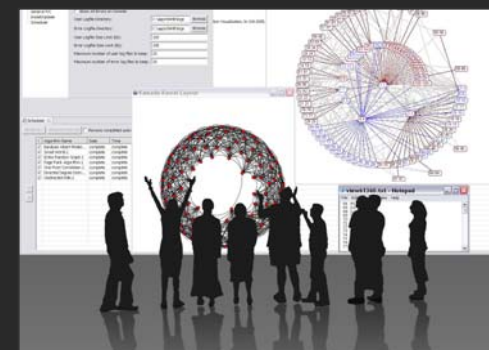
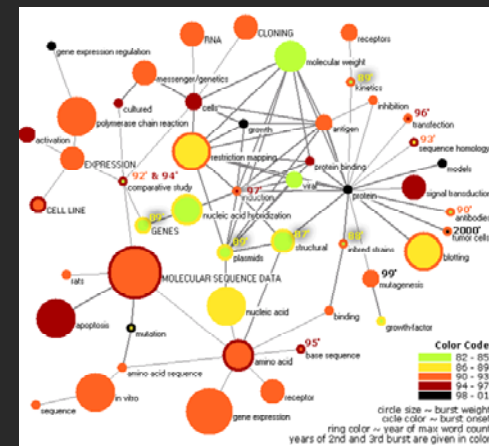


# Descriptive and Process Models of Scientific Structure and Evolution



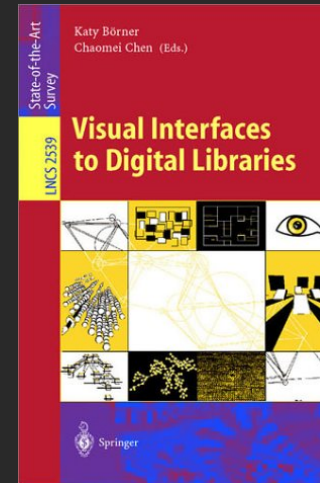
**Dr. Katy Börner**  
 Cyberinfrastructure for Network Science Center, Director  
 Information Visualization Laboratory, Director  
 School of Library and Information Science  
 Indiana University, Bloomington, IN  
[katy@indiana.edu](mailto:katy@indiana.edu)



NESCent Seminar



# Computational Scientometrics: Studying Science by Scientific Means



- Börner, Katy, Chen, Chaomei, and Boyack, Kevin. (2003). **Visualizing Knowledge Domains**. In Blaise Cronin (Ed.), *Annual Review of Information Science & Technology*, Medford, NJ: Information Today, Inc./ American Society for Information Science and Technology, Volume 37, Chapter 5, pp. 179-255. <http://ivl.slis.indiana.edu/km/pub/2003-borner-arist.pdf>
- Shiffrin, Richard M. and Börner, Katy (Eds.) (2004). **Mapping Knowledge Domains**. *Proceedings of the National Academy of Sciences of the United States of America*, 101(Suppl\_1). [http://www.pnas.org/content/vol101/suppl\\_1/](http://www.pnas.org/content/vol101/suppl_1/)
- Börner, Katy, Sanyal, Soma and Vespignani, Alessandro (2007). **Network Science**. In Blaise Cronin (Ed.), *Annual Review of Information Science & Technology*, Information Today, Inc./ American Society for Information Science and Technology, Medford, NJ, Volume 41, Chapter 12, pp. 537-607. <http://ivl.slis.indiana.edu/km/pub/2007-borner-arist.pdf>
- **Places & Spaces: Mapping Science** exhibit, see also <http://scimaps.org>.

# Process of Analyzing and Mapping Knowledge Domains

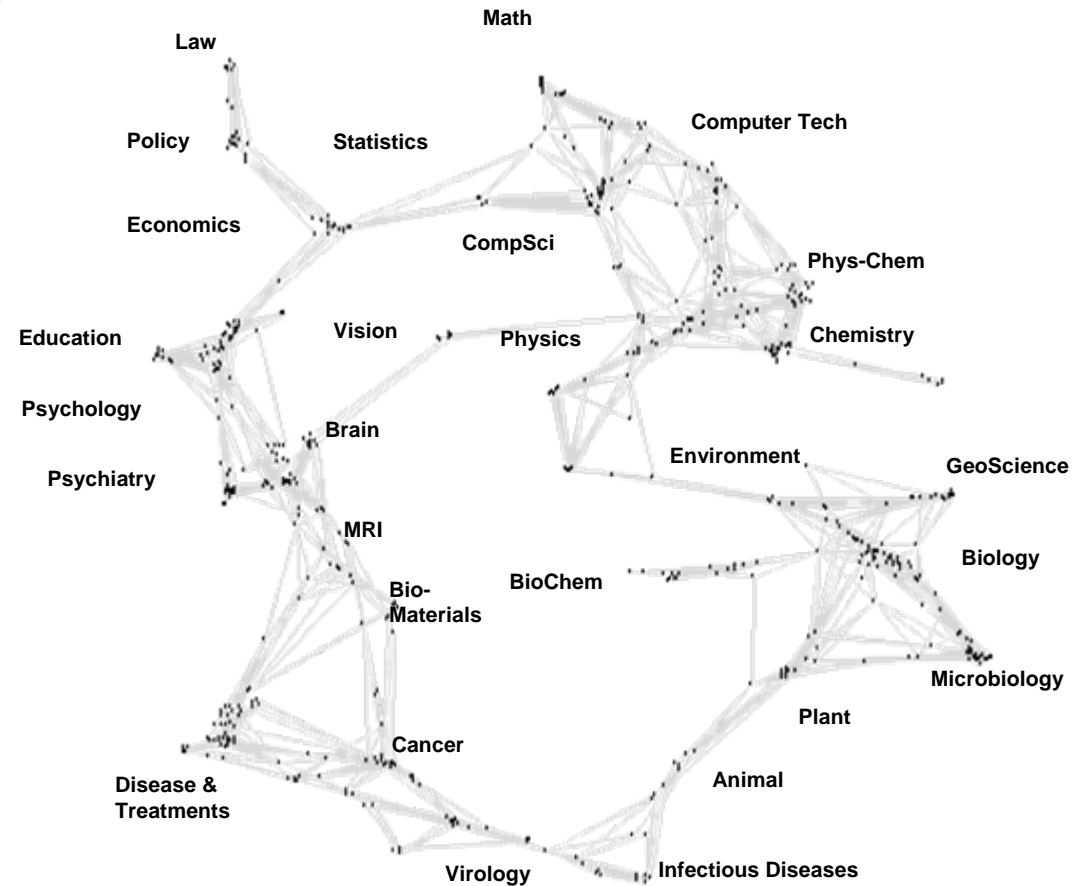
DATA EXTRACTION	UNIT OF ANALYSIS	MEASURES	LAYOUT (often one code does both similarity and ordination steps)		DISPLAY
			SIMILARITY	ORDINATION	
SEARCHES ISI INSPEC Eng Index Medline ResearchIndex Patents etc.	COMMON CHOICES Journal Document Author Term	COUNTS/FREQUENCIES Attributes (e.g. terms) Author citations Co-citations By year  THRESHOLDS By counts	SCALAR (unit by unit matrix) Direct citation Co-citation Combined linkage Co-word / co-term Co-classification  VECTOR (unit by attribute matrix) Vector space model (words/terms) Latent Semantic Analysis (words/terms) incl. Singular Value Decomp (SVD)  CORRELATION (if desired) Pearson's R on any of above	DIMENSIONALITY REDUCTION Eigenvector/ Eigenvalue solutions Factor Analysis (FA) and Principal Components Analysis (PCA) Multi-dimensional scaling (MDS) LSA , <b>Topics</b> Pathfinder networks (PFNet) Self-organizing maps (SOM) includes SOM, ET-maps, etc.  CLUSTER ANALYSIS  SCALAR Triangulation Force-directed placement (FDP)	INTERACTION Browse Pan Zoom Filter Query Detail on demand  ANALYSIS
BROADENING By citation By terms					

*Börner, Katy, Chen, Chaomei, and Boyack, Kevin. (2003) Visualizing Knowledge Domains. In Blaise Cronin (Ed.), [Annual Review of Information Science & Technology, Volume 37](#), Medford, NJ: Information Today, Inc./ American Society for Information Science and Technology, chapter 5, pp. 179-255.*

## Latest 'Base Map' of Science

Kevin W. Boyack, Katy Börner, & Richard Klavans (2007). *Mapping the Structure and Evolution of Chemistry Research*. 11th International Conference on Scientometrics and Informetrics. pp. 112-123.

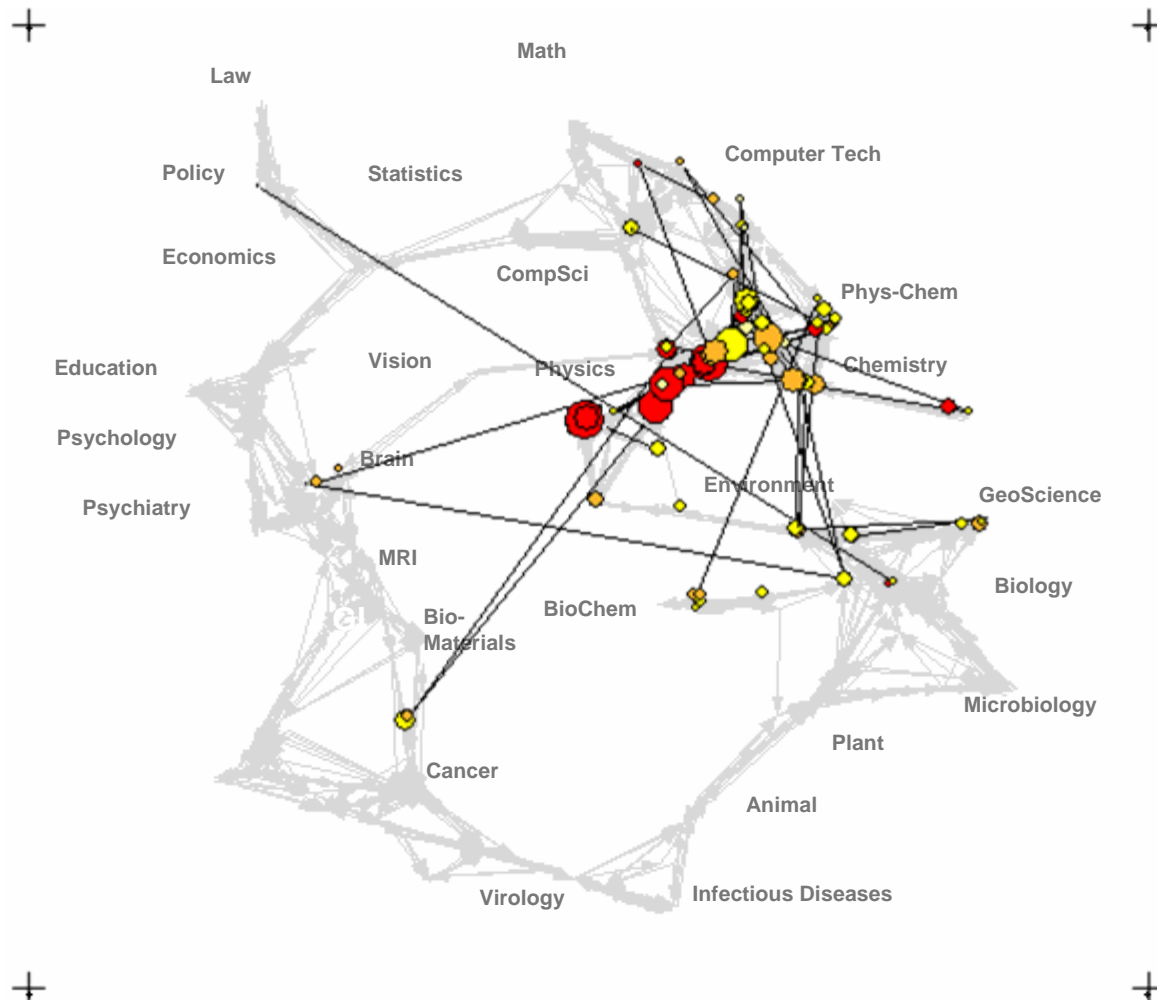
- Uses combined SCI/SSCI from 2002
  - 1.07M papers, 24.5M references, 7,300 journals
  - Bibliographic coupling of papers, aggregated to journals
- Initial ordination and clustering of journals gave 671 clusters
- Coupling counts were reaggregated at the journal cluster level to calculate the
  - (x,y) positions for each journal cluster
  - by association, (x,y) positions for each journal



# Science map applications: Identifying core competency

*Kevin W. Boyack, Katy Börner, & Richard Klavans (2007).*

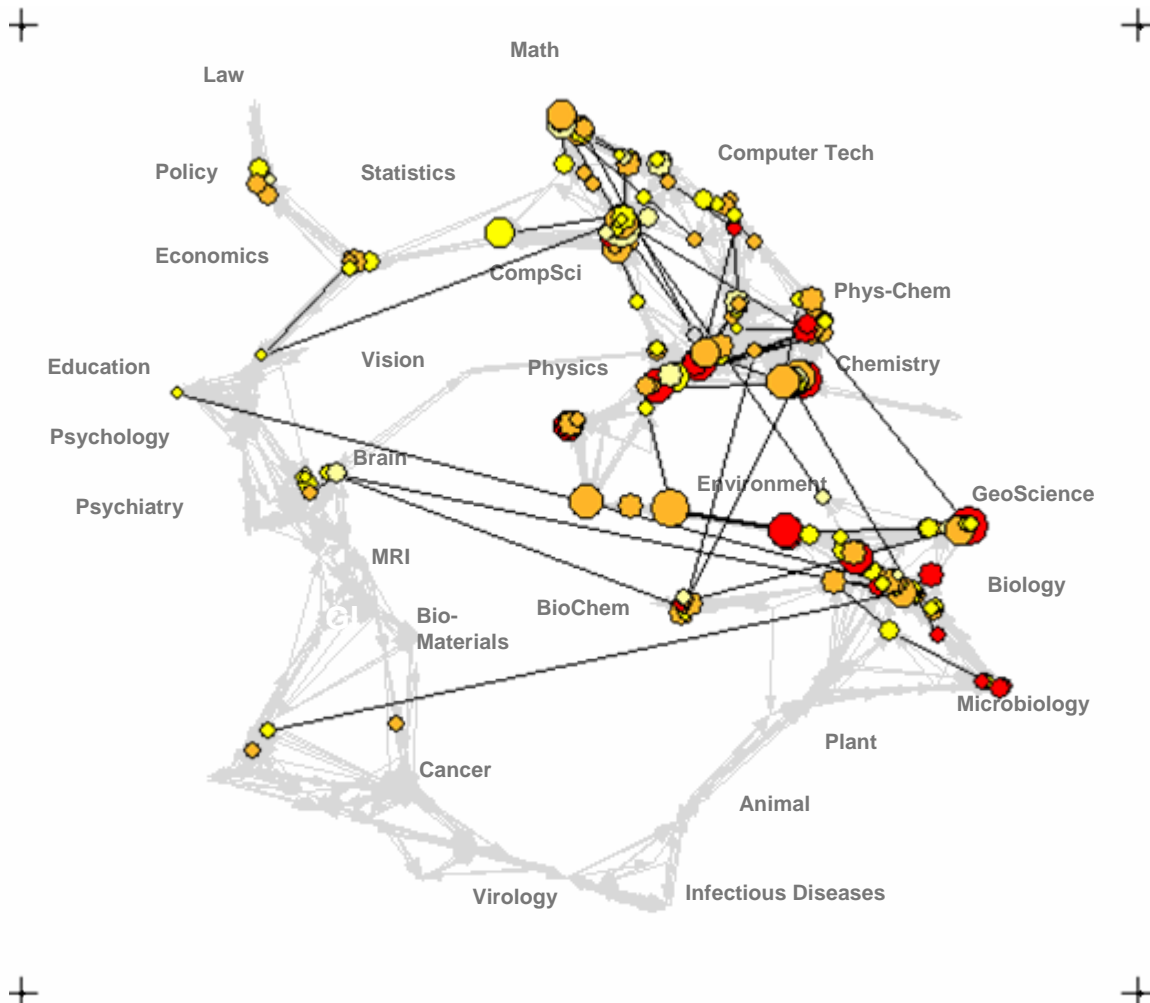
Funding patterns of the US Department of Energy (DOE)



# Science map applications: Identifying core competency

*Kevin W. Boyack, Katy Börner, & Richard Klavans (2007).*

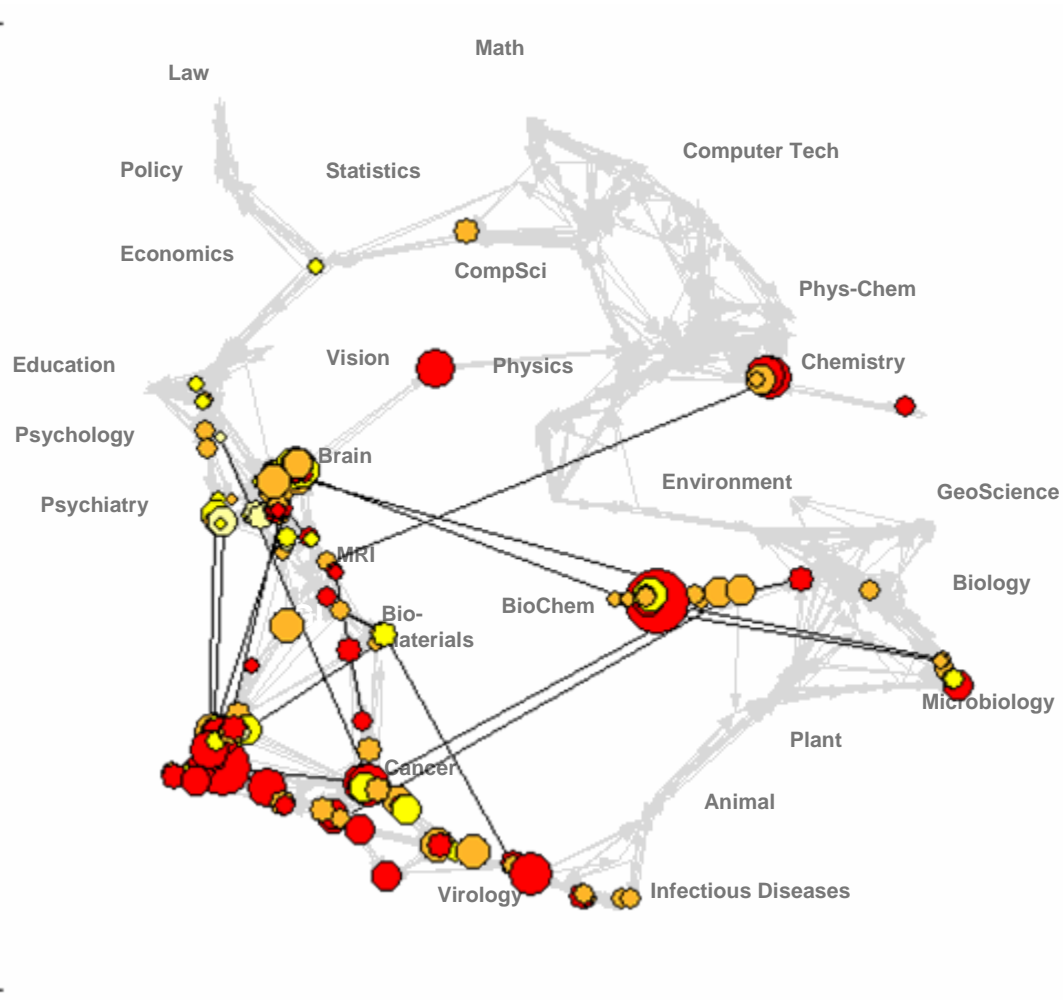
## Funding Patterns of the National Science Foundation (NSF)



# Science map applications: Identifying core competency

*Kevin W. Boyack, Katy Börner, & Richard Klavans (2007).*

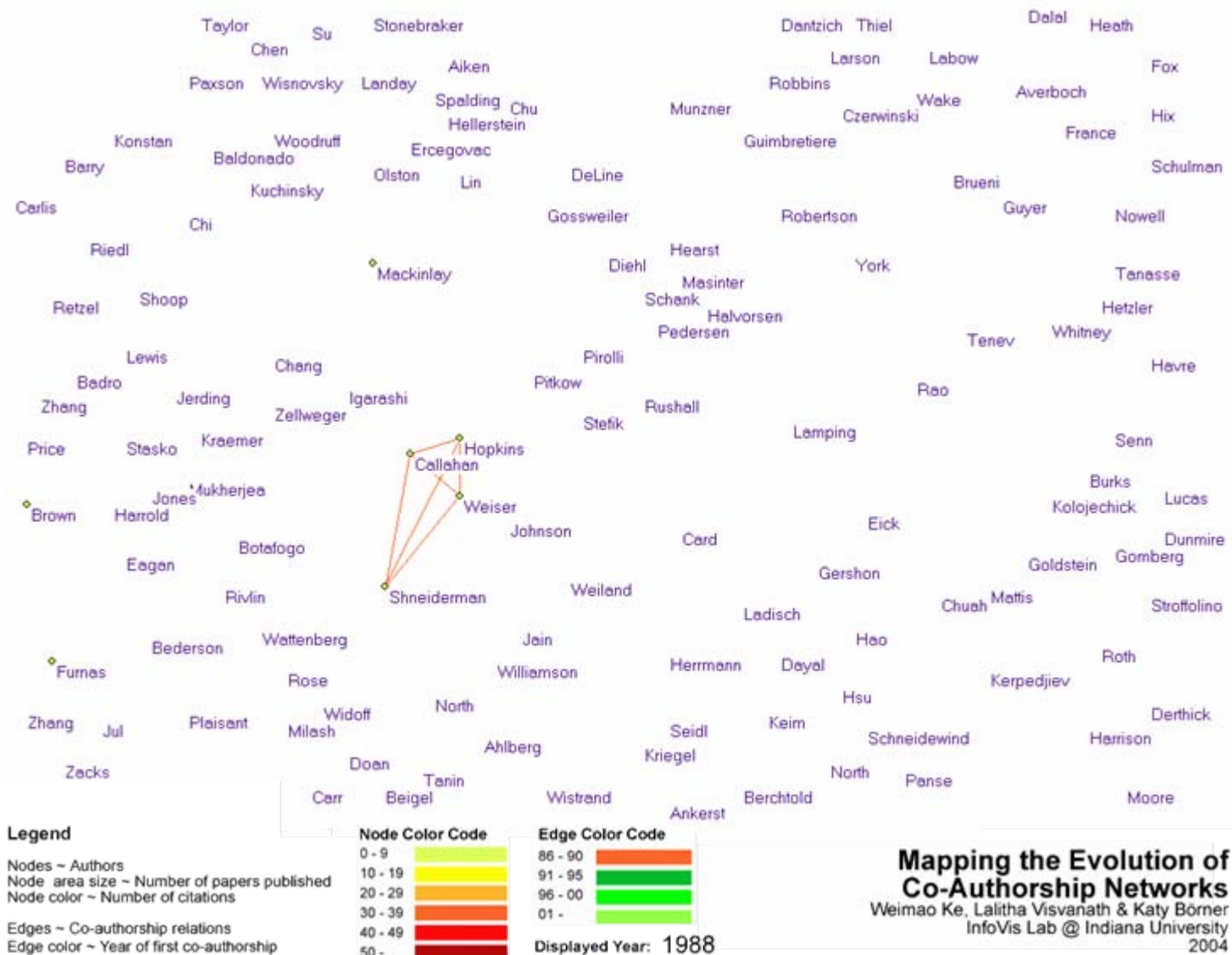
## Funding Patterns of the National Institutes of Health (NIH)





# Mapping the Evolution of Co-Authorship Networks

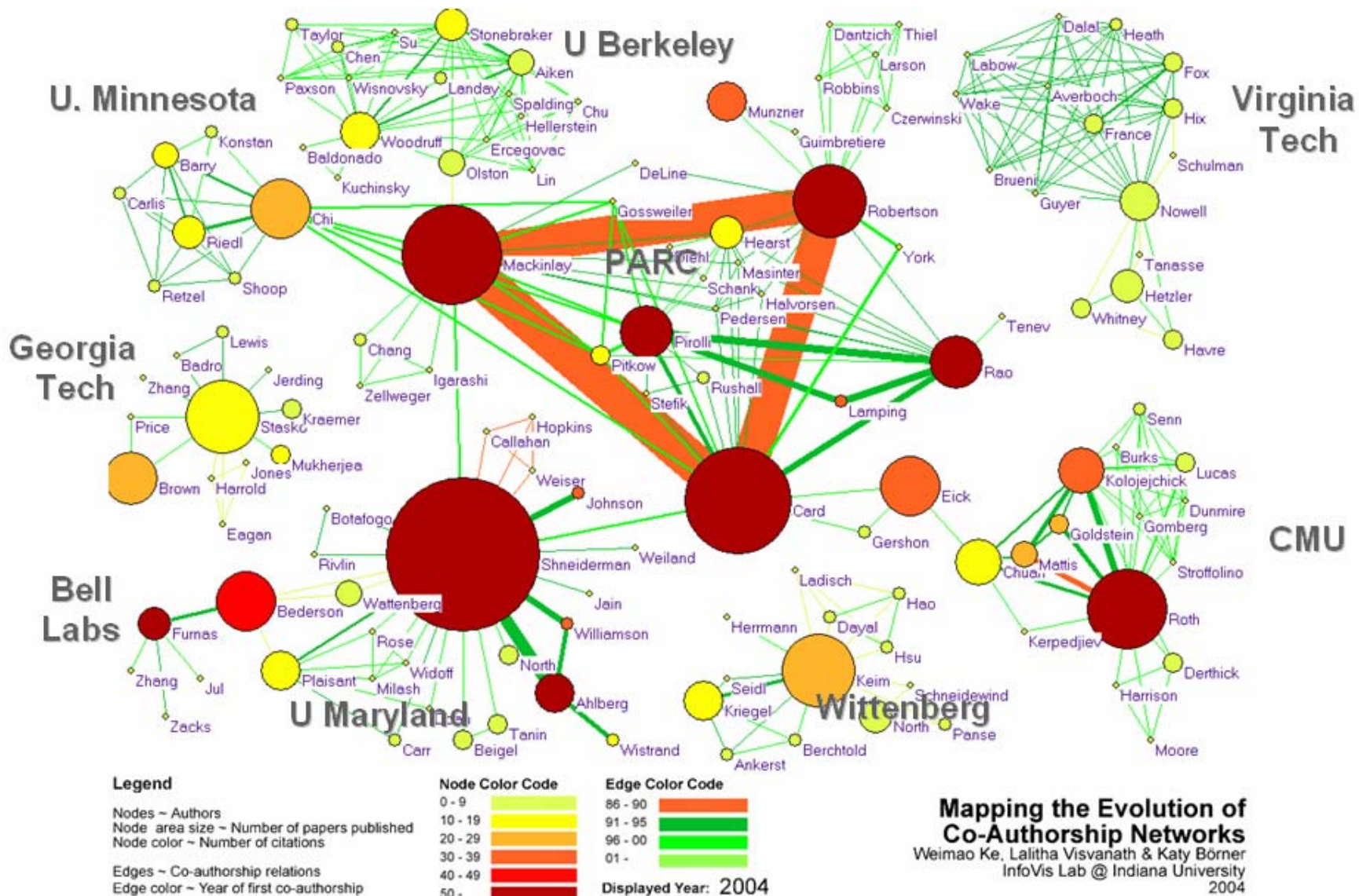
Ke, Visvanath & Börner, (2004) Won 1st price at the IEEE InfoVis Contest.





# Mapping the Evolution of Co-Authorship Networks

Ke, Visvanath & Börner, (2004) Won 1st price at the IEEE InfoVis Contest.





1800 1830 1860 1890 1920 1950 1960 1970 1980 1990 2000 2010

# Writing the History of Science

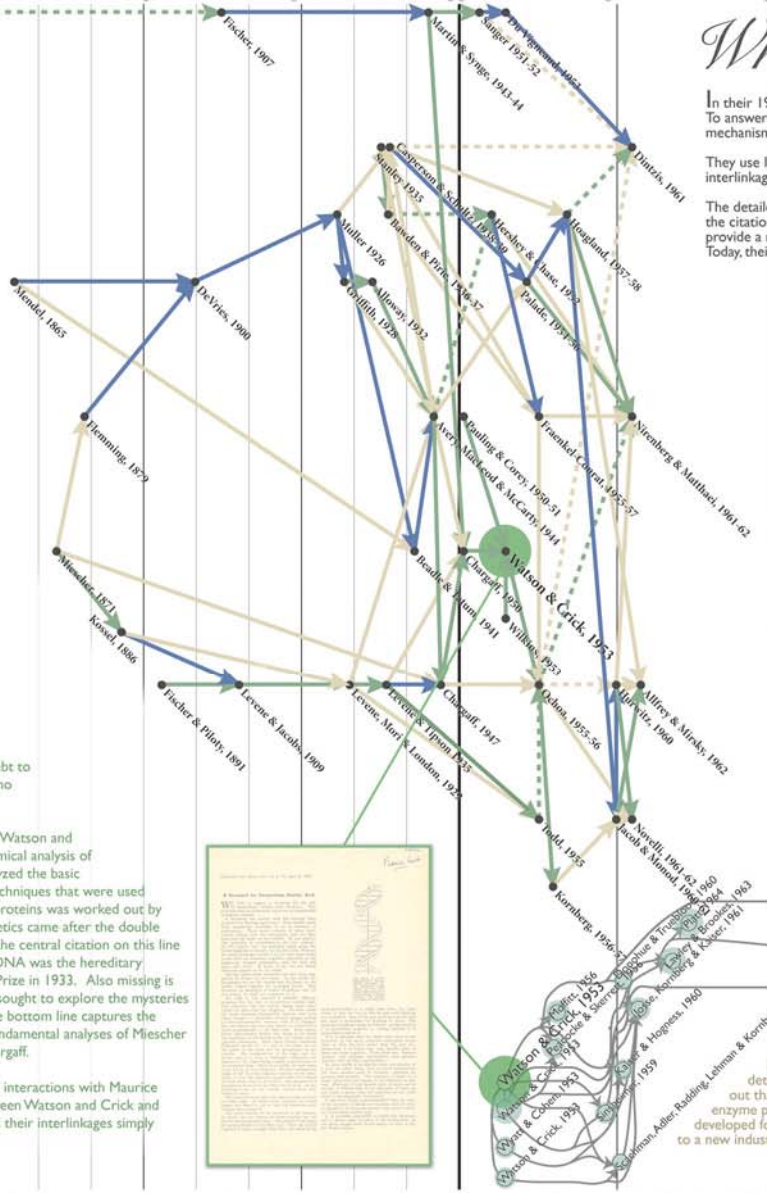
In their 1964 paper, Eugene Garfield and his colleagues try to answer the question: Can a computer write the history of science? To answer this question, they selected a recent scientific breakthrough – the discovery of a structure for DNA suggesting a mechanism for its self-duplication – published by Watson & Crick in 1953.

They use Isaac Asimov's book *The Genetic Code* to identify forty milestone works that lead to the discovery as well as their interlinkages. In addition, they identify the citation linkages among those forty papers using the 1961 *Science Citation Index*.

The detailed comparison of both networks demonstrates a high degree of coincidence between Asimov's account of events and the citation data, see also *Foundations* chart. They conclude that the use of citation data to write the history of science might provide a new *modus operandi* for the study of the history of science, research administration, and the sociology of science. Today, their HistCite™ tool generates interactive citation graphs automatically, see *Impact* chart.

**LINK COLOR**

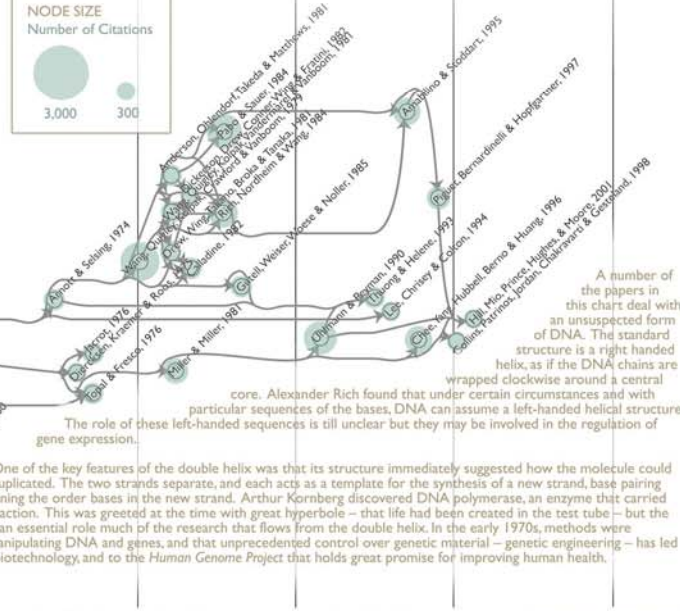
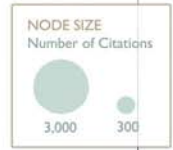
- Historical Links (Identified by Isaac Asimov)
  - explicit
  - implicit
- Coincident Citation Links
  - explicit
  - implicit
- Non-Coincident Citation Links
  - explicit
  - implicit



## Impact

Hardly a day goes by when we do not read of the gene for this or that disease, or see DNA fingerprinting on a television crime show. There is so much emphasis on the biological functions of DNA that it is easy to forget that it is a molecule, made of atoms in a particular spatial pattern. Determining the pattern of atoms in DNA was precisely what led to the double helix, but the Watson and Crick 1953 paper, and the accompanying papers by Wilkins and Franklin and their colleagues, was not the end of the story. As the chart on the right shows, X-ray crystallographic studies of DNA continued for many years, and a rigorous confirmation of the structure did not come until the 1970s.

Not surprisingly, there were continuing discoveries and some surprises. One was that not all DNA was double stranded. Robert Sinsheimer found that a small bacteriophage – a virus that attacks bacteria – had a single DNA strand. Many years later, this bacteriophage played an important role when techniques were developed to sequence, to determine the order of the bases in DNA.



## Foundation

Even the most revolutionary of scientific discoveries owes a great debt to what has gone before, and the discovery of the DNA double helix is no exception.

This chart shows major lines of scientific enquiry that contributed to Watson and Crick's insight in 1953. On the top is the line of research on the chemical analysis of proteins. Fischer was one of the great German biochemists who analyzed the basic components of proteins, amino acids. Martin and Syngde developed techniques that were used by Chargaff in his analyses of DNA. The sequence of amino acids in proteins was worked out by Fred Sanger, but the impact of his work on the field of molecular genetics came after the double helix. The central line is that of genetics, beginning with Mendel, and the central citation on this line is that of Avery, Macleod and McCarty whose work established that DNA was the hereditary substance. Not shown is work by T.H. Morgan who won the Nobel Prize in 1933. Also missing is the Phage Group, founded by Max Delbruck and Salvador Luria who sought to explore the mysteries of the gene with the intellectual rigor employed by the physicists. The bottom line captures the earliest studies of the chemical nature of DNA and RNA, from the fundamental analyses of Miescher and Kossel, through the speculations of Phoebus Levene to Ernst Chargaff.

Not visible are the social interactions of scientists. Rosalind Franklin's interactions with Maurice Wilkins, Chargaff's disdain for Watson and Crick, and the rivalry between Watson and Crick and Linus Pauling, all contributed to the discovery in ways that papers and their interlinkages simply cannot reveal.



1947 A. Mirsky & L. Goodman 1947 J. Monod 1947 E. Chargaff 1948 A. Mirsky & P.C. Koller 1950 R. Franklin 1953 J. D. Watson & F.H.C. Crick 1963 V. Ingram, M. Nirenberg & M. Saehelin 1963 J. Speyer & M. Nirenberg 1968 C. Thomas & A. Kornberg 1974 Participants 1978 A. Kornberg

Society for Neuroscience, 2006 - Visual Browser - Netscape

File Edit View Go Bookmarks Tools Window Help

http://scimaps.org/maps/neurovis/

Netscape Enter Search Terms Search Highlight Pop-Ups Blocked: 94 Form Fill Clear Browser History News

Society for Neuroscience, 2006 - Visual Bro...

**Society for Neuroscience, 2006  
Visual Browser**

Click to start a bounding box, then click again to end it. A listing of all nodes in the bounding box will be shown. Click once again to clear the bounding box.

**Legend:**

- A. Development
- B. Neural Excitability, Synapses, & Glia
- C. Sensory and Motor Systems
- D. Homeostatic & Neuroendocrine Systems
- E. Cognition & Behavior
- F. Disorders of the Nervous System
- G. Techniques in Neuroscience
- H. History & Teaching of Neuroscience
- Unclassified

**Acknowledgements**

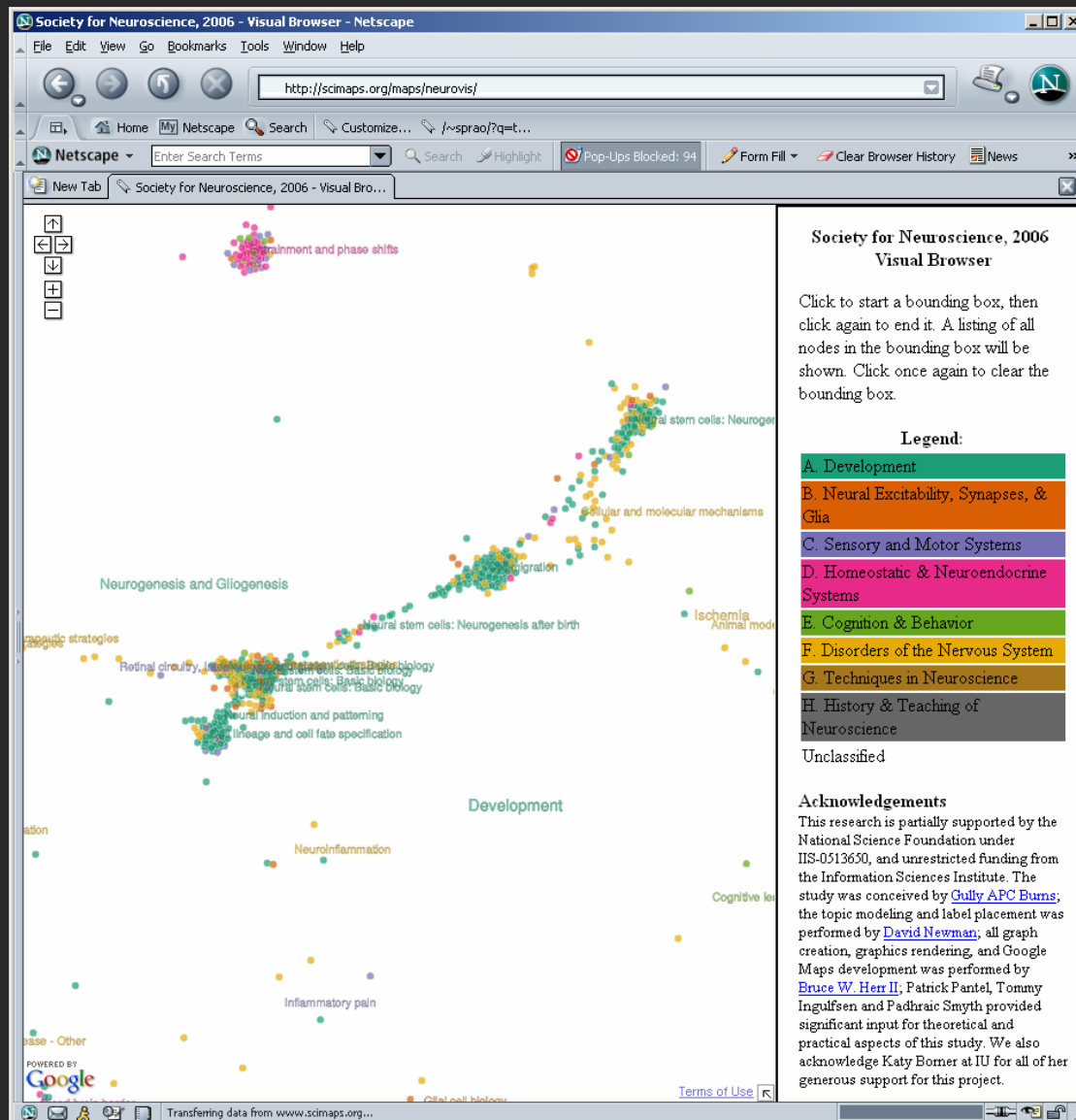
This research is partially supported by the National Science Foundation under IIS-0513650, and unrestricted funding from the Information Sciences Institute. The study was conceived by [Gully APC Burns](#); the topic modeling and label placement was performed by [David Newman](#); all graph creation, graphics rendering, and Google Maps development was performed by [Bruce W. Herr II](#); Patrick Pantel, Tommy Ingulfsen and Padhraic Smyth provided significant input for the oretical and practical aspects of this study. We also acknowledge Katy Bomer at IU for all of her generous support for this project.

Map Data: Society for Neuroscience, 2006 - [Terms of Use](#)

Transferring data from www.scimaps.org...

*Bruce W. Herr II, Gully Burns (USC), David Newman (UCI), Society for Neuroscience, 2006  
Visual Browser, 2007, <http://scimaps.org/maps/neurovis/>*





Bruce W. Herr II, Gully Burns (USC), David Newman (UCI), Society for Neuroscience, 2006  
 Visual Browser, 2007, <http://scimaps.org/maps/neurovis/>





Society for Neuroscience, 2006 - Visual Browser - Netscape

File Edit View Go Bookmarks Tools Window Help

http://scimaps.org/maps/neurovis/

Netscape Enter Search Terms Search Highlight Pop-Ups Blocked: 94 Form Fill Clear Browser History News

New Tab Society for Neuroscience, 2006 - Visual Bro...

Neural stem cells: Neurogenesis after birth

Development

Neuroinflammation

Axon growth and guidance: Other

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home

### " Reduced number of neural stem cells in the embryonic forebrain of Emx1-/- mice"

Top-4 topics in this abstract:

(21%) [ [Neuronal development and cell migration](#) ] cell migration development zone brain cortical radial progenitor region developing migrate telencephalon postnatal migrating neocortex neuronal

(18%) [ [Stem cell differentiation](#) ] cell neural stem\_cell differentiation nsc progenitor derived differentiated transplantation npc human msc vitro transplanted embryonic precursor

(10%) [ [Mouse genetics](#) ] mice wild\_type mutant knockout deficient role null gene cre mouse compared deletion function wildtype generated normal

(8%) [ [General measurement changes](#) ] control reduction reduced number significant compared total observed decrease level decreased alteration data compared\_control increased affected

Bruce W. Herr II, Gully Burns (USC), David Newman (UCI), Society for Neuroscience, 2006  
 Visual Browser, 2007, <http://scimaps.org/maps/neurovis/>



Society for Neuroscience, 2006 - Visual Browser - Netscape

File Edit View Go Bookmarks Tools Window Help

http://scimaps.org/maps/neurovis/

Netscape Enter Search Terms Search Highlight Pop-Ups Blocked: 94 Form Fill Clear Browser History News

New Tab Society for Neuroscience, 2006 - Visual Bro...

home

### Abstracts related to [ Neuronal development and cell migration ]

cell migration development zone brain cortical radial progenitor region developing migrate telencephalon postnatal migrating neocortex neuronal

(0.7%) [Multiple factors contribute to proper tangential migration during corticogenesis](#)

(0.7%) [RBP-1 maintains ependymal cell quiescence and inhibits neurogenesis in the adult brain](#)

(0.7%) [Alcohol exposure in utero affects the development of GABAergic cortical interneurons](#)

(0.7%) [Acute knockdown of amyloid precursor protein in the embryonic cortex inhibits neuronal migration](#)

(0.7%) [Evolutionary aspects of p73 in Cajal-Retzius cells and the cortical hem](#)

(0.6%) [Reelin is necessary for appropriate migration into the cortical plate but not for proper radial glial morphology](#)

(0.6%) [Embryonic ePet-EYFP-labeled serotonergic neurons migrate by somal translocation from the ventricular zone to regions near the pia containing laminin](#)

(0.6%) [Cortical stem cells coexist with striatal stem cells in the adult lateral ventricular](#)

Neurogenesis

HPG axis r

Neuronal stem cells: Neurogenesis after birth

Neuroinflammation

Axon growth and guidance: Other

Development

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Terms of Use

Bruce W. Herr II, Gully Burns (USC), David Newman (UCI), Society for Neuroscience, 2006  
 Visual Browser, 2007, <http://scimaps.org/maps/neurovis/>

# Wikipedian Activity

*Studying large scale social networks such as Wikipedia*

*Vizzards 2007 Entry*

Second Sight: An Emergent Mosaic of Wikipedian Activity, The NewScientist, May 19, 2007



## Second sight

Image: Bruce W. Herr and Todd M. Holloway

### Power struggle

How do you keep track of the bubbling mass of information that is Wikipedia? This chaotic-looking mosaic is one attempt to show which topics are



locked until the mood cools (locked pages at the time of writing include entries on Sheffield Wednesday football club, Mikhail Gorbachev and pigs).

The mosaic has been commended in a competition for images that visualise network dynamics, coinciding with this week's International Workshop and Conference on Network Science in Bloomington.





# Science Related Wikipedian Activity

[http://scimaps.org/dev/map\\_detail.php?map\\_id=165](http://scimaps.org/dev/map_detail.php?map_id=165)

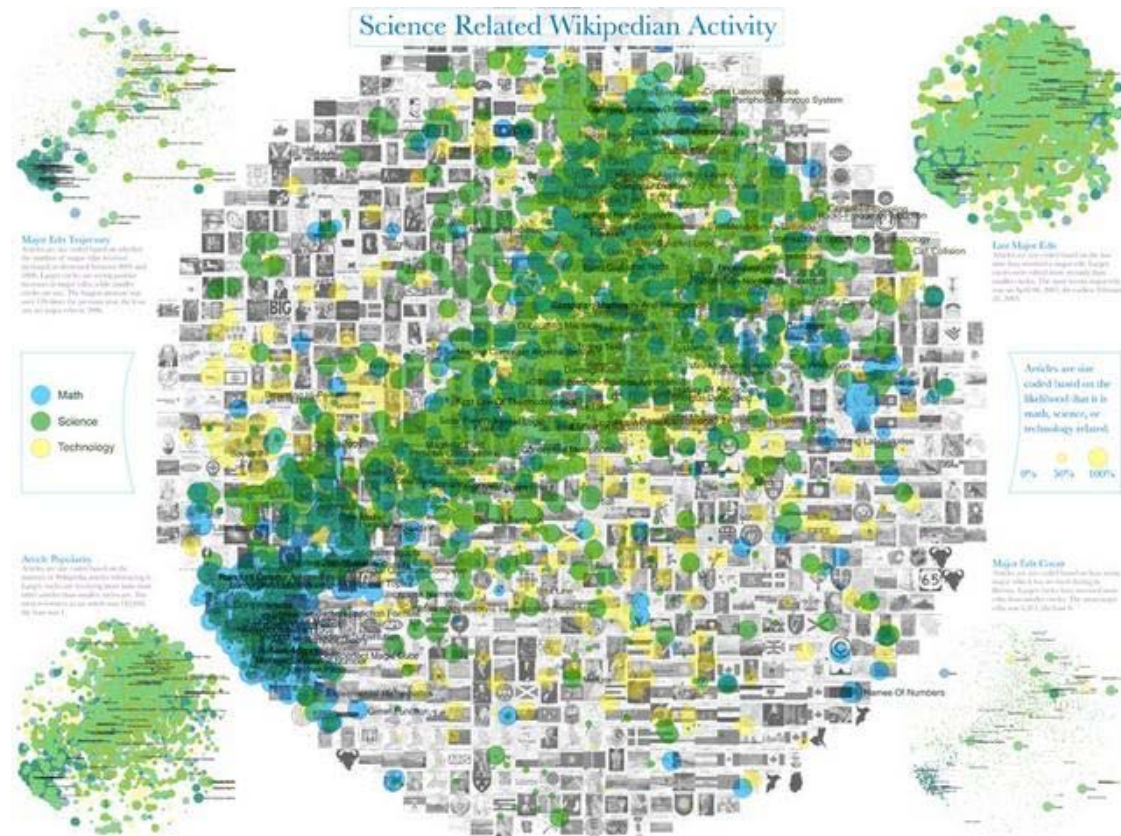
Same base map.

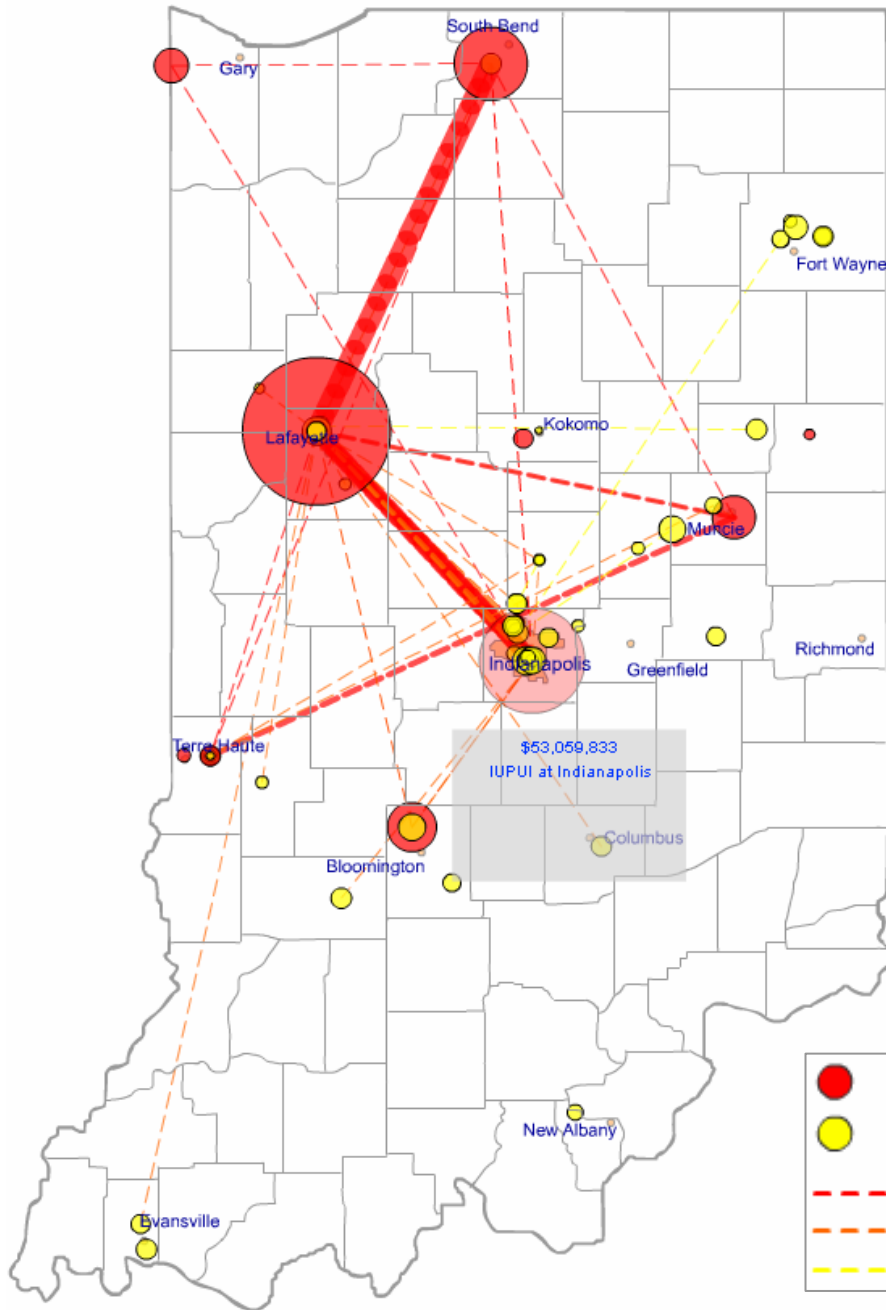
Overlaid are 3,599 math (blue),  
6,474 science (green), and 3,164  
technology relevant articles  
(yellow).

All other articles are given in grey.

Corners show articles size coded  
according to

- article edit activity (top left),
- number of major edits (top right),
- number of bursts in edit activity  
(bottom, right)
- indegree (bottom left).

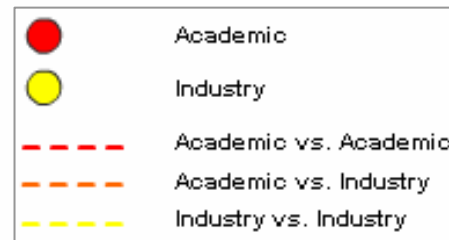




## Mapping Indiana's Intellectual Space

Identify

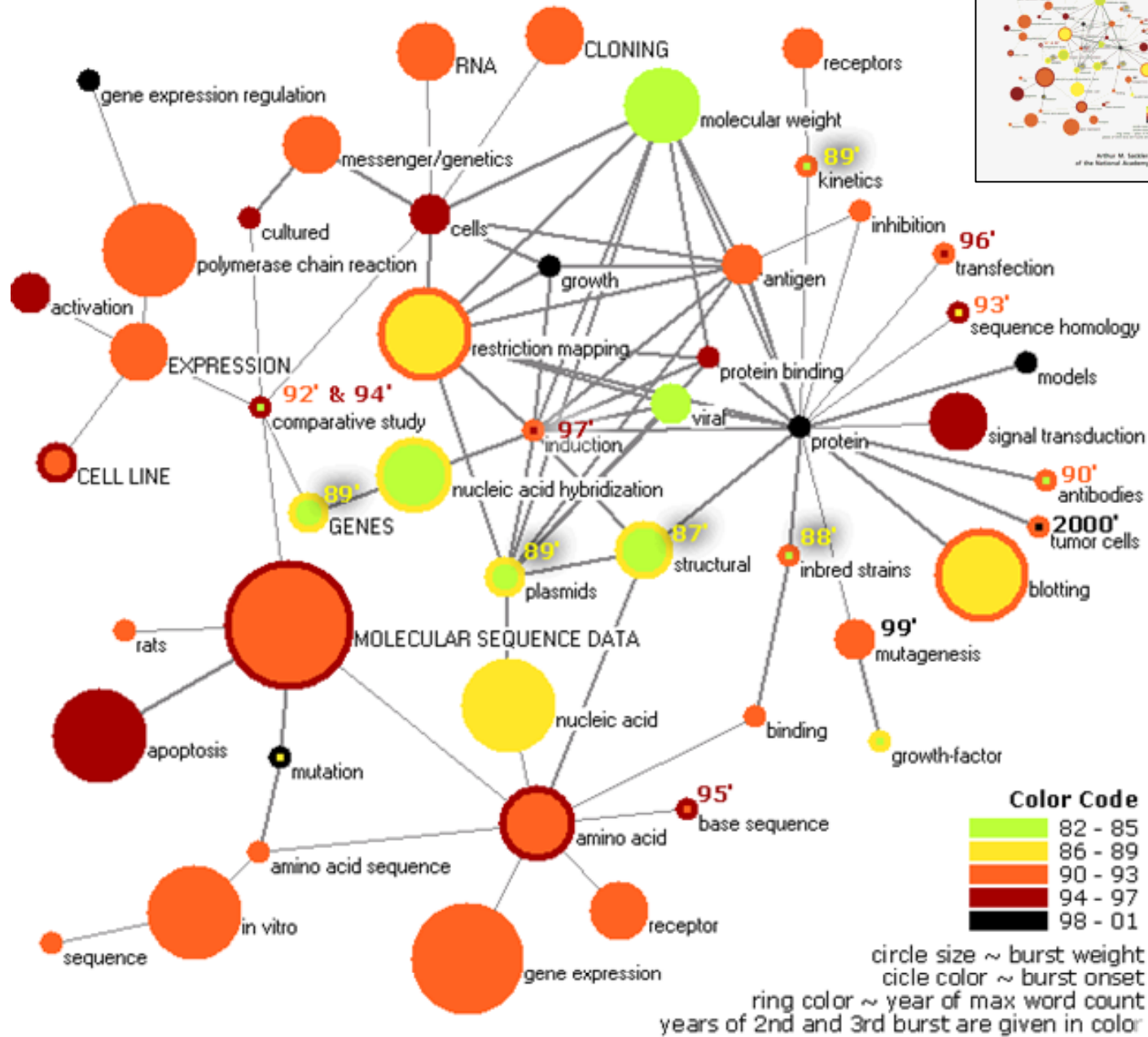
- Pockets of innovation
- Pathways from ideas to products
- Interplay of industry and academia



# Mapping Topic Bursts

Co-word space of the top 50 highly frequent and bursty words used in the top 10% most highly cited PNAS publications in 1982-2001.

*Mane & Börner. (2004)  
 PNAS, 101(Suppl. 1):  
 5287-5290.*





# 113 Years of Physical Review

Bruce W. Herr II and Russell Dubon (*Data Mining & Visualization*), Elisha F. Hardy (*Graphic Design*), Shashikant Penumarthy (*Data Preparation*) and Katy Börner (*Concept*)

## 113 Years of Physical Review

The visualization aggregates 389,899 articles published in 720 volumes of *Physical Review* journals between 1893 and 2005. The 41,362 articles published from 1893 to 1976 take up the left third on the map. In 1977, the *Physical Review* introduced the Physics and Astronomy Classification Scheme (PACS) codes, and the visualization subdivides into the top-level PACS codes. The 217,503 articles from 1977 to 2005, for which good citation data is not available, occupy the middle third on the map. The 46,034 articles from 2001 to 2005, for which good citation data is available, fill the last third of the map.

Each vertical bar is subdivided vertically into the journals that appear in it with height proportional to the number of papers, and each journal is subdivided horizontally into the volumes of the journal appearing in the columns.

On top of this base map, all citations from the papers in every top-level PACS code in 2005 are overlaid and then drawn from the source area to the individual volumes containing papers cited.

The small Nobel Prize medals indicate the 24 volumes containing the 26 papers appearing in *Physical Review* for 11 Nobel prizes between 1990 and 2005. Each year, Thomson ISI predicts three Nobel Prize awardees in physics based on citation counts, high impact papers, and discoveries or themes worthy of special recognition. Correct predictions by Thomson ISI are highlighted.

## Nobel Prizes in Physical Review

Year of Nobel Prize Winners Publication Year(s) (indicated by Nobel Prize medals on the right)

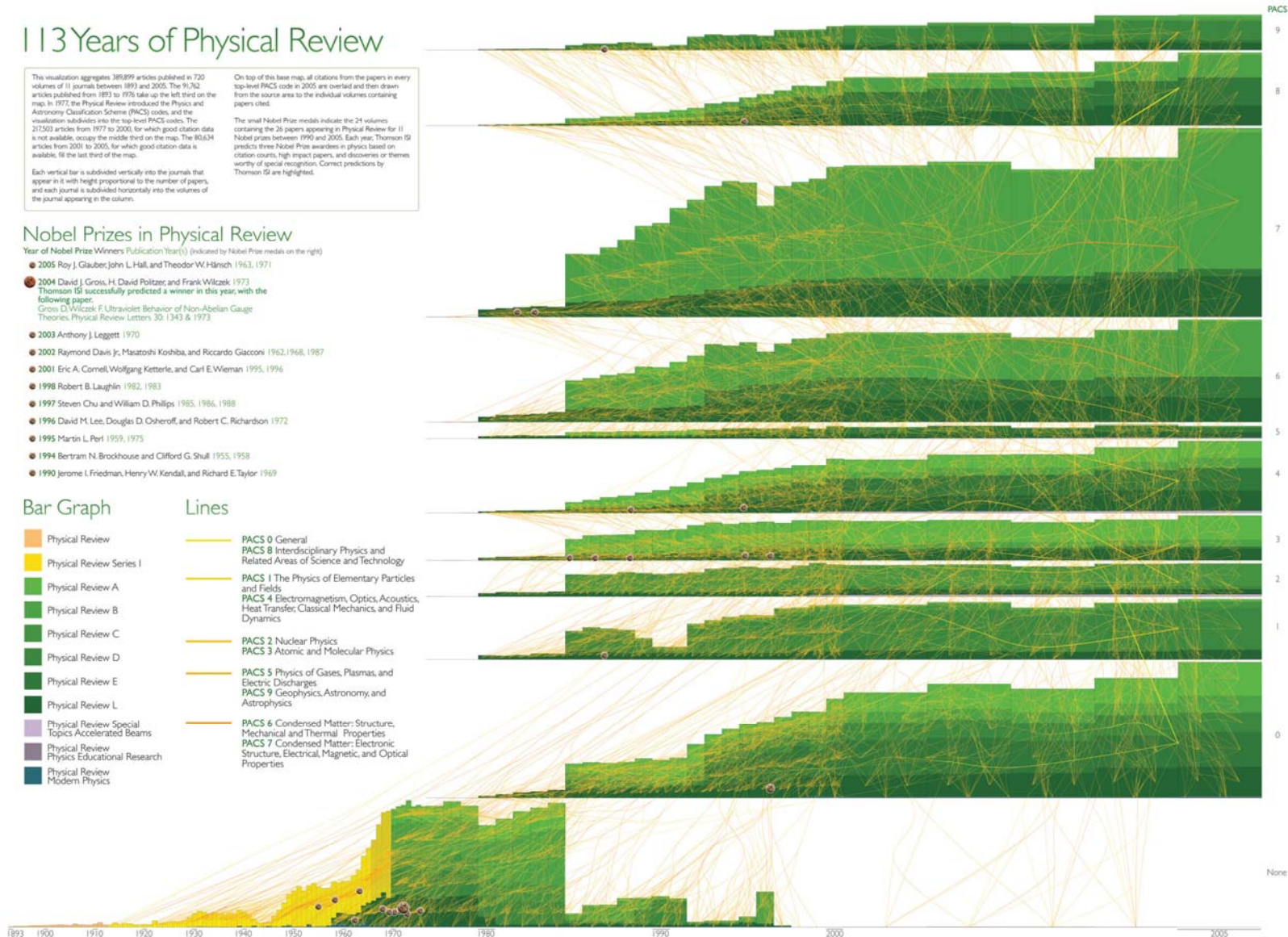
- 2005 Roy J. Glauber, John L. Hall, and Theodor W. Hänsch 1963, 1971
- 2004 David J. Gross, H. David Politzer, and Frank Wilczek 1973  
Thomson ISI successfully predicted a winner in this year, with the following paper:  
Gross, D. Wilczek: F. Ultraviolet Behavior of Non-Abelian Gauge Theories. *Physical Review Letters* 30: 1345 & 1973
- 2003 Anthony J. Leggett 1970
- 2002 Raymond Davis Jr., Masatoshi Koshiba, and Riccardo Giacconi 1962, 1968, 1987
- 2001 Eric A. Cornell, Wolfgang Ketterle, and Carl E. Wieman 1995, 1996
- 1998 Robert B. Laughlin 1982, 1983
- 1997 Steven Chu and William D. Phillips 1985, 1986, 1988
- 1996 David M. Lee, Douglas D. Osheroff, and Robert C. Richardson 1972
- 1995 Martin L. Perl 1959, 1975
- 1994 Bertram N. Brockhouse and Clifford G. Shull 1955, 1958
- 1990 Jerome I. Friedman, Henry W. Kendall, and Richard E. Taylor 1969

## Bar Graph

- Physical Review
- Physical Review Series I
- Physical Review A
- Physical Review B
- Physical Review C
- Physical Review D
- Physical Review E
- Physical Review L
- Physical Review Special Topics Accelerated Beams
- Physical Review Educational Research
- Physical Review Modern Physics

## Lines

- PACS 0 General
- PACS 8 Interdisciplinary Physics and Related Areas of Science and Technology
- PACS 1 The Physics of Elementary Particles and Fields
- PACS 4 Electromagnetism, Optics, Acoustics, Heat Transfer, Classical Mechanics, and Fluid Dynamics
- PACS 2 Nuclear Physics
- PACS 3 Atomic and Molecular Physics
- PACS 5 Physics of Gases, Plasmas, and Electric Discharges
- PACS 9 Geophysics, Astronomy, and Astrophysics
- PACS 6 Condensed Matter: Structure, Mechanical and Thermal Properties
- PACS 7 Condensed Matter: Electronic Structure, Electrical, Magnetic, and Optical Properties



PACS

9

8

7

6

5

4

3

2

1

0

None

1893 1900 1910 1920 1930 1940 1950 1960 1970 1980 1990 2000 2005





## Cartography of the Physical and the Abstract

An exhibition created for the conference "Mapping Humanity's Knowledge and Expertise in the Digital Domain" at the 2005 Meeting of the American Association of Geographers that is updated regularly with new maps and explanations.

Home Browse Maps Compare & Contrast Maps Connect

Home



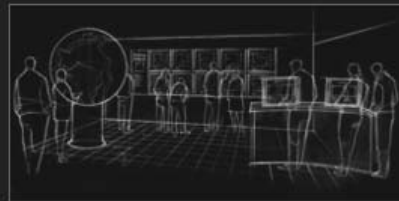
### Exhibit Purpose and Goals

The **Places & Spaces** exhibit has been created to demonstrate the power of maps.

An initial theme of this exhibit is to compare and contrast first maps of our entire planet with the first maps of all of science as we know it.

Come see with your own eyes the extent to which maps can be employed to help make sense of the flood of information we are confronted with and how domain maps can be used to locate complex and beautiful information.

This online part of the exhibit provides links to a selected series of maps and their makers along with detailed explanations of why these maps work. The physical counterpart supports the close inspection of high quality reproductions for display at conferences and education centers. It is meant to inspire cross-disciplinary discussion on how to best track and communicate human activity and scientific progress on a global scale.



## Places & Spaces: Mapping Science

a science exhibit that introduces people to maps of sciences, their makers and users.

<http://scimaps.org>

**Exhibit Curators: Dr. Katy Börner & Elisha Hardy**





# Mapping Science Exhibit – 10 Iterations in 10 years

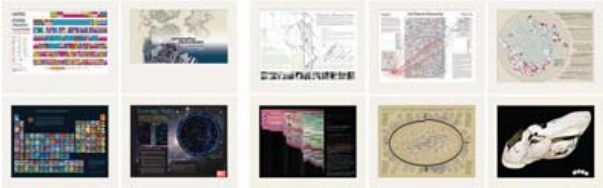
## The Power of Maps (2005)



## Science Maps for Economic Decision Makers (2008)



## The Power of Reference Systems (2006)



## Science Maps for Science Policy Makers (2009)

## Science Maps for Scholars (2010)

## Science Maps as Visual Interfaces to Digital Libraries (2011)

## Science Maps for Kids (2012)

## Science Forecasts (2013)

## How to Lie with Science Maps (2014)

## The Power of Forecasts (2007)



[scimaps.org](http://scimaps.org)



## Illuminated Diagram Display



*W. Bradford Paley,  
Kevin W. Boyack,  
Richard Kalvans, and  
Katy Börner (2007)  
Mapping,  
Illuminating, and  
Interacting with  
Science.  
SIGGRAPH 2007,  
San Diego, CA.*

**YouTube Video:**  
<http://www.youtube.com/watch?v=bXABcOABG4E>



# The TARL Model (Topics, Aging, and Recursive Linking)

Börner, Katy, Maru, Jeegar & Goldstone, Robert. (2004). *The Simultaneous Evolution of Author and Paper Networks*. PNAS. Vol. 101(Suppl. 1), 5266-5273.

## The TARL model incorporates

- A partitioning of authors and papers into topics,
- Aging, i.e., a bias for authors to cite recent papers, and
- A tendency for authors to cite papers cited by papers that they have read resulting in a rich get richer effect.

The model attempts to capture the roles of authors and papers in the production, storage, and dissemination of knowledge.

## Model Assumptions

- Co-author and paper-citation networks co-evolve.
- Authors come and go.
- Papers are forever.
- Only authors that are 'alive' are able to co-author.
- All existing (but no future) papers can be cited.
- Information diffusion occurs directly via co-authorships and indirectly via the consumption of other authors' papers.
  
- Preferential attachment is modeled as an emergent property of the elementary, local networking activity of authors reading and citing papers, but also the references listed in papers.

Table 3 Statistics for SIM data

Year	#p	#a	#r	#c	a#ca
1981	1624	3953	0	756	8.21
1982	1040	5200	31200	112161	4
1983	1118	5590	33540	21397	4
1984	1197	5985	35910	10224	4
1985	1275	6375	38250	6184	4
1986	1353	6765	40590	4687	4
1987	1432	7160	42960	3573	4
1988	1510	7550	45300	2816	4
1989	1589	7945	47670	2219	4
1990	1667	8335	50010	1853	4
1991	1745	8725	52350	1634	4
1992	1824	9120	54720	1431	4
1993	1902	9510	57060	1167	4
1994	1981	9905	59430	1040	4
1995	2059	10295	61770	767	4
1996	2137	10685	64110	632	4
1997	2216	11080	66480	522	4
1998	2294	11470	68820	400	4
1999	2373	11865	71190	265	4
2000	2451	12255	73530	125	4
2001	2529	12645	75870	0	4
<b>Total</b>	<b>37316</b>		<b>1070760</b>	<b>173853</b>	

Table 2. PNAS Statistics

Year	#p	#a	#r	#c	a#ca
1982	1669	5201	46665	156690	3.92
1983	1611	5142	46685	161437	3.98
1984	1695	5583	49834	174161	4.22
1985	1846	6325	55662	191750	4.38
1986	2042	7209	64379	218229	4.76
1987	1924	7061	59110	207729	4.88
1988	2035	7471	63116	215227	4.8
1989	2088	7959	65883	215437	5.01
1990	2066	8031	66019	207138	5.15
1991	2382	9559	77740	223102	5.25
1992	2500	9812	80949	211238	5.29
1993	2413	9770	79848	193867	5.55
1994	2600	10656	86176	187353	5.56
1995	2476	10429	82021	151249	5.66
1996	2765	11803	99061	148622	5.96
1997	2618	11255	96788	122908	6.12
1998	2711	12328	100973	107764	6.48
1999	2603	12182	97018	76080	6.69
2000	2501	12201	94181	44131	7.6
2001	2575	13038	97450	16357	8.4
<b>Total</b>	<b>45120</b>		<b>1509558</b>	<b>3230469</b>	

```

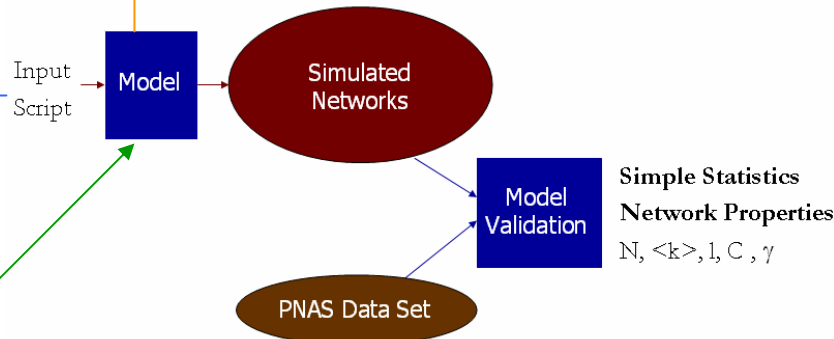
// Initialization
generate #_papers papers and assign a random topic to each paper;
generate #_authors authors and assign a random topic to each author;
randomly assign #_co-authors+1 authors to papers of the same topic;
// Simulation
for each year do {
  add #_new_authors new authors, deactivate authors older than #_author_age;
  for each topic do {
    randomly partition set of authors into author_groups of size #_co-authors+1;
    for each author_group do {
      for each new_paper to be produced, do {
        generate new_paper;
        randomly select #_read_papers from existing papers;
        get all references of read_papers up to #_reference_path_length;
        for each new_paper_reference do {
          select a time_slice from (start year to curr_year-1) with probability given in aging_function;
          randomly select a paper published or cited in this time_slice, as a new_paper_reference;
          add the new_paper_reference to new_paper;
        }
      }
    }
  }
  add all new papers to the set of existing papers;
  add new links to author and paper information;
}
  
```

Model Parameters (0=without, 1=with)

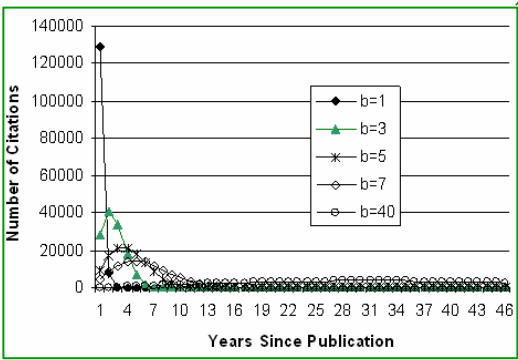
0/1 Topics  
 0/1 Co-Authors  
 0/1 Consider References  
 0 Aging Function

Model Initialization Values

2 # Years  
 5 # Authors in Start Year  
 5 # Papers in Start Year  
 2 # Papers Consumed (Referenced) per Paper  
 1 # Papers Produced per Author each Year  
 5 # Topics  
 1 # Co-Author(s) per Author  
 1 # Levels References are Considered



Aging function



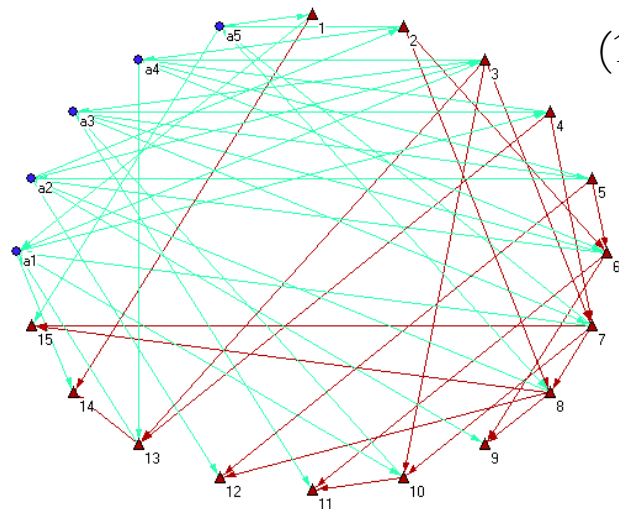
Model Validation

The properties of the networks generated by this model are validated against a 20-year data set (1982-2001) of documents of type article published in the Proceedings of the National Academy of Science (PNAS) – about 106,000 unique authors, 472,000 co-author links, 45,120 papers cited within the set, and 114,000 citation references within the set.

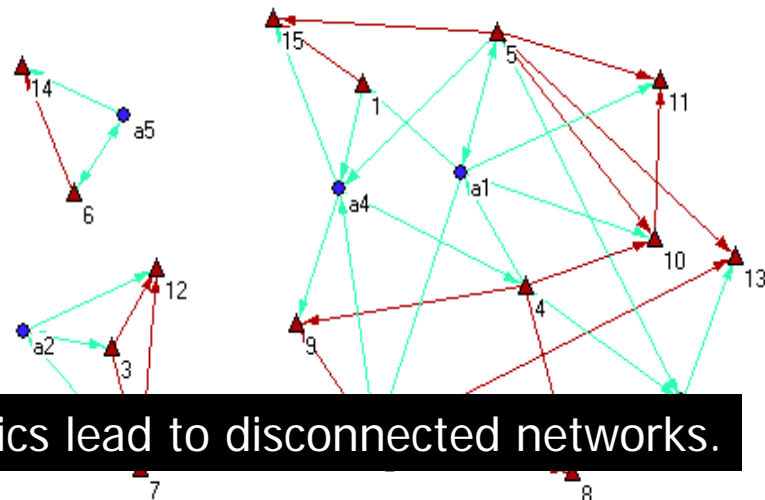


# The TARL Model: The Effect of Parameters

(0000)

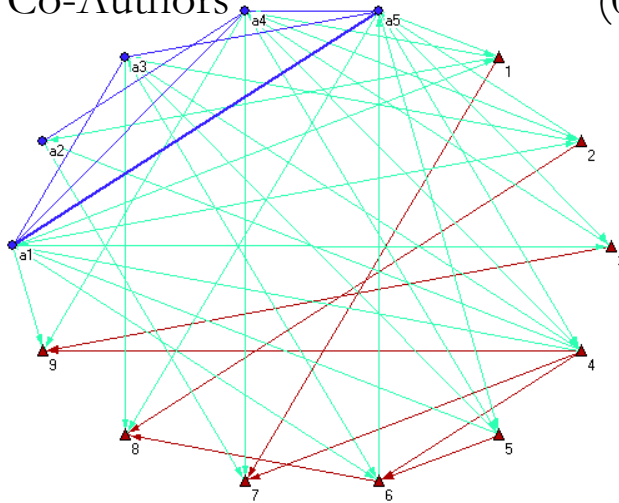


(1000) Topics

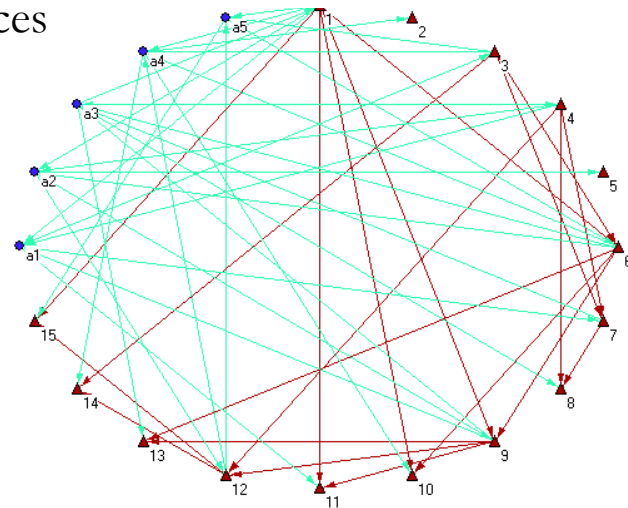


Topics lead to disconnected networks.

(0100) Co-Authors



(0010) References



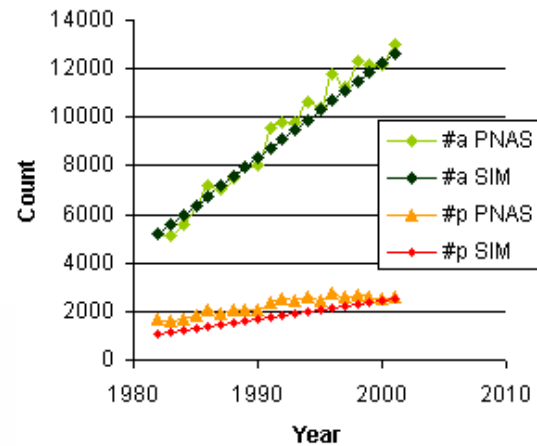
Co-authoring leads to fewer papers.

```

// Initialization
generate #_papers papers and assign a random topic to each paper;
generate #_authors authors and assign a random topic to each author;
randomly assign #_co-authors+1 authors to papers of the same topic;
// Simulation
for each year do {
  add #_new_authors new authors, deactivate authors older than #_author_age;
  for each topic do {
    randomly partition set of authors into author_groups of size #_co-authors+1;
    for each author_group do {
      for each new_paper to be produced, do {
        generate new_paper;
        randomly select #_read_papers from existing papers;
        get all references of read_papers up to #_reference_path_length;
        for each new_paper_reference do {
          select a time_slice from (start year to curr_year-1) with probability given in aging_function;
          randomly select a paper published or cited in this time_slice, as a new_paper_reference;
          add the new_paper_reference to new_paper;
        }
      }
    }
  }
  add all new papers to the set of existing papers;
  add new links to author and paper information;
}

```

Counts for Papers and Authors



Model Parameters (0=without, 1=with)

---

0/1 Topics  
0/1 Co-Authors  
0/1 Consider References  
0 Aging Function

---

Model Initialization Values

---

2 # Years  
5 # Authors in Start Year  
5 # Papers in Start Year  
2 # Papers Consumed (Referenced) per Paper  
1 # Papers Produced per Author each Year  
5 # Topics  
1 # Co-Author(s) per Author  
1 # Levels References are Considered

Input Script

Model

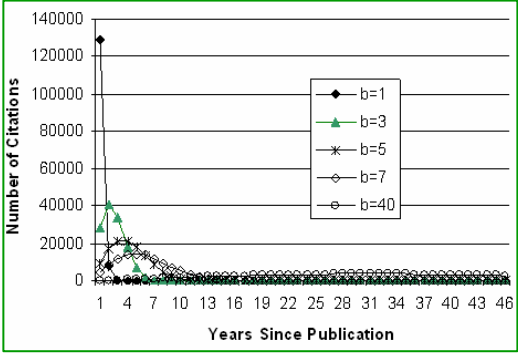
Simulated Networks

Model Validation

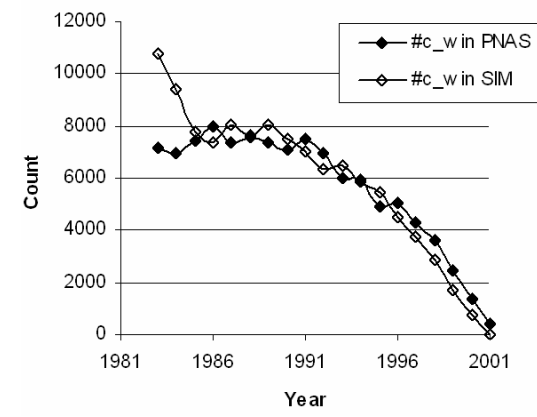
Simple Statistics  
Network Properties  
 $N, \langle k \rangle, I, C, \gamma$

PNAS Data Set

Aging function



Counts for Citations



**Table 2.** Properties of co-author & paper citation networks comprising number of nodes  $n$ , average node degree  $\langle k \rangle$ , path length  $l$ , cluster coefficient  $C$ , and power law exponent  $\gamma$ . Source references are given in the left column.

Network	$n$	$\langle k \rangle$	$l$	$C$	$\gamma$	Reference
<b>Co-authorship networks</b>						
LANL	52,909	9.7	5.9	0.43	--	Newman, (2001a;
MEDLINE	1,520,251	18.1	4.6	0.066	--	2001b; 2001c)
SPIRES	56,627	1.73	4.0	0.726	1.2	
NCSTRL	11,994	3.59	9.7	0.496	--	
Math.	70,975	3.9	9.5	0.59	2.5	Barabasi et al., (2002)
Neurosci.	209,293	11.5	6	0.76	2.1	
PNAS	105,915	8.97	5.89	0.399	2.54	
<b>Paper-citation networks</b>						
ISI	783,339	8.57	--	--	3	Redner, (1998)
PhysRev	24,296	14.5	--	--	3	
PNAS	45,120	3.53	--	0.081	2.29	
SIM	37,114	2.13	--	0.074	2.05	

```

// Initialization
generate #_papers papers and assign a random topic
generate #_authors authors and assign a random topic
randomly assign #_co-authors+1 authors to papers of each topic
// Simulation
for each year do {
  add #_new_authors new authors, deactivate authors
  for each topic do {
    randomly partition set of authors into author_group
    for each author_group do {
      for each new_paper to be produced,
      generate new_paper;
      randomly select #_read_papers
      get all references of read_papers
      for each new_paper_reference {
        select a time_slice from (
        randomly select a paper p
        add the new_paper_reference
      }
    }
  }
  add all new papers to the set of existing papers;
  add new links to author and paper information;
}
  
```

Model Parameters (0=without, 1=with)

---

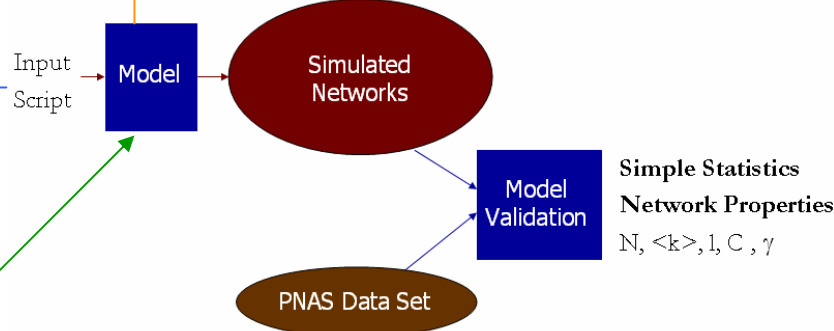
0/1 Topics  
 0/1 Co-Authors  
 0/1 Consider References  
 0 Aging Function

---

Model Initialization Values

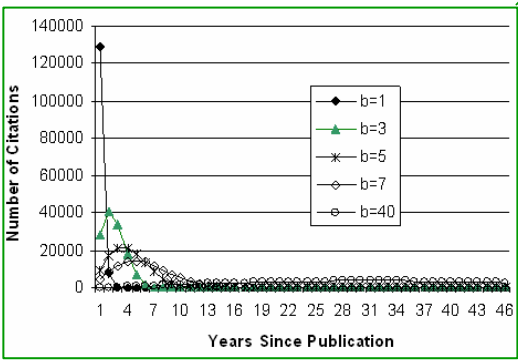
---

2 # Years  
 5 # Authors in Start Year  
 5 # Papers in Start Year  
 2 # Papers Consumed (Referenced) per Paper  
 1 # Papers Produced per Author each Year  
 5 # Topics  
 1 # Co-Author(s) per Author  
 1 # Levels References are Considered

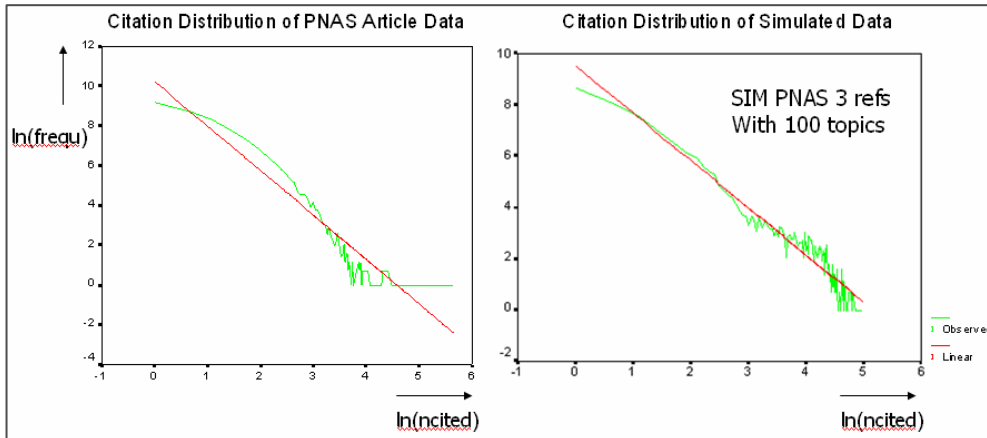


Co-Author and Paper-Citation Network Properties

Aging function



Power Law Distributions



Req	d. f.	F	Sigf	b0	b1	Req	d. f.	F	Sigf	b0	b1
.877	70	497.88	.000	10.2251	-2.2978	.842	114	1572.51	.000	9.5196	-2.054



**Topics:** The number of topics is linearly correlated with the clustering coefficient of the resulting network:  $C = 0.000073 * \# \text{topics}$ . Increasing the number of topics increases the power law exponent as authors are now restricted to cite papers in their own topics area.

**Aging:** With increasing  $b$ , and hence increasing the number of older papers cited as references, the clustering coefficient decreases. Papers are not only clustered by topic, but also in time, and as a community becomes increasingly nearsighted in terms of their citation practices, the degree of temporal clustering increases.

**References/Recursive Linking:** The length of the chain of paper citation links that is followed to select references for a new paper also influences the clustering coefficient. Temporal clustering is ameliorated by the practice of citing (and hopefully reading!) the papers that were the earlier inspirations for read papers.

```

// Initialization
generate #_papers papers and assign a random topic to each paper;
generate #_authors authors and assign a random topic to each author;
randomly assign #_co-authors+1 authors to papers of the same topic;
// Simulation
for each year do {
  add #_new_authors new authors, deactivate authors older than #_author_age;
  for each topic do {
    randomly partition set of authors into author_groups of size #_co-authors+1;
    for each author_group do {
      for each new_paper to be produced, do {
        generate new_paper;
        randomly select #_read_papers from existing papers;
        get all references of read_papers up to #_reference_path_length;
        for each new_paper_reference do {
          select a time_slice from (start year to curr_year-1) with probability given in aging_function;
          randomly select a paper published or cited in this time_slice, as a new_paper_reference;
          add the new_paper_reference to new_paper;
        }
      }
    }
  }
  add all new papers to the set of existing papers;
  add new links to author and paper information;
}

```

Model Parameters (0=without, 1=with)

---

0/1 Topics  
 0/1 Co-Authors  
 0/1 Consider References  
 0 Aging Function

---

Model Initialization Values

---

2 # Years  
 5 # Authors in Start Year  
 5 # Papers in Start Year  
 2 # Papers Consumed (Referenced) per Paper  
 1 # Papers Produced per Author each Year  
 5 # Topics  
 1 # Co-Author(s) per Author  
 1 # Levels References are Considered

Input Script

Model

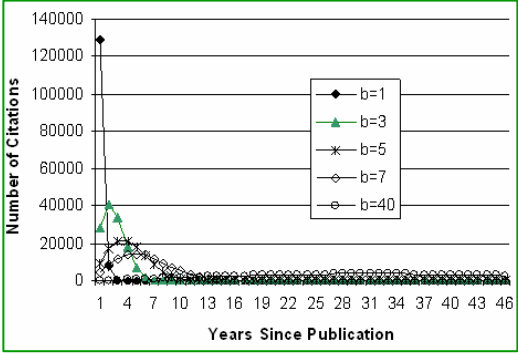
Simulated Networks

Model Validation

Simple Statistics  
 Network Properties  
 $N, \langle k \rangle, I, C, \gamma$

PNAS Data Set

Aging function

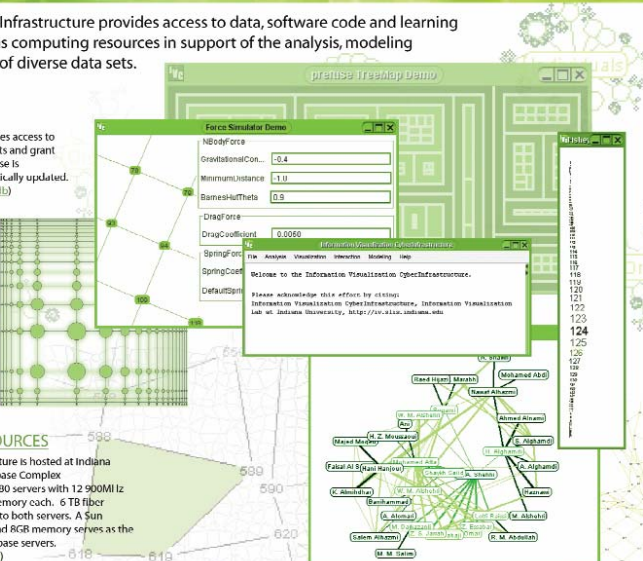


# Information Visualization CyberInfrastructure

The InfoVis CyberInfrastructure provides access to data, software code and learning modules as well as computing resources in support of the analysis, modeling and visualization of diverse data sets.

## DATABASES

An Oracle database provides access to publications, patents, grants and grant opportunities. The database is continuously and automatically updated. (<http://iv.slis.indiana.edu/db>)



## COMPUTING RESOURCES

The InfoVis CyberInfrastructure is hosted at Indiana University's Research Database Complex comprising of two Sun V1280 servers with 12 900MI lz processors and 96 GB of memory each. 6 TB fiber channel disks are attached to both servers. A Sun V880 system with 4 cpus and 8GB memory serves as the web front-end for the database servers. (<http://iv.slis.indiana.edu/cr>)

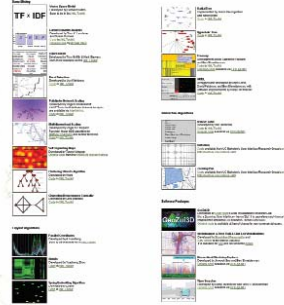


InfoVis Lab, School of Library and Information Science, Indiana University (2004).  
For more information, contact Katy Börner at [kborner@slis.indiana.edu](mailto:kborner@slis.indiana.edu)

This material is based upon work supported by the National Science Foundation under Grant No. IIS-0238261 and DUE-0339623.

## SOFTWARE

An open source IVC framework was designed to facilitate the integration of diverse data analysis, modeling and visualization algorithms. New algorithms, data persistence methods, look and feels for the interface and even entire toolkits can be easily "plugged in" or "unplugged". (<http://iv.slis.indiana.edu/sw>)



## LEARNING MODULES

A set of associated learning modules aims to equip learners with a practical skill set by providing code and advice to quickly modify and run different algorithms, test diverse interaction techniques and design features, and to quickly generate and compare information visualizations. (<http://iv.slis.indiana.edu/lm>)



Scholarly Database

<http://sdb.slis.indiana.edu>

*CAREER: Visualizing Knowledge Domains. NSF IIS-0238261 award*

*(Katy Börner, \$451,000) Sept. 03-Aug. 08.*

<http://iv.slis.indiana.edu/>



*SEI: Network Workbench: A Large-Scale Network Analysis, Modeling and Visualization Toolkit for Biomedical, Social Science and Physics Research. NSF IIS-0513650 award (Katy Börner, Albert-Laszlo Barabasi, Santiago Schnell, Alessandro Vespignani & Stanley Wasserman, Eric Wernert (Senior Personnel), \$1,120,926) Sept. 05 - Aug. 08. <http://nwb.slis.indiana.edu>*



The End.