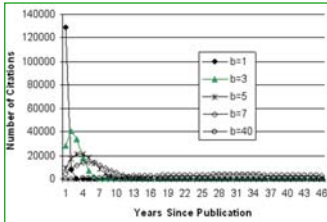
```

// Initialization
generate #_papers papers and assign a random topic to each paper;
generate #_authors authors and assign a random topic to each author;
randomly assign #_coauthors+J authors to papers of the same topic;
// Simulation
for each year do {
  add #_new_authors new authors, deactivate authors older than #_author_age;
  for each topic do {
    randomly partition set of authors into author_group of size #_coauthors+J;
    for each author_group do {
      for each new_paper to be produced, do {
        generate new_papers;
        randomly select #_read_papers from existing papers;
        get all references of read_papers up to #_reference_path_length;
        for each new_paper, reference do {
          select a time_slice from (start year to curr_year-1) with probability given in aging_function;
          randomly select a paper published or cited in this time_slice as a new_paper_reference;
          add the new_paper_reference to new_paper;
        }
      }
    }
  }
}
add all new papers to the set of existing papers;
add new links to author and paper information;
}
    
```

Topics: The number of topics is linearly correlated with the clustering coefficient of the resulting network: $C = 0.000073 * \# \text{topics}$. Increasing the number of topics increases the power law exponent as authors are now restricted to cite papers in their own topics area.

Aging: With increasing b , and hence increasing the number of older papers cited as references, the clustering coefficient decreases. Papers are not only clustered by topic, but also in time, and as a community becomes increasingly nearsighted in terms of their citation practices, the degree of temporal clustering increases.

Aging function

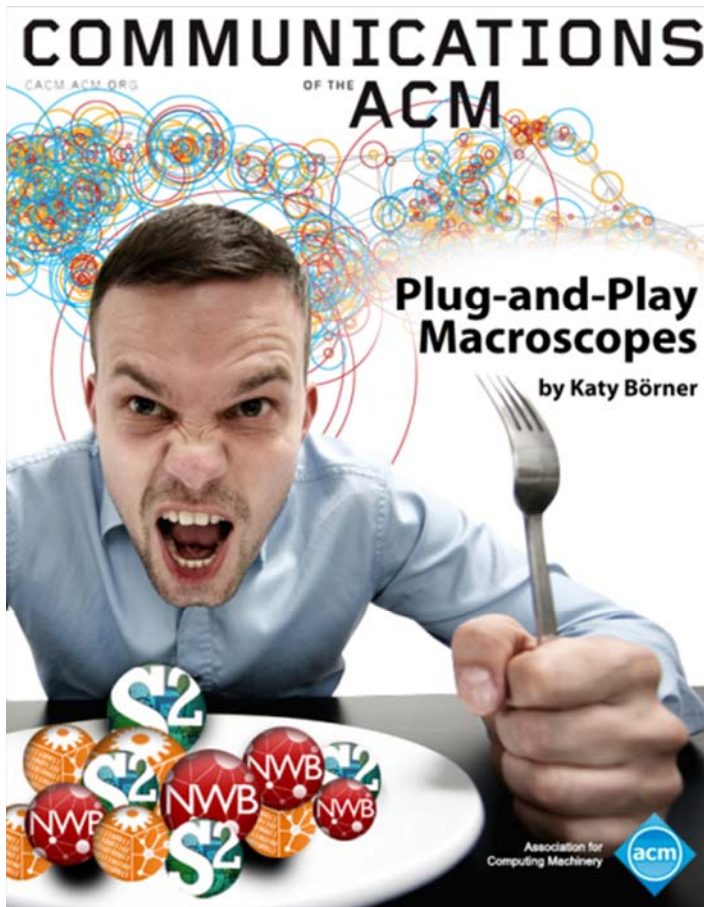


References/Recursive Linking: The length of the chain of paper citation links that is followed to select references for a new paper also influences the clustering coefficient. Temporal clustering is ameliorated by the practice of citing (and hopefully reading!) the papers that were the earlier inspirations for read papers.

Four Parts:

1. Conceptualizing Science
2. Model Inspirations from Other Sciences
3. Models of Science
4. Tools to Model and Map Science

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Börner, Katy. (March 2011). Plug-and-Play Macroscopes. *Communications of the ACM*, 54(3), 60-69.

Video and paper are at <http://www.scivee.tv/node/27704>

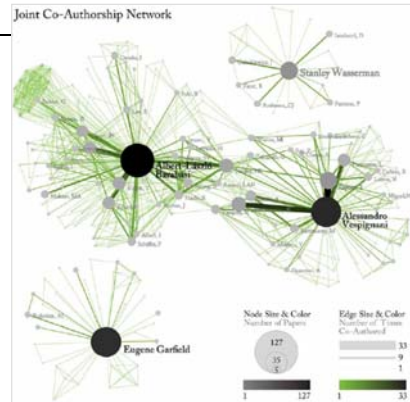
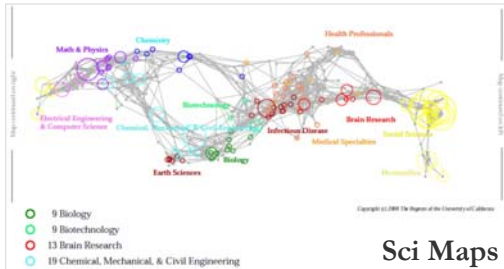
50



Sci² Tool – “Open Code for S&T Assessment”

<http://sci2.cns.iu.edu>

OSGi/CIShell powered tool with NWB plugins and many new scientometrics and visualizations plugins.



Horizontal Time Graphs



Börner, Katy, Huang, Weixia (Bonnie), Linnemeier, Micah, Dubon, Russell Jackson, Phillips, Patrick, Ma, Nianli, Zoss, Angela, Guo, Hanning & Price, Mark. (2009). *Reti-Netzwerk-Red: Analyzing and Visualizing Scholarly Networks Using the Scholarly Database and the Network Workbench Tool. Proceedings of ISSI 2009: 12th International Conference on Scientometrics and Informetrics, Rio de Janeiro, Brazil, July 14-17. Vol. 2, pp. 619-630.*

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Sci² Tool

Sci² Tool

File Preprocessing Modeling Analysis Visualization Scientometrics Help

Console

Welcome to the Science of Science Tool (Sci²). The development of this tool is supported in Network Science center and the School of Li Indiana University, the National Science Foundation and IIS-0715303, and the James S. McDonnell Cyberinfrastructure portal (<http://sci.slis.indiana.edu>).

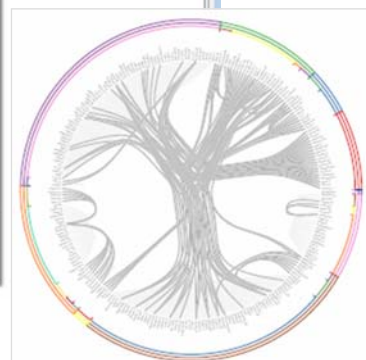
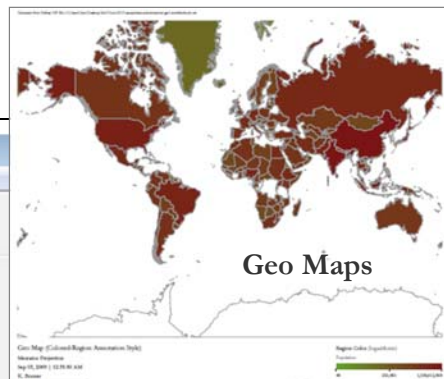
The primary investigators are Katy Börner, InSciTech Strategies Inc. The Sci² tool was developed by J. Duhon, Patrick A. Phillips, Chintan Tank, a Cyberinfrastructure Shell (<http://cishell.org>) for Network Science Center (<http://cns.slis.indiana.edu>). Many algorithm plugins were derived from the Network Workbench Tool (<http://nwb.slis.indiana.edu>).

Please cite as follows:
Sci² Team. (2009). Science of Science Tool. InSciTech Strategies Inc., <http://sci.slis.indiana.edu>.


Scheduler

Remove From List Remove completed

!	Algorithm Name	Date	Time	% Con
<input checked="" type="checkbox"/>	Extract Co-Author Netw...	09/03/2009	00:15:20 AM	100%
<input checked="" type="checkbox"/>	Load and Clean ISI File	09/03/2009	00:15:05 AM	100%



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Sci² Tool
A tool for science of science research & practice

Email Address

Password

Login

Forgot your password?
To recover your account password, please visit our [password recovery page](#).

Not registered yet?
[Register now](#)

Tutorials
Katy Börner (2010) Science of Science Research and Tools (12 Tutorials). Reporting Branch, Office of Extramural Research/Office of the Director, National Institutes of Health, Bethesda, MD.

- Tutorial #01: [Science of Science Research](#)
- Tutorial #02: [Network Science / Information Visualization](#)
- Tutorial #03: [CIShell Powered Tools: Network Workbench and Science of Science Tool](#)
- Tutorial #04: [Temporal Analysis—Burst Detection](#)
- Tutorial #05: [Geospatial Analysis and Mapping](#)
- Tutorial #06: [Topical Analysis & Mapping](#)
- Tutorial #07: [Tree Analysis and Visualization](#)
- Tutorial #08: [Network Analysis and Visualization](#)
- Tutorial #09: [Large Network Analysis and Visualization](#)
- Tutorial #10: [Using the Scholarly Database at IU](#)
- Tutorial #11: [VIVO National Researcher Networking](#)
- Tutorial #12: [Future Developments](#)

<http://sci2.cns.iu.edu>
<http://sci2.wiki.cns.iu.edu>

Geetha Senthil (2010). [Multidisciplinary Nature of Work With Reference to PIs and ICs Within a Portfolio](#). PA Group at NIH.

NIH Office of Extramural Research and Katy Börner (2010) [Network Visualizations Using SPIRES Data and the Sci2 Tool](#). Office of Extramural Research at NIH.



Type of Analysis vs. Level of Analysis

	<i>Micro/Individual</i> (1-100 records)	<i>Meso/Local</i> (101–10,000 records)	<i>Macro/Global</i> (10,000 < records)
<i>Statistical Analysis/Profiling</i>	Individual person and their expertise profiles	Larger labs, centers, universities, research domains, or states	All of NSF, all of USA, all of science.
<i>Temporal Analysis (When)</i>	Funding portfolio of one individual	Mapping topic bursts in 20-years of PNAS	113 Years of Physics Research
<i>Geospatial Analysis (Where)</i>	Career trajectory of one individual	Mapping a states intellectual landscape	PNAS Publications
<i>Topical Analysis (What)</i>	Base knowledge from which one grant draws.	Knowledge flows in Chemistry research	VxOrd/Topic maps of NIH funding
<i>Network Analysis (With Whom?)</i>	NSF Co-PI network of one individual	Co-author network	NSF's core competency



Sci² Tool: Algorithms

Preprocessing

Extract Top N% Records
Extract Top N Records
Normalize Text
Slice Table by Line

Extract Top Nodes
Extract Nodes Above or Below Value
Delete Isolates

Extract top Edges
Extract Edges Above or Below Value
Remove Self Loops
Trim by Degree
MST-Pathfinder Network Scaling
Fast Pathfinder Network Scaling

Snowball Sampling (in nodes)
Node Sampling
Edge Sampling

Symmetrize
Dichotomize
Multipartite Joining

Geocoder

Extract ZIP Code

Modeling

Random Graph
Watts-Strogatz
Small World
Barabási-Albert Scale-Free
TARL

Analysis

Network Analysis Toolkit (NAT)
Unweighted & Undirected

Node Degree
Degree Distribution

K-Nearest Neighbor (Java)
Watts-Strogatz Clustering Coefficient
Watts Strogatz Clustering Coefficient over K

Diameter
Average Shortest Path
Shortest Path Distribution
Node Betweenness Centrality

Weak Component Clustering
Global Connected Components

Extract K-Core
Annotate K-Coreeness

HTTS

Weighted & Undirected

Clustering Coefficient
Nearest Neighbor Degree
Strength vs Degree
Degree & Strength
Average Weight vs End-point Degree
Strength Distribution
Weight Distribution
Randomize Weights

Blondel Community Detection

HTTS

Unweighted & Directed

Node Indegree
Node Outdegree
Indegree Distribution
Outdegree Distribution

K-Nearest Neighbor
Single Node in-Out Degree Correlations

Dyad Reciprocity
Arc Reciprocity
Adjacency Transitivity

Weak Component Clustering
Strong Component Clustering

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Sci² Tool: Algorithms cont.

Extract K-Core
Annotate K-Coreeness

HTTS
PageRank
Weighted & Directed
HTTS
Weighted PageRank

Textual

Burst Detection

Visualization

GnuPlot
GUESS
Image Viewer

Radial Tree/Graph (prefuse alpha)
Radial Tree/Graph with Annotation
(prefuse beta)
Tree View (prefuse beta)
Tree Map (prefuse beta)
Force Directed with Annotation
(prefuse beta)
Fruchterman-Reingold with Annotation
(prefuse beta)

DrL (VxOrd)
Specified (prefuse beta)

Horizontal Bar Graph
Circular Hierarchy
Geo Map (Circle Annotation Style)
Geo Map (Colored-Region Annotation Style)
Science Map (Circle Annotation)

Scientometrics

Remove ISI Duplicate Records
Remove Rows with Multitudinous Fields
Detect Duplicate Nodes
Update Network by Merging Nodes

Extract Directed Network

Extract Paper Citation Network
Extract Author Paper Network

Extract Co-Occurrence Network

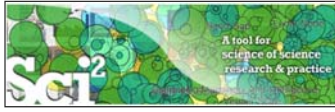
Extract Word Co-Occurrence Network
Extract Co-Author Network
Extract Reference Co-Occurrence
(Bibliographic Coupling) Network

Extract Document Co-Citation Network

Soon:

Database support for ISI and NSF data.

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Type of Analysis vs. Level of Analysis

	<i>Micro/Individual</i> (1-100 records)	<i>Meso/Local</i> (101–10,000 records)	<i>Macro/Global</i> (10,000 < records)
Statistical Analysis/Profiling	Individual person and their expertise profiles	Larger labs, centers, universities, research domains, or states	All of NSI, SA, all of sci
Temporal Analysis (When)	Funding portfolio of one individual	Research bursts of PNAS	113 Years of P Research
Geospatial Analysis (Where)	Career trajectory of one individual	Mapping a network of intellectual links	PNAS
Topical Analysis (What)		Research	VxOrd/Topic r NIH funding
Network Analysis (With Whom?)	NSI network of one		NIH's network

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EpiC
cyberinfrastructure for NETWORK SCIENCE CENTER CShell Powered

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References

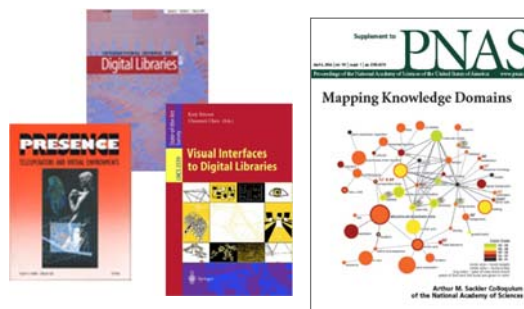
Börner, Katy, Chen, Chaomei, and Boyack, Kevin. (2003). **Visualizing Knowledge Domains**. In Blaise Cronin (Ed.), *ARIST*, Medford, NJ: Information Today, Volume 37, Chapter 5, pp. 179-255.
<http://ivl.slis.indiana.edu/km/pub/2003-borner-arist.pdf>

Shiffrin, Richard M. and Börner, Katy (Eds.) (2004). **Mapping Knowledge Domains**. *Proceedings of the National Academy of Sciences of the United States of America*, 101(Suppl_1).
http://www.pnas.org/content/vol101/suppl_1/

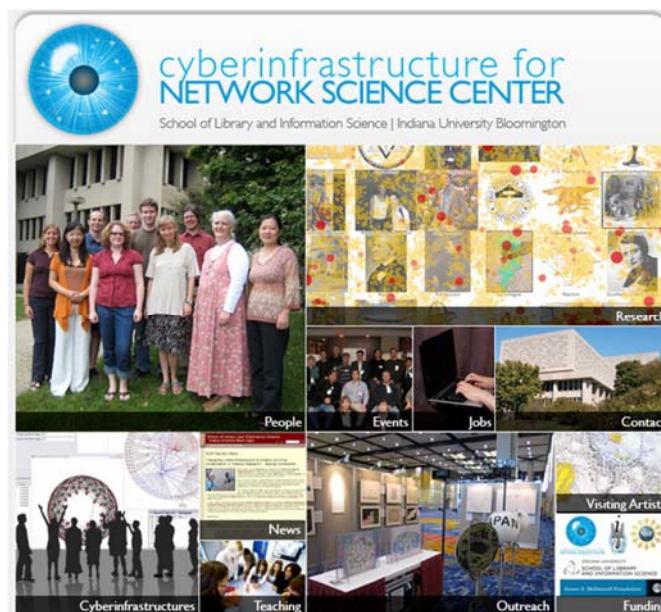
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<http://ivl.slis.indiana.edu/km/pub/2007-borner-arist.pdf>

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<http://scimaps.org/atlas>

Scharnhorst, Andrea, Börner, Katy, van den Besselaar, Peter (2011) **Models of Science Dynamics**. Springer Verlag.



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All papers, maps, tools, talks, press are linked from <http://cns.iu.edu>

CNS Facebook: <http://www.facebook.com/cnscenter>

Mapping Science Exhibit Facebook: <http://www.facebook.com/mappingscience>

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